

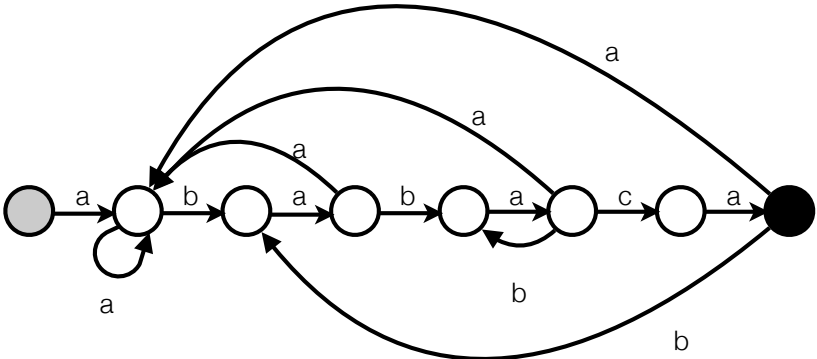
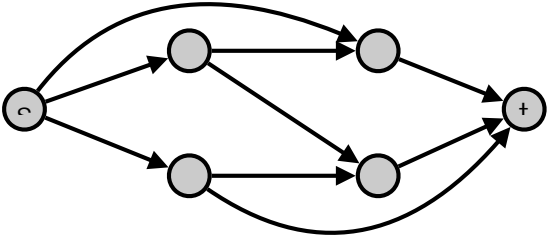
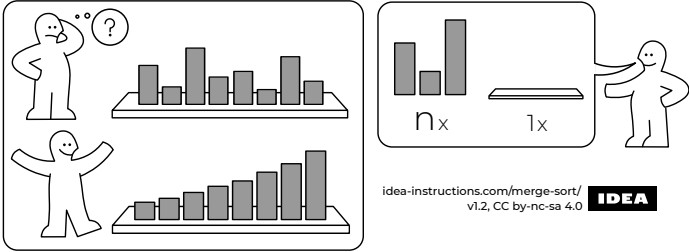
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Inge Li Gørtz

# Contents

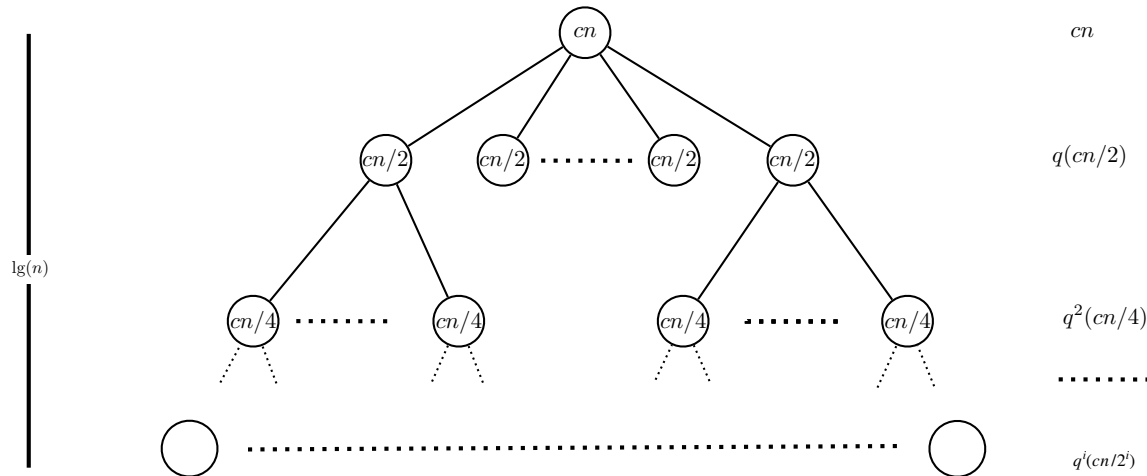
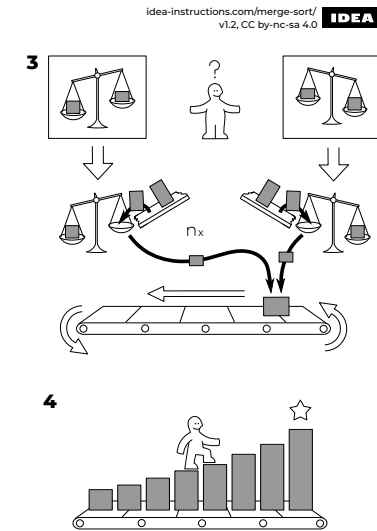
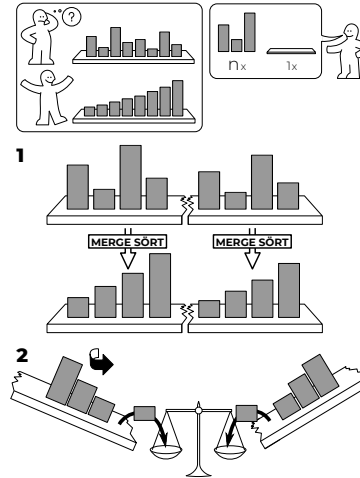
- Divide-and-conquer
- Dynamic programming
- Maximum flow in networks
- Matchings and assignment problems
- Data structures:
  - Hash tables
  - Fenwick trees and dynamic arrays
  - Amortised data structures
- String matching
- Randomized algorithms
- NP-completeness



