

# Partial Sums and Dynamic Arrays

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- Dynamic Arrays

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# Partial Sums

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- **Partial sums.** Maintain array  $A[0,1,\dots, n]$  of integers support the following operations.
  - $SUM(i)$ : return  $A[1] + A[2] + \dots + A[i]$
  - $UPDATE(i, \Delta)$ : set  $A[i] = A[i] + \Delta$

-	1	2	1	1	0	2	3	1	0	1	3	4	1	1	1	2
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16

# Partial Sums

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- **Applications.**
  - Dynamic lists and arrays (random access into changing lists)
  - Arithmetic coding.
  - Succinct data structures.
  - Lower bounds and cell probe complexity.
  - Basic component in many data structures.
- **Challenge.** How can solve the problem with current techniques?

# Partial Sums

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-	1	2	1	1	0	2	3	1	0	1	3	4	1	1	1	2
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16

- **Slow sum and ultra fast updates.** Maintain A explicitly.
  - SUM(i): compute  $A[0] + \dots + A[i]$ .
  - UPDATE(i,  $\Delta$ ): set  $A[i] = A[i] + \Delta$
- **Time.**
  - $O(i) = O(n)$  for SUM,  $O(1)$  for UPDATE.

# Partial Sums

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-	1	3	4	5	5	7	10	11	11	12	15	19	20	21	22	24
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16

-	1	2	1	1	0	2	3	1	0	1	3	4	1	1	1	2
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16

- **Ultra fast sum and slow updates.** Maintain **partial sum** P of A.
  - SUM(i): return P[i].
  - UPDATE(i,  $\Delta$ ): add  $\Delta$  to P[i], P[i+1], ..., P[n].
- **Time.**
  - O(1) for SUM,  $O(n - i + 1) = O(n)$  for UPDATE.

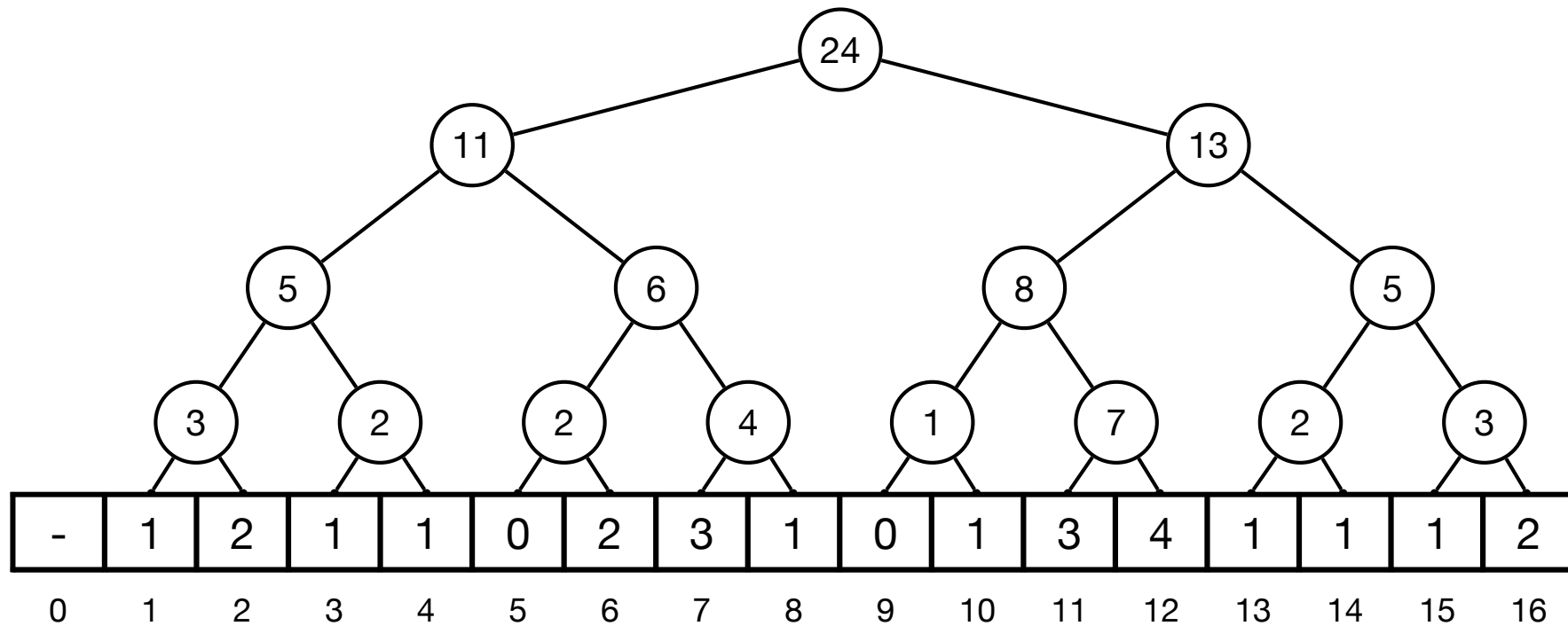
# Partial Sums

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Data structure	SUM	UPDATE	Space
explicit array	$O(n)$	$O(1)$	$O(n)$
explicit partial sum	$O(1)$	$O(n)$	$O(n)$

