

Divide-and-Conquer

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Thank you to Kevin Wayne for inspiration to slides

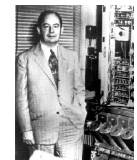
Divide-and-Conquer

- [Divide-and-Conquer](#).
 - Break up problem into several parts.
 - Solve each part recursively.
 - Combine solutions to subproblems into overall solution.
- [Today](#)
 - Mergesort (recap)
 - Recurrence relations
 - Integer multiplication

Mergesort

Mergesort

- [Mergesort](#).
 - Divide array into two halves.
 - Recursively sort each half.
 - Merge two halves to make a sorted whole.



Jon von Neumann (1945)

A L G O R I T H M S

A L G O R I T H M S Divide

A G L O R H I M S T Sort recursively

A G H I L M O R S T Merge

Mergesort

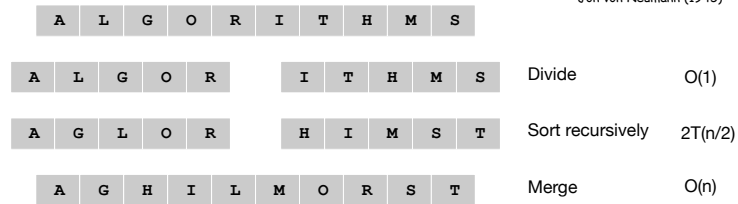
- **Mergesort.**

- Divide array into two halves.
- Recursively sort each half.
- Merge two halves to make a sorted whole.

- $T(n)$ = running time of mergesort on input of size n

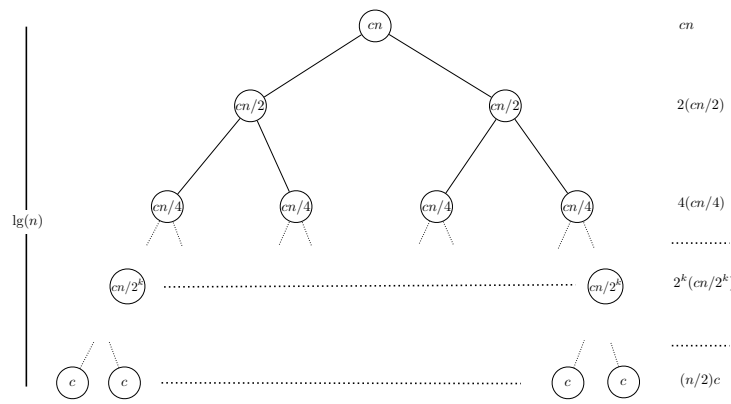


Jon von Neumann (1945)



Mergesort recurrence: recursion tree

$$T(n) \leq \begin{cases} 2T(n/2) + cn & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$



Recurrence relations

- $T(n)$ = running time of mergesort on input of size n

- **Mergesort recurrence:**

$$T(n) \leq \begin{cases} 2T(n/2) + cn & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$

- Solving the recurrence:

- Recursion tree
- Substitution

Mergesort recurrence: substitution

$$T(n) \leq \begin{cases} 2T(n/2) + cn & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$

- Substitute $T(n)$ with $cn \lg n$ and use induction to prove $T(n) \leq cn \lg n$.

- **Base case** ($n = 2$):

- By definition $T(2) = c$.
- Substitution: $cn \lg n = c \cdot 2 \lg 2 = 2c \geq c = T(2) = T(n)$

- **Induction:** Assume $T(m) \leq cm \lg m$ for $m < n$.

$$\begin{aligned} T(n) &\leq 2T(n/2) + cn \\ &\leq 2c(n/2)\lg(n/2) + cn \\ &= cn(\lg n - 1) + cn \\ &= cn \lg n - cn + cn \\ &= cn \lg n. \end{aligned}$$

More recurrence relations: 1 subproblem

$$T(n) \leq \begin{cases} T(n/2) + cn & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$

- Summing over all levels:

$$T(n) \leq \sum_{k=0}^{\lg n - 1} \frac{cn}{2^k} = cn \sum_{k=0}^{\lg n - 1} \frac{1}{2^k} \leq 2cn = O(n)$$

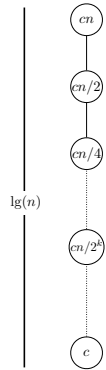
- Substitution:

- Base case:

$$2c \cdot 2 = 4c \geq c = T(2).$$

- Assume $T(m) \leq 2cm$ for $m < n$.

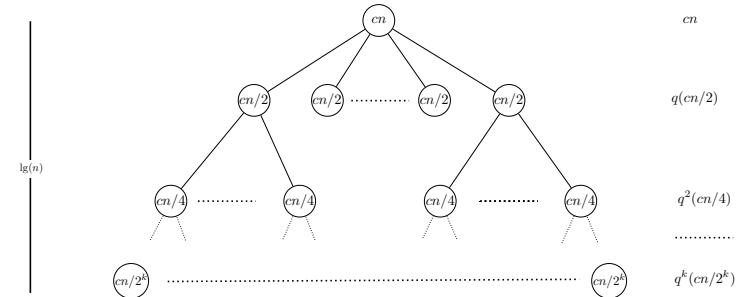
$$T(n) \leq T(n/2) + cn \leq 2c(n/2) + cn = 2cn$$



More than 2 subproblems

- q subproblems of size $n/2$.

$$T(n) \leq \begin{cases} qT(n/2) + cn & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$