# Divide-and-Conquer

- Algorithms: counting inversions
- Analysis:
  - Recursion trees.
  - Substitution method

## MERGE SÖRT



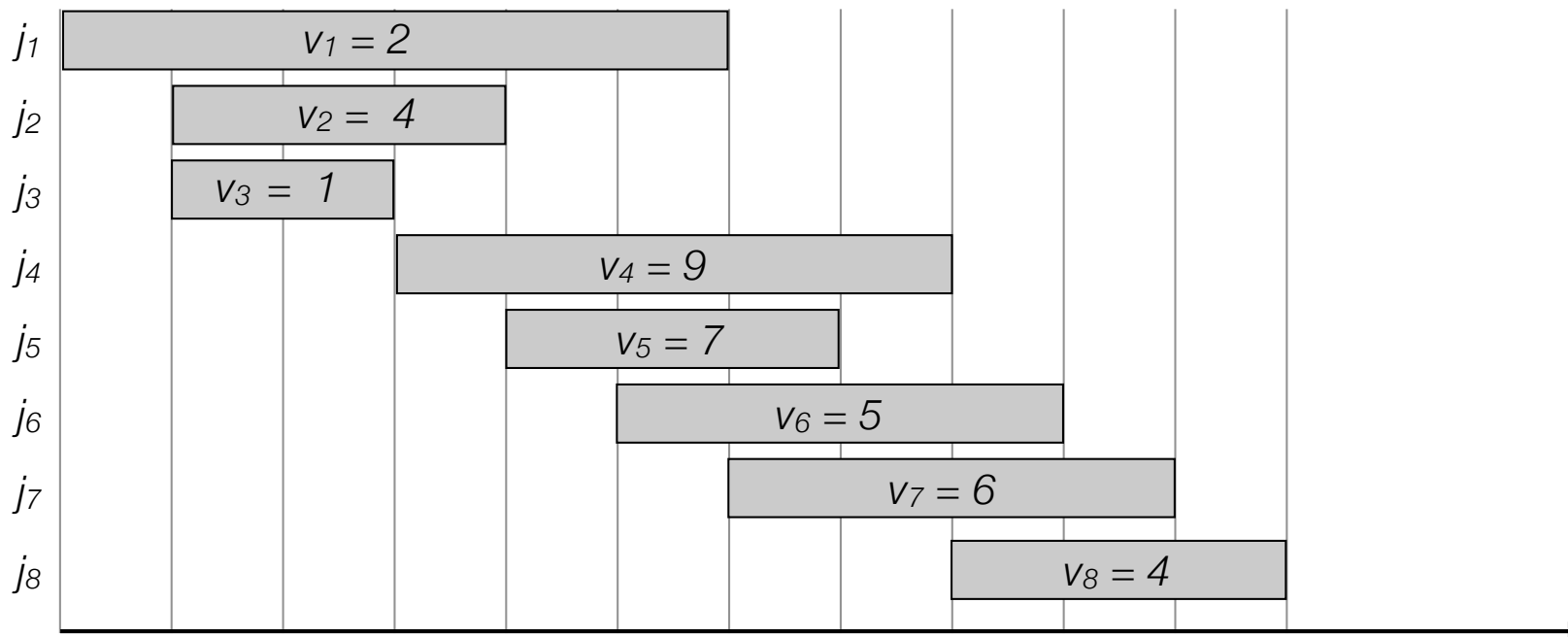
# Dynamic Programming

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- **Greedy.** Build solution incrementally, optimizing some local criterion.
- **Divide-and-conquer.** Break up problem into **independent** subproblems, solve each subproblem, and combine to get solution to original problem.
- **Dynamic programming.** Break up problem into **overlapping** subproblems, and build up solutions to larger and larger subproblems.
  - Can be used when the problem have “**optimal substructure**”:
    - ✦ *Solution can be constructed from optimal solutions to subproblems*
    - ✦ *Use dynamic programming when subproblems overlap.*

# Weighted interval scheduling

- Weighted interval scheduling problem
  - $n$  jobs (intervals)
  - Job  $i$  starts at  $s_i$ , finishes at  $f_i$  and has weight/value  $v_i$ .
  - Goal: Find maximum weight subset of non-overlapping (compatible) jobs.