# Partial Sums

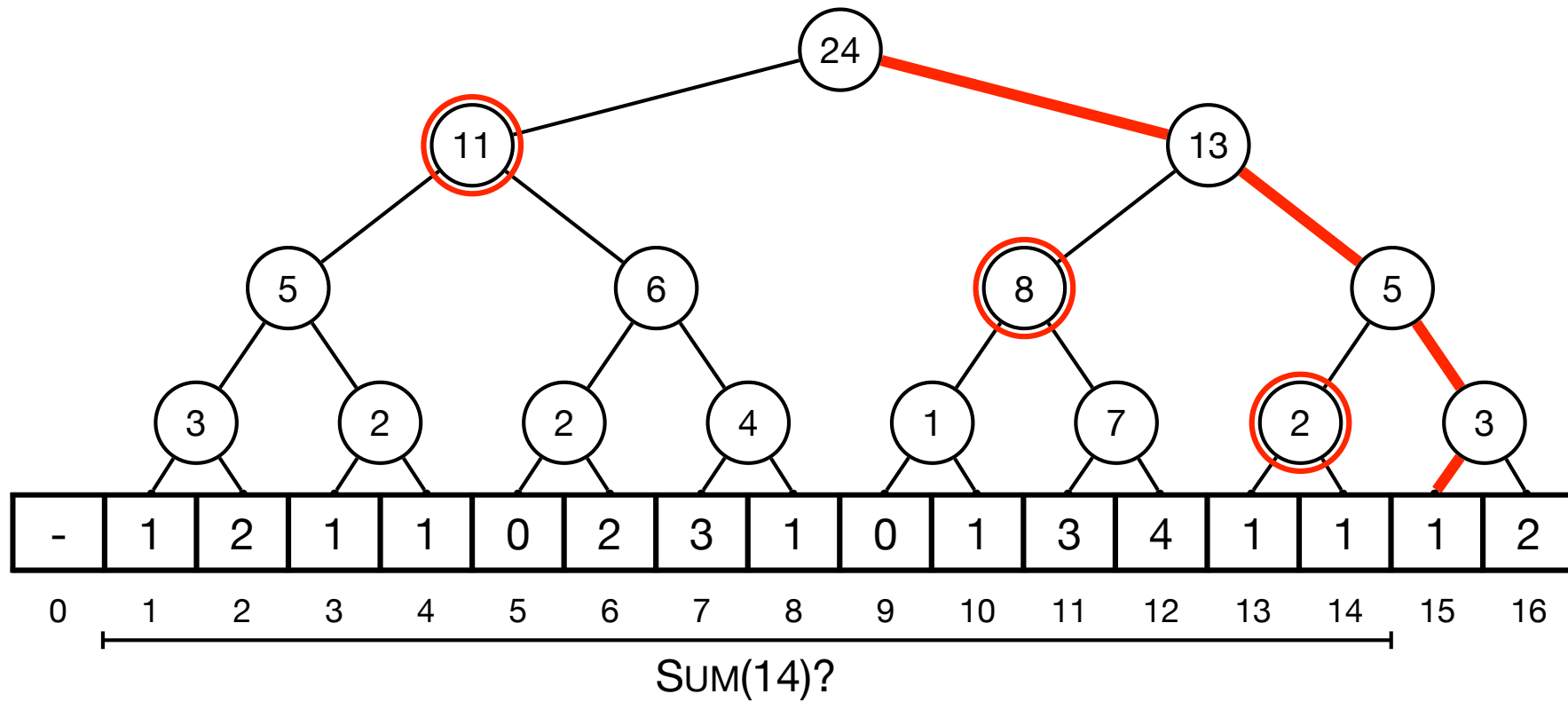
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- **Fast sum and fast updates.** Maintain balanced binary tree  $T$  on  $A$ . Each node stores the sum of elements in subtree.