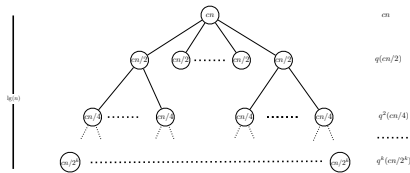
More than 2 subproblems

- q subproblems of size $n/2$.

$$T(n) \leq \begin{cases} qT(n/2) + cn & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$

- Summing over all levels:

$$T(n) \leq \sum_{j=0}^{\lg n - 1} \left(\frac{q}{2}\right)^j cn = cn \sum_{j=0}^{\lg n - 1} \left(\frac{q}{2}\right)^j = O(n^{\lg q})$$



Geometric series.

for $x \neq 1$: $\sum_{i=0}^m x^i = \frac{x^{m+1} - 1}{x - 1}$

for $x < 1$: $\sum_{i=0}^{\infty} x^i = \frac{1}{1 - x}$

More than 2 subproblems

Proof of $cn \sum_{j=0}^{\lg n - 1} \left(\frac{q}{2}\right)^j = O(n^{\lg q})$

Use geometric series: $cn \sum_{j=0}^{\lg n - 1} \left(\frac{q}{2}\right)^j = cn \frac{\left(\frac{q}{2}\right)^{\lg n} - 1}{\frac{q}{2} - 1}$

Reduce $\left(\frac{q}{2}\right)^{\lg n} = \frac{q^{\lg n}}{2^{\lg n}} = \frac{q^{\lg n}}{n^{\lg 2}} = \frac{q^{\lg n}}{n}$

Now:

$$cn \frac{\left(\frac{q}{2}\right)^{\lg n} - 1}{\frac{q}{2} - 1} = cn \frac{\frac{q^{\lg n}}{n} - 1}{\frac{q-2}{2}} = \frac{2c}{q-2} n \left(\frac{q^{\lg n}}{n} - 1\right) = \frac{2c}{q-2} (q^{\lg n} - n) = O(q^{\lg n})$$

constant

Geometric series.

for $x \neq 1$: $\sum_{i=0}^m x^i = \frac{x^{m+1} - 1}{x - 1}$

for $x < 1$: $\sum_{i=0}^{\infty} x^i = \frac{1}{1 - x}$

Integer Multiplication

Integer multiplication

- **Add.** Given two n -bit integers a and b , compute $a + b$.
- **School method.** $\Theta(n)$ bit operations.

1	0	1	1	1	
+	1	0	0	1	1
1	0	1	0	1	0

- **Multiply.** Given two n -bit integers a and b , compute $a \times b$.
- **School method.** $\Theta(n^2)$ bit operations.

1	1	0	×	1	1	1
				0	0	0
+			1	1	1	0
+		1	1	1	0	0
	1	0	1	0	1	0

Integer multiplication: warmup

- **Divide-and-conquer:** divide the n -bit integers into two.

$$x = \underbrace{1000}_{x_1} \underbrace{1101}_{x_0} \quad y = \underbrace{11100001}_{y_1} \underbrace{0001}_{y_0}$$

$$x = 2^{n/2} \cdot x_1 + x_0 \quad y = 2^{n/2} \cdot y_1 + y_0$$

- **First try:**

$$x \cdot y = (2^{n/2} \cdot x_1 + x_0)(2^{n/2} \cdot y_1 + y_0) = 2^n \cdot x_1 y_1 + 2^{n/2} \cdot (x_1 y_0 + x_0 y_1) + x_0 y_0$$

- Multiply four $n/2$ -bit integers (recursively)
- Add two $n/2$ -bit integers
- Shift and add to obtain result.

$$T(n) = 4T(n/2) + cn$$

↑ recursive calls ↑ add, shift

$$T(n) = O(n^{\lg 4}) = O(n^2)$$

Integer multiplication: Karatsuba

- **Divide-and-conquer:** divide the n -bit integers into two.

$$x = \underbrace{1000}_{x_1} \underbrace{1101}_{x_0} \quad y = \underbrace{11100001}_{y_1} \underbrace{0001}_{y_0}$$

$$x = 2^{n/2} \cdot x_1 + x_0 \quad y = 2^{n/2} \cdot y_1 + y_0$$

$$x \cdot y = 2^n \cdot x_1 y_1 + 2^{n/2} \cdot (x_1 y_0 + x_0 y_1) + x_0 y_0$$

$$= 2^n \cdot x_1 y_1 + 2^{n/2} \cdot ((x_1 + x_0)(y_1 + y_0) - x_1 y_1 - x_0 y_0) + x_0 y_0$$

① ② ③ ④ ⑤

- **Karatsuba:**

- Recursively compute *three* products of $n/2$ -bit integers:
 - $x_1 y_1, (x_1 + x_0)(y_1 + y_0), x_0 y_0$
- Shift, add, and subtract to obtain result.

$$(x_1 + x_0)(y_1 + y_0) = x_1 y_1 + x_1 y_0 + x_0 y_1 + x_0 y_0$$

$$\Rightarrow x_1 y_0 + x_0 y_1 = (x_1 + x_0)(y_1 + y_0) - x_1 y_1 - x_0 y_0$$

$$T(n) = 3T(n/2) + cn$$

↑ recursive calls ↑ add, shift

$$T(n) = O(n^{\lg 3}) = O(n^{1.59})$$