# Weighted interval scheduling

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- $OPT(j)$  = value of optimal solution to the problem consisting job requests  $1, 2, \dots, j$ .

- **Case 1.**  $OPT(j)$  selects job  $j$

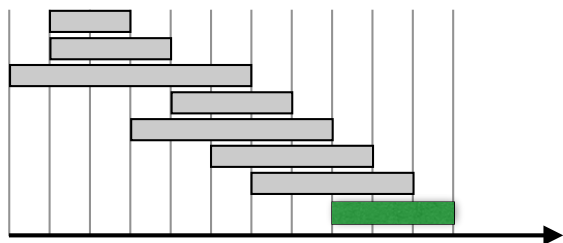
$$OPT(j) = v_j + \text{optimal solution to subproblem on } 1, \dots, p(j)$$

- **Case 2.**  $OPT(j)$  does not select job  $j$

$$OPT = \text{optimal solution to subproblem } 1, \dots, j-1$$

- **Recurrence:**

$$OPT(j) = \begin{cases} 0 & \text{if } j = 0 \\ \max\{v_j + OPT(p(j)), OPT(j-1)\} & \text{otherwise} \end{cases}$$



# Subset Sum

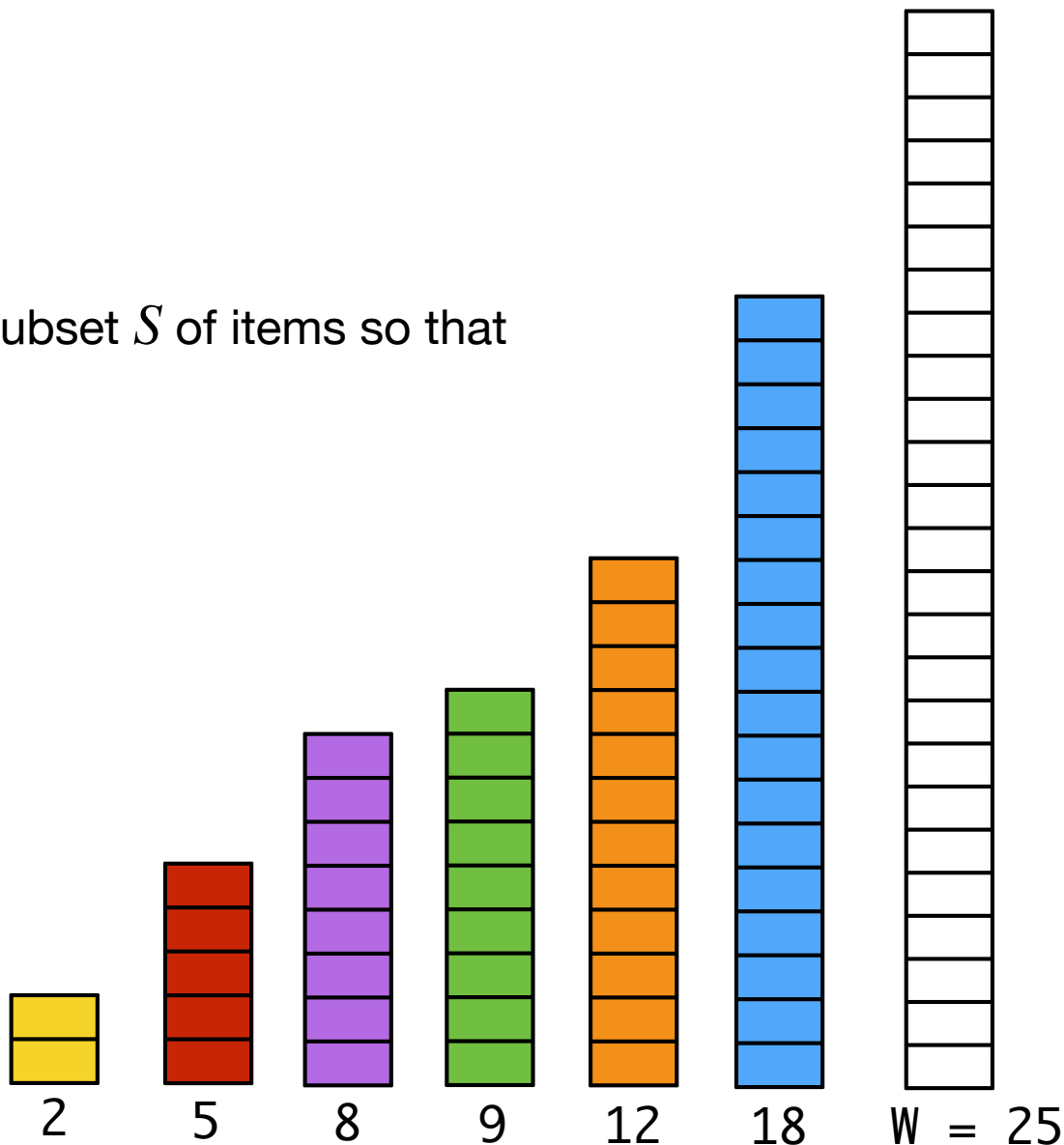
- Subset Sum

- Given  $n$  items  $\{1, \dots, n\}$
- Item  $i$  has weight  $w_i$
- Bound  $W$
- Goal: Select maximum weight subset  $S$  of items so that

$$\sum_{i \in S} w_i \leq W$$

- Example

- $\{2, 5, 8, 9, 12, 18\}$  and  $W = 25$ .
- Solution:  $5 + 8 + 12 = 25$ .



# Subset Sum

- Subset Sum

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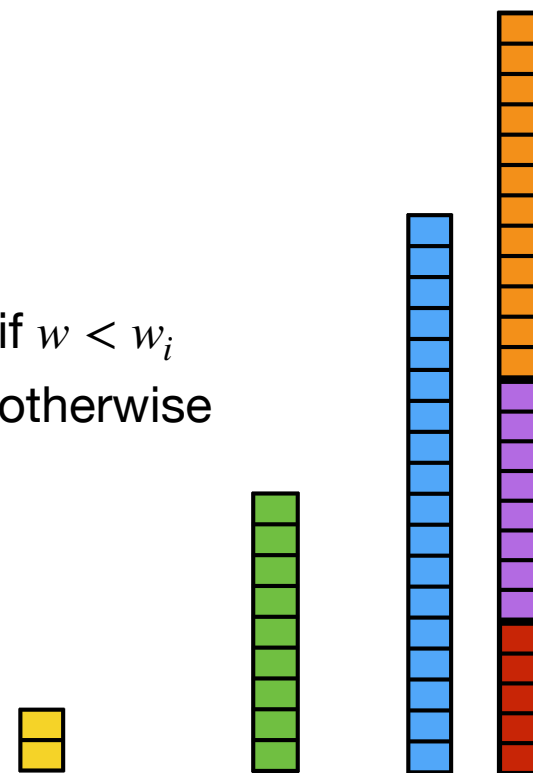
# Subset Sum

---

- $\mathcal{O}$  = optimal solution
- Consider element  $n$ .
  - Either in  $\mathcal{O}$  or not.