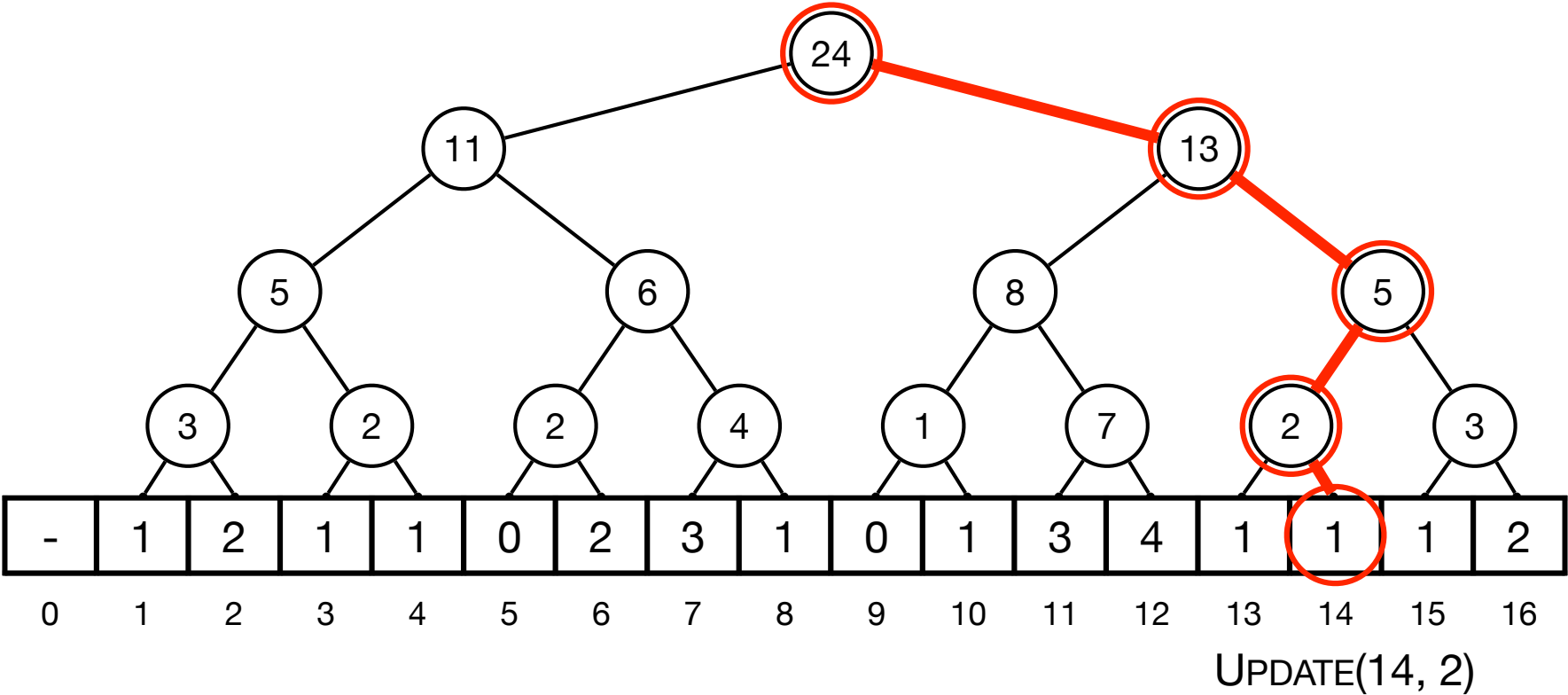


# Partial Sums



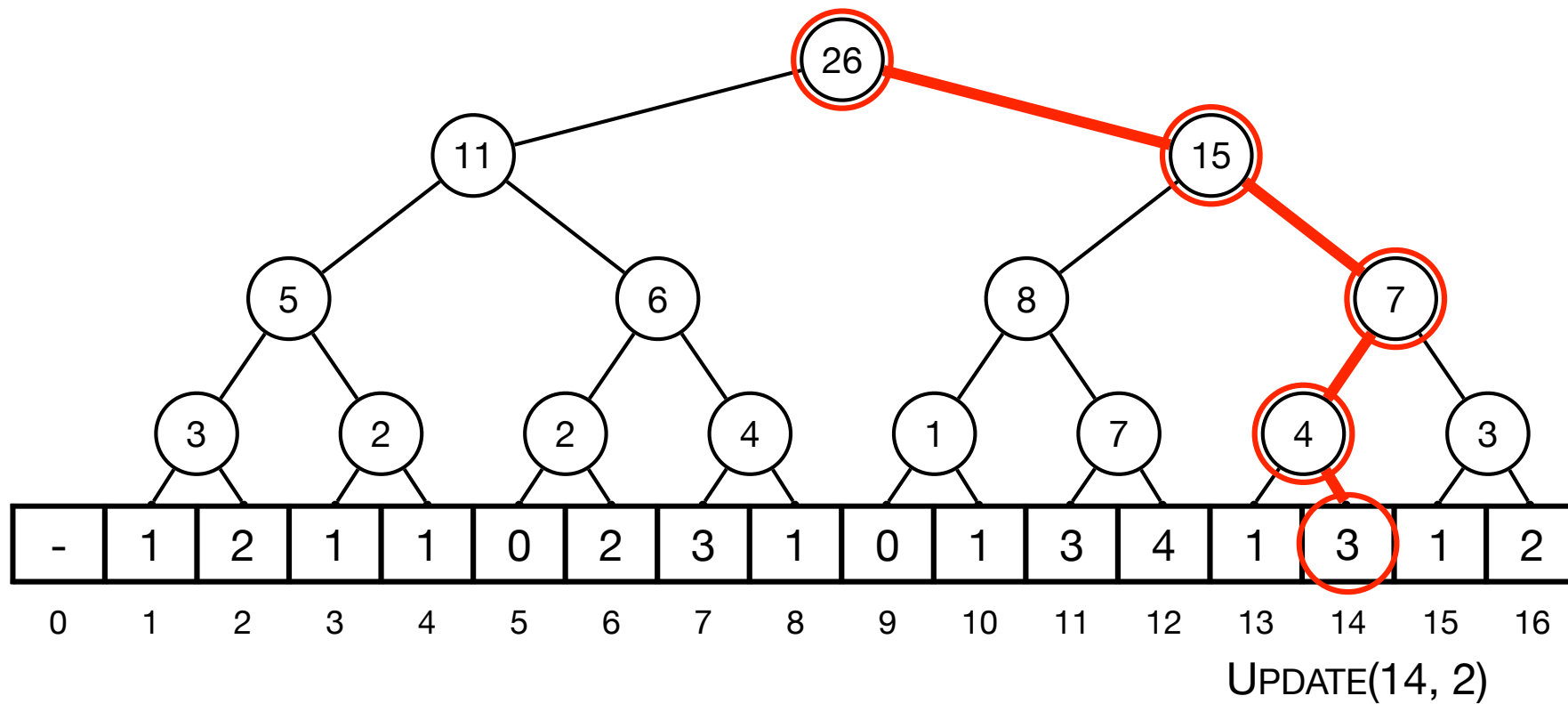
- **SUM.**
  - SUM(i): traverse path to  $i + 1$  and sum up all **off-path** nodes.
- **Time.**  $O(\log n)$

# Partial Sums



- UPDATE.
- UPDATE( $i, \Delta$ ): add  $\Delta$  to nodes on path to  $i$ .

# Partial Sums



- **UPDATE.**
  - UPDATE( $i, \Delta$ ): add  $\Delta$  to nodes on path to  $i$ .
- **Time.**  $O(\log n)$

# Partial Sums

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Data structure	SUM	UPDATE	Space
explicit array	$O(n)$	$O(1)$	$O(n)$
explicit partial sum	$O(1)$	$O(n)$	$O(n)$
balanced binary tree	$O(\log n)$	$O(\log n)$	$O(n)$
lower bound	$\Omega(\log n)$	$\Omega(\log n)$	

- **Challenge.** How can we improve?
- **In-place data structure.**
  - Replace input array A with data structure of exactly same size.
  - Use only  $O(1)$  extra space.

# Partial Sums

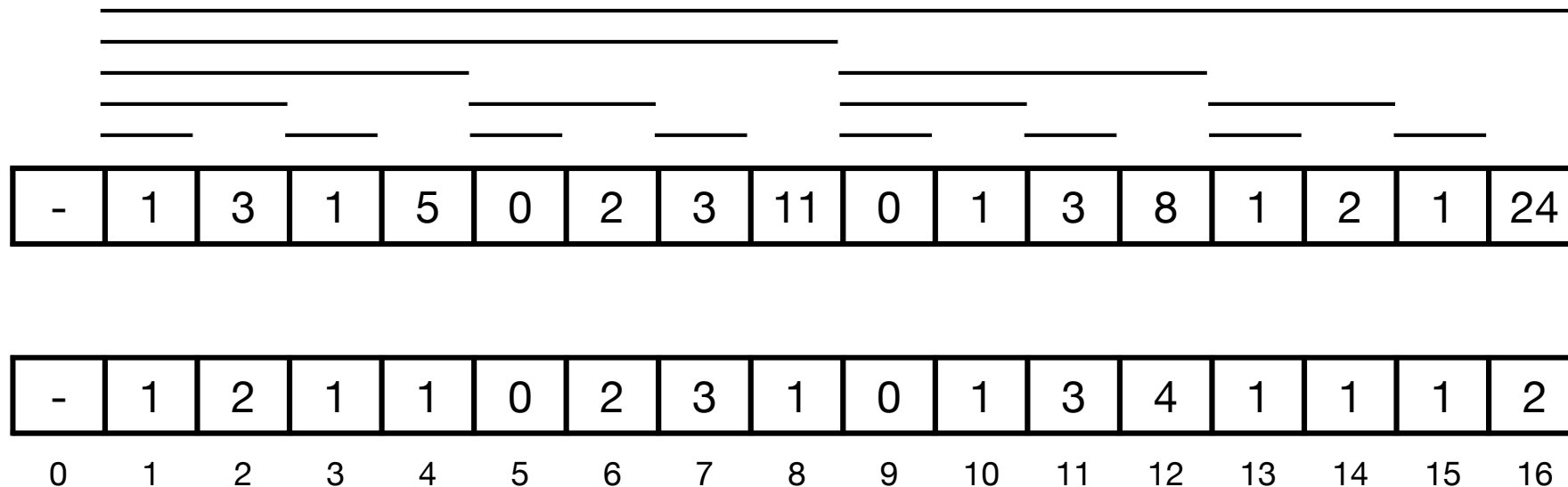
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-	1	3	1	5	0	2	3	11	0	1	3	8	1	2	1	24
-	1	3	1	5	0	2	3	11	0	1	3	8	1	2	1	13
-	1	3	1	5	0	2	3	6	0	1	3	8	1	2	1	5
-	1	3	1	2	0	2	3	4	0	1	3	7	1	2	1	3
-	1	2	1	1	0	2	3	1	0	1	3	4	1	1	1	2
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16

- **Fenwick tree.** Replace A by another array F.
  - Replace all even entries  $A[2i]$  by  $A[2i - 1] + A[2i]$ .
  - Recurse on the entries  $A[2, 4, \dots, n]$  until we are left with a single element.

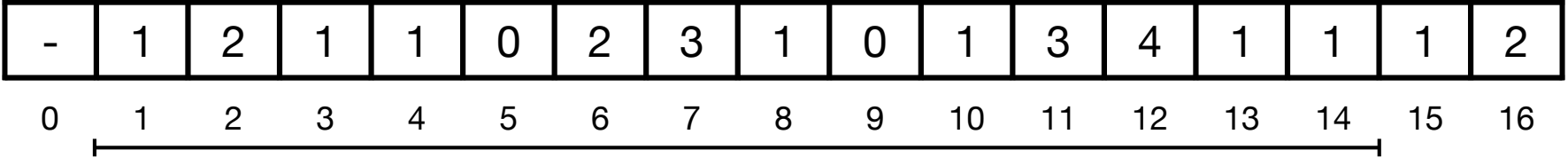
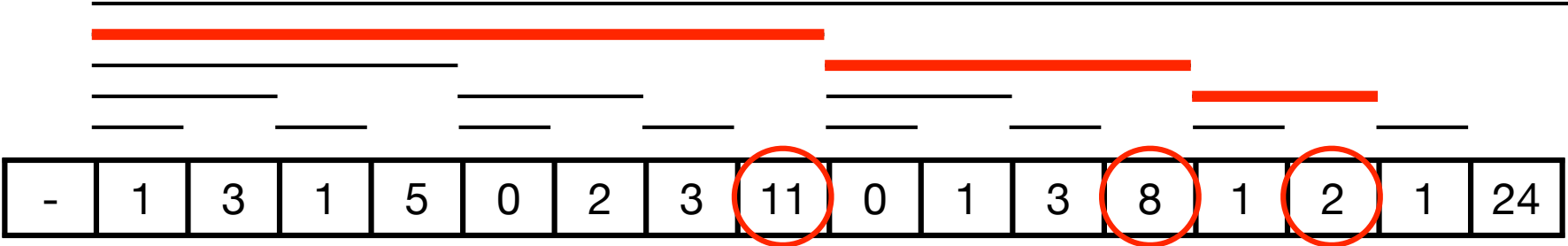
# Partial Sums

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- **Fenwick tree.** Replace A by another array F.
  - Replace all even entries  $A[2i]$  by  $A[2i - 1] + A[2i]$ .
  - Recurse on the entries  $A[2, 4, \dots, n]$  until we are left with a single element.
- **Space.**
  - In-place. No extra space.