    - $n \notin \mathcal{O}$  : Optimal solution using items  $\{1, \dots, n - 1\}$  is equal to  $\mathcal{O}$ .
    - $n \in \mathcal{O}$  : Value of  $\mathcal{O} = w_n +$  weight of optimal solution on  $\{1, \dots, n - 1\}$  with capacity  $W - w_n$ .

- Recurrence

$$\text{OPT}(i, w) = \begin{cases} \text{OPT}(i - 1, w) & \text{if } w < w_i \\ \max(\text{OPT}(i - 1, w), w_i + \text{OPT}(i - 1, w - w_i)) & \text{otherwise} \end{cases}$$



# Subset Sum

- Recurrence:

$$\text{OPT}(i, w) = \begin{cases} \text{OPT}(i - 1, w) & \text{if } w < w_i \\ \max(\text{OPT}(i - 1, w), w_i + \text{OPT}(i - 1, w - w_i)) & \text{otherwise} \end{cases}$$

- Example

- {1, 2, 5, 8, 9} and  $W = 12$

9	5													
8	4													
5	3	0	1	2	3	3	5	6						
2	2	0	1	2	3	3	3	3	3	3	3	3	3	3
1	1	0	1	1	1	1	1	1	1	1	1	1	1	1
-	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		0	1	2	3	4	5	6	7	8	9	10	11	12

# Knapsack

---

- $\mathcal{O}$  = optimal solution
- Consider element  $n$ .
  - Either in  $\mathcal{O}$  or not.
    - $n \notin \mathcal{O}$  : Optimal solution using items  $\{1, \dots, n - 1\}$  is equal to  $\mathcal{O}$ .
    - $n \in \mathcal{O}$  : Value of  $\mathcal{O} = v_n +$  value on optimal solution on  $\{1, \dots, n - 1\}$  with capacity  $W - w_n$ .