# Partial Sums

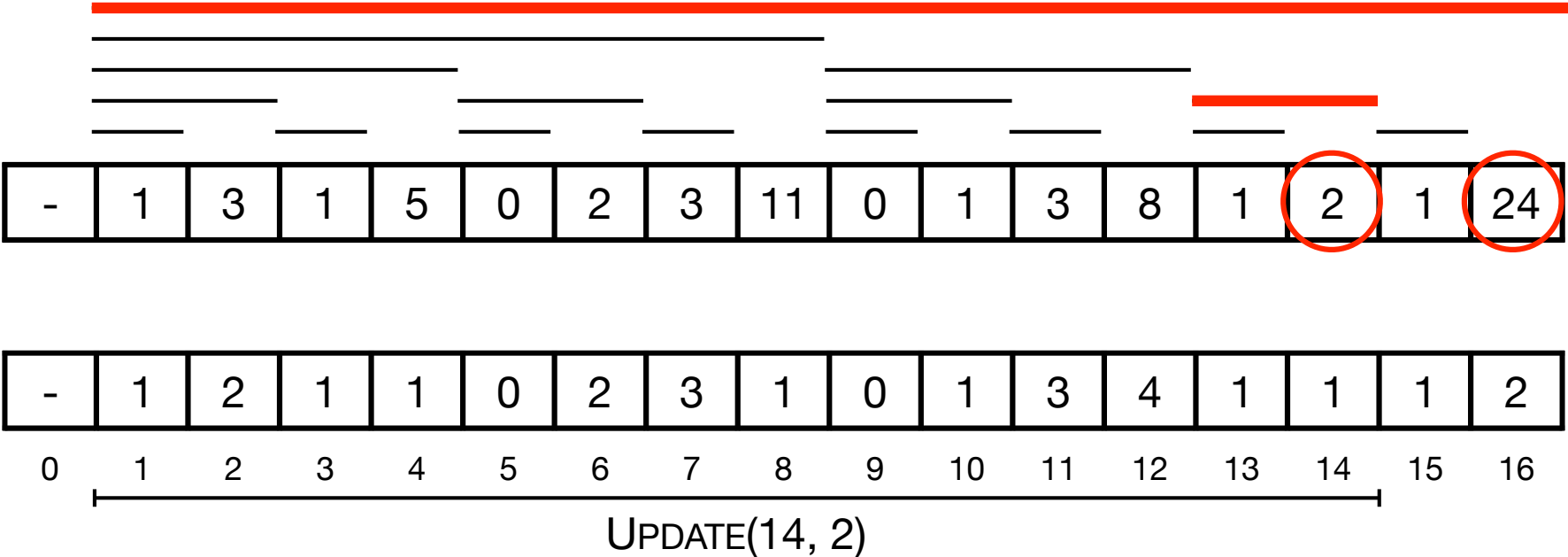


SUM(14)?

$14 = 1110_2$   
 $12 = 1100_2$   
 $8 = 1000_2$   
 $0 = 0000_2$

- SUM.
  - SUM(i): add largest partial sums covering  $[1, \dots, i]$ .
  - Indexes  $i_0, i_1, \dots$  in  $F$  given by  $i_0 = i$  and  $i_{j+1} = i_j - \text{rmb}(i_j)$ , where  $\text{rmb}(i_j)$  is the integer corresponding to the rightmost 1-bit in  $i$ . Stop when we get 0.
- Time.  $O(\log n)$

# Partial Sums



- **UPDATE.**

$$14 = 1110_2$$

$$16 = 10000_2$$

- UPDATE( $i, \Delta$ ): add  $\Delta$  to partial sums covering  $i$ .
- Indexes  $i_0, i_1, ..$  in  $F$  given by  $i_0 = i$  and  $i_{j+1} = i_j + \text{rmb}(i_j)$ . Stop when we get  $n$ .
- **Time.**  $O(\log n)$



# Partial Sums

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Data structure	SUM	UPDATE	Space
explicit array	$O(n)$	$O(1)$	$O(n)$
explicit partial sum	$O(1)$	$O(n)$	$O(n)$
balanced binary tree	$O(\log n)$	$O(\log n)$	$O(n)$
lower bound	$\Omega(\log n)$	$\Omega(\log n)$	
Fenwick tree	$O(\log n)$	$O(\log n)$	in-place

- [Practical?](#) Fenwick trees for competitive programming.

# Partial Sums and Dynamic Arrays

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# Dynamic Arrays

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- **Dynamic arrays.** Maintain array  $A[0, \dots, n-1]$  of integers support the following operations.
  - **ACCESS(i):** return  $A[i]$ .
  - **INSERT(i, x):** insert a new entry with value  $x$  immediately to the left of entry  $i$ .
  - **DELETE(i):** Remove entry  $i$ .

1	2	1	1	0	2	3	1	0	1	3	4	1	1	1	2
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15

# Dynamic Arrays

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- **Applications.**
  - Dynamic lists and arrays (random access into changing lists)
  - Basic component in many data structures.
- **Challenge.** How can solve the problem with current techniques?

# Dynamic Arrays

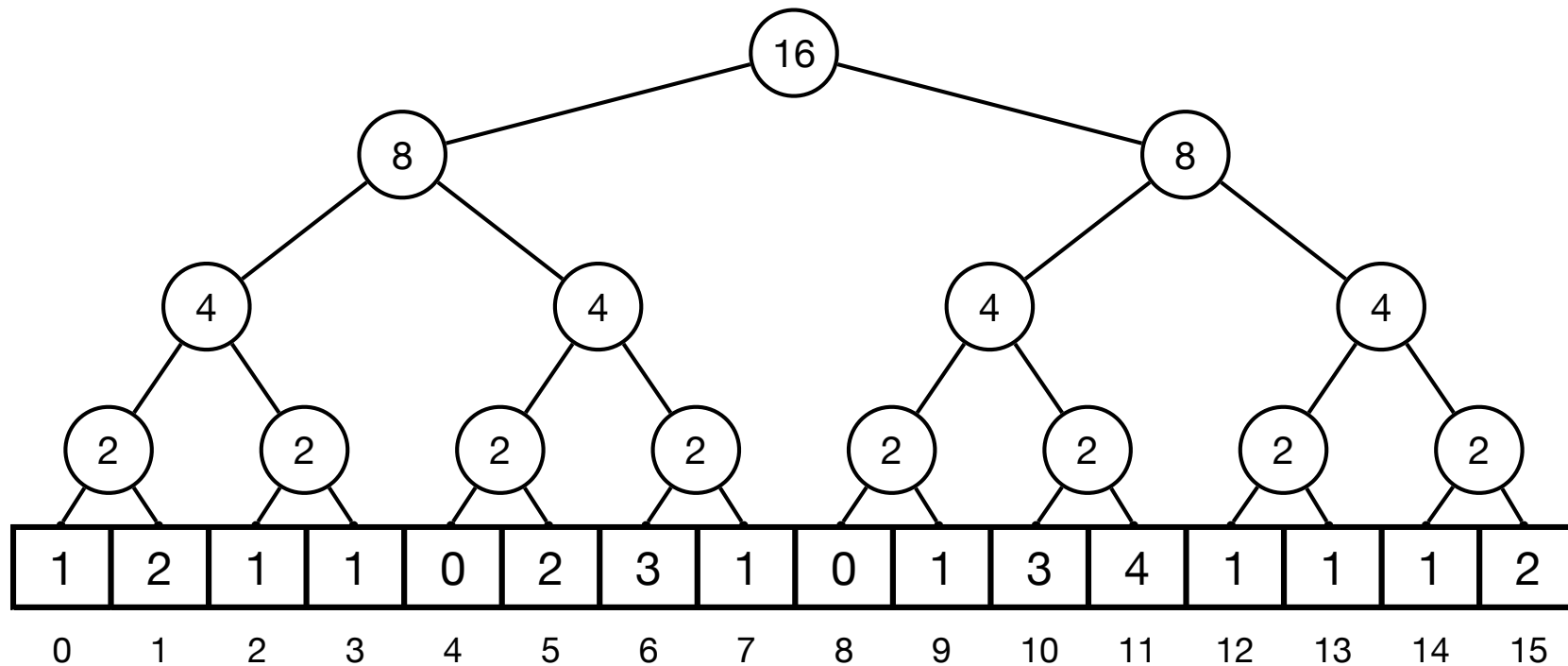
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1	2	1	1	0	2	3	1	0	1	3	4	1	1	1	2
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15