- Recurrence

- $\text{OPT}(i, w)$  = optimal solution on  $\{1, \dots, i\}$  with capacity  $w$ .

$$\text{OPT}(i, w) = \begin{cases} \text{OPT}(i - 1, w) & \text{if } w < w_i \\ \max(\text{OPT}(i - 1, w), v_i + \text{OPT}(i - 1, w - w_i)) & \text{otherwise} \end{cases}$$

- Running time  $O(nW)$

# Sequence alignment

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- How similar are ACAAGTC and CATGT.
- Align them such that
  - all items occurs in at most one pair.
  - no crossing pairs.
- Cost of alignment
  - gap penalty  $\delta$
  - mismatch cost for each pair of letters  $\alpha(p,q)$ .
- Goal: find minimum cost alignment.
- Input to problem: 2 strings A and Y, gap penalty  $\delta$ , and penalty matrix  $\alpha(p,q)$ .

A C A A G T C  
- C A T G T -

1 mismatch, 2 gaps

A C A A - G T C  
- C A - T G T -

0 mismatches, 4 gaps

# Sequence alignment

$$SA(X_i, Y_j) = \begin{cases} j\delta & \text{if } i = 0 \\ i\delta & \text{if } j = 0 \\ \min \begin{cases} \alpha(x_i, y_j) + SA(X_{i-1}, Y_{j-1}), \\ \delta + SA(X_i, Y_{j-1}), \\ \delta + SA(X_{i-1}, Y_j) \end{cases} & \text{otherwise} \end{cases}$$

		A	C	A	A	G	T	C
C								
A								
T								
G								
T								

$\delta = 1$

$SA(X_5, Y_3)$   
Depends on ?

Penalty matrix

	A	C	G	T
A	0	1	2	2
C	1	0	2	3
G	2	2	0	1
T	2	3	1	0

# Dynamic programming

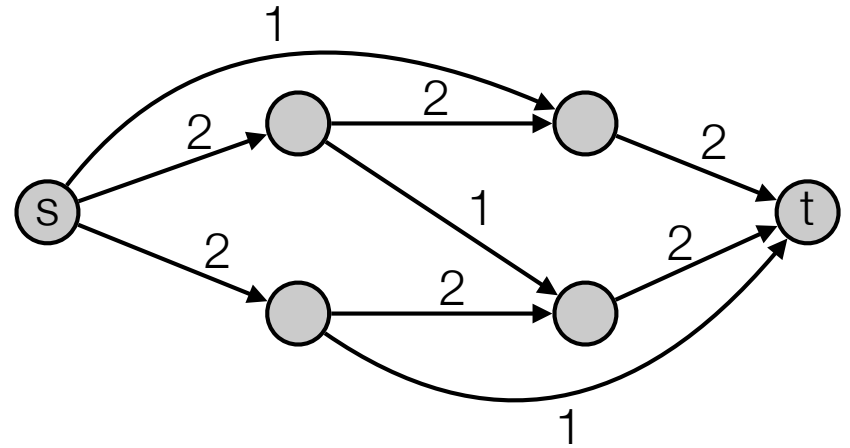
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- **First formulate the problem recursively.**
  - Describe the *problem* recursively in a clear and precise way.
  - Give a recursive formula for the problem.
- **Bottom-up**
  - Identify all the subproblems.
  - Choose a memoization data structure.
  - Identify dependencies.
  - Find a good evaluation order.
- **Top-down**
  - Identify all the subproblems.
  - Choose a memoization data structure.
  - Identify base cases.
  - Remember to save results and check before computing.

# Network Flow

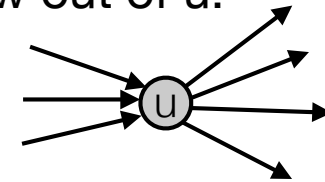
- Network flow:

- graph  $G=(V,E)$ .
- Special vertices  $s$  (source) and  $t$  (sink).
- Every edge  $(u,v)$  has a capacity  $c(u,v) \geq 0$ .
- Flow:



- **capacity constraint:** every edge  $e$  has a flow  $0 \leq f(u,v) \leq c(u,v)$ .
- **flow conservation:** for all  $u \neq s, t$ : flow into  $u$  equals flow out of  $u$ .

$$\sum_{v:(v,u) \in E} f(v,u) = \sum_{v:(u,v) \in E} f(u,v)$$



- Value of flow  $f$  is the sum of flows out of  $s$  minus sum of flows into  $s$ :