- **Very fast access and slow updates.** Maintain A explicitly.
  - ACCESS(i): return A[i].
  - INSERT(i, x): Shift all elements from i to n by 1 to the right. Set A[i] = x.
  - DELETE(i): shift all elements to the right of entry i to the left by 1.
- **Time.**
  - O(1) for ACCESS and O(n-i+1) = O(n) for INSERT and DELETE.

# Dynamic Arrays

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- **Fast access and fast updates.** Maintain balanced binary tree  $T$  on  $A$ . Each node stores the number of elements in subtree.
  - $\text{ACCESS}(i)$ : traverse path to leaf  $j$ .
  - $\text{INSERT}(i, x)$ : insert new leaf and update tree.
  - $\text{DELETE}(i)$ : delete new leaf and update tree.
- **Time.**  $O(\log n)$  for  $\text{ACCESS}$ ,  $\text{INSERT}$ , and  $\text{DELETE}$ .

# Dynamic Arrays

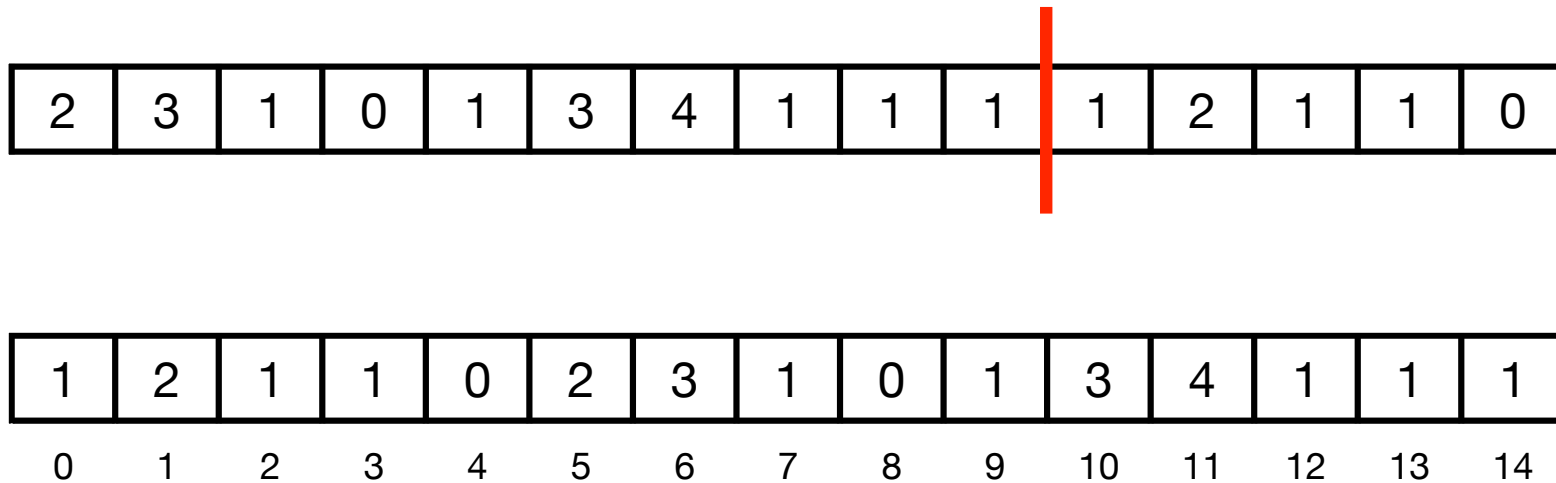
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Data structure	ACCESS	INSERT	DELETE	Space
explicit array	$O(1)$	$O(n)$	$O(n)$	$O(n)$
balanced binary tree	$O(\log n)$	$O(\log n)$	$O(\log n)$	$O(n)$
lower bound	$\Omega(\log n / \log \log n)$	$\Omega(\log n / \log \log n)$	$\Omega(\log n / \log \log n)$	

- **Challenge.** What can we get if we insist on **constant time** ACCESS?

# Dynamic Arrays

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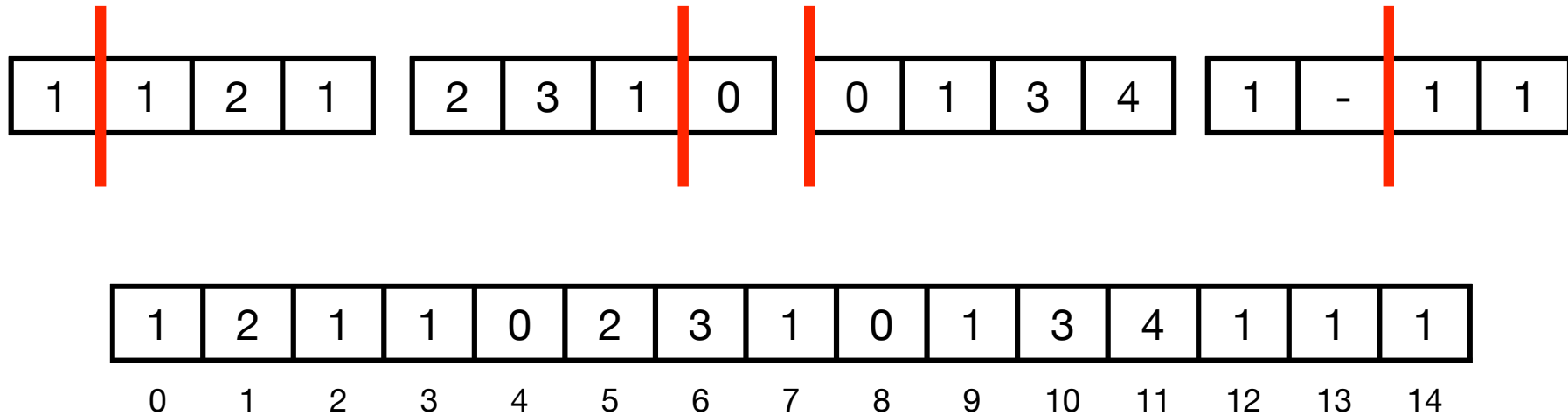


- Rotated array.
  - Circular shift of array by an **offset**.
- Idea.
  - By moving offset we can delete and insert at endpoints in  $O(1)$  time.
  - Lead to **underflow** or **overflow**.



# Dynamic Arrays

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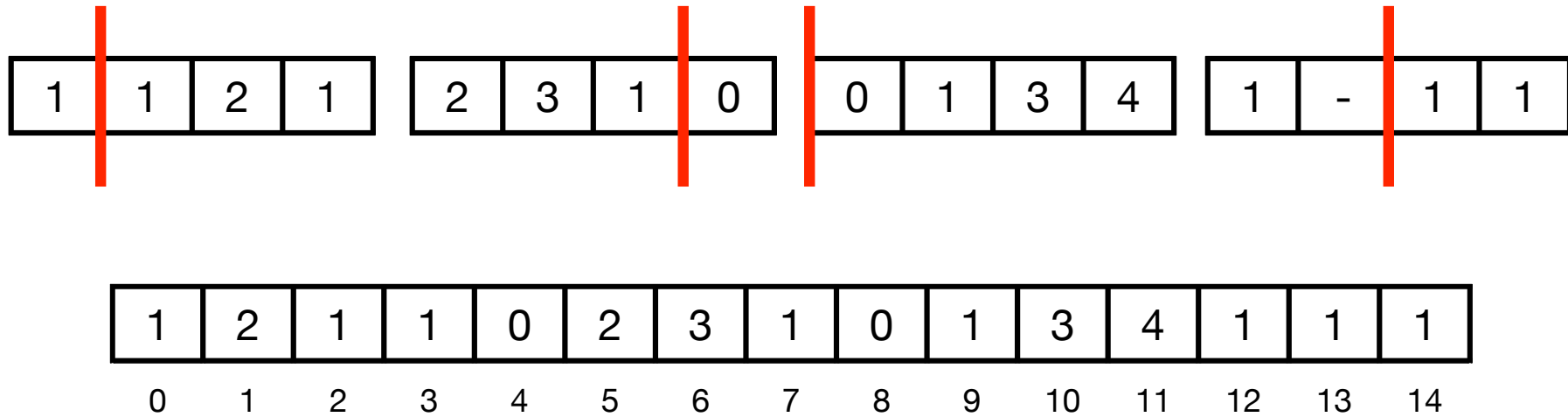


- 2-level rotated arrays.

- Store  $\sqrt{n}$  rotated arrays  $R_0, \dots, R_{\sqrt{n}-1}$  with **capacity**  $\sqrt{n}$  (last may have smaller capacity).

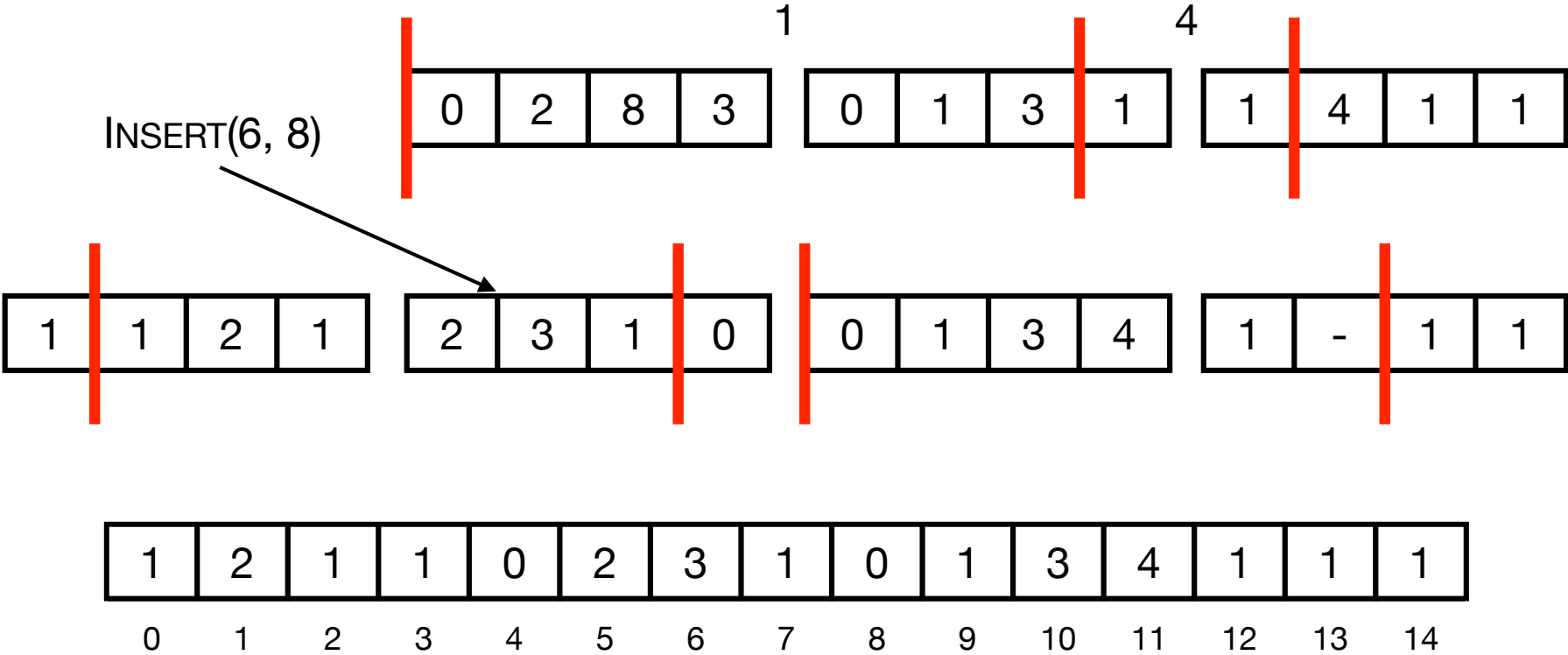
# Dynamic Arrays

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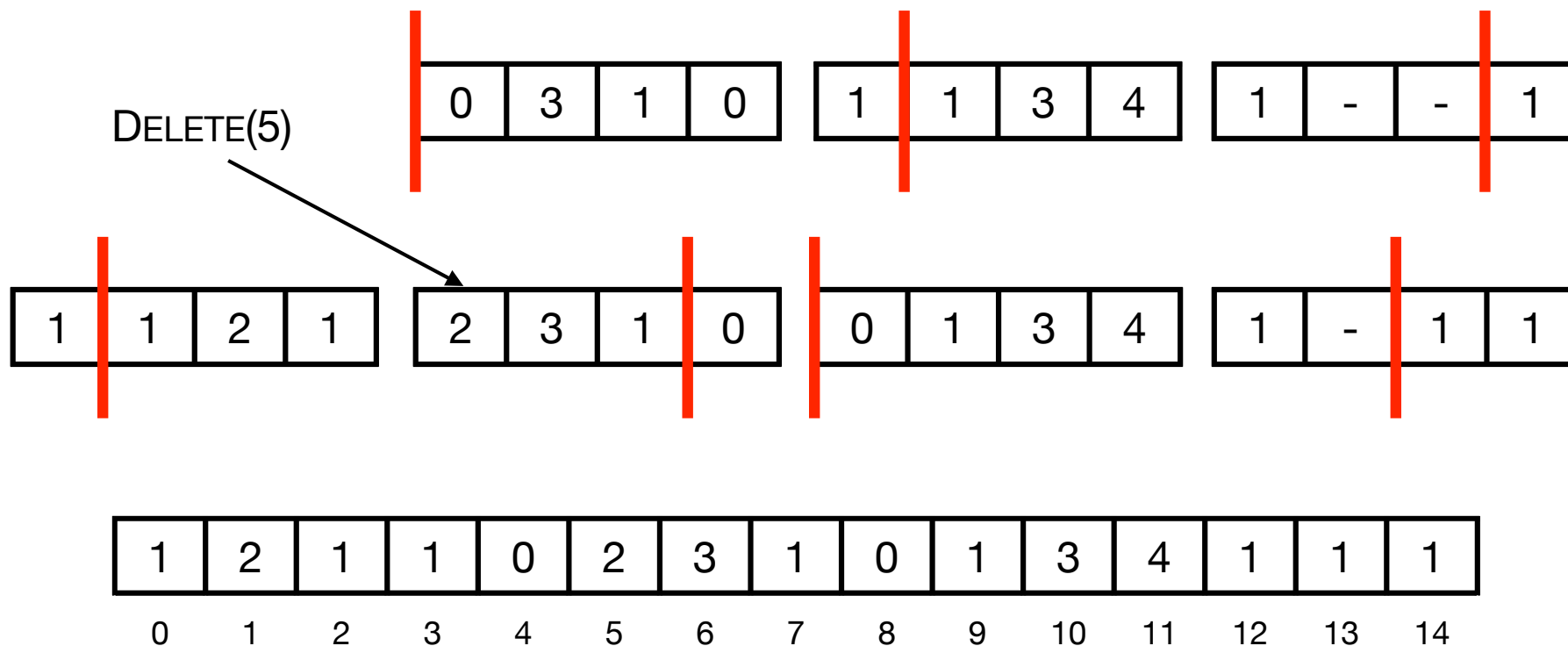
- **ACCESS.**
  - ACCESS(i): compute rotated array  $R_j$  and index  $k$  corresponding to  $i$ . Return  $R_j[k]$ .
- **Time.**  $O(1)$

# Dynamic Arrays



- INSERT.
  - INSERT(*i*, *x*): find R<sub>*j*</sub> and *k* as in ACCESS.
    - Rebuild R<sub>*j*</sub> with new entry inserted.
    - Propagate overflow to R<sub>*j*+1</sub> recursively.
- Time.  $O(\sqrt{n})$

# Dynamic Arrays



- **DELETE.**
  - DELETE(*i*): find  $R_j$  and  $k$  as in ACCESS.
    - Rebuild  $R_j$  with entry  $i$  deleted.
    - Propagate **underflow** to  $R_{j+1}$  **recursively**.
- **Time.**  $O(\sqrt{n})$

# Dynamic Arrays

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Data structure	ACCESS	INSERT	DELETE	Space
explicit array	$O(1)$	$O(n)$	$O(n)$	$O(n)$
balanced binary tree	$O(\log n)$	$O(\log n)$	$O(\log n)$	$O(n)$
lower bound	$\Omega(\log n / \log \log n)$	$\Omega(\log n / \log \log n)$	$\Omega(\log n / \log \log n)$	
2-level rotated array	$O(1)$	$O(\sqrt{n})$	$O(\sqrt{n})$	$O(n)$
$O(1)$ -level rotated array	$O(1)$	$O(n^\epsilon)$	$O(n^\epsilon)$	$O(n)$

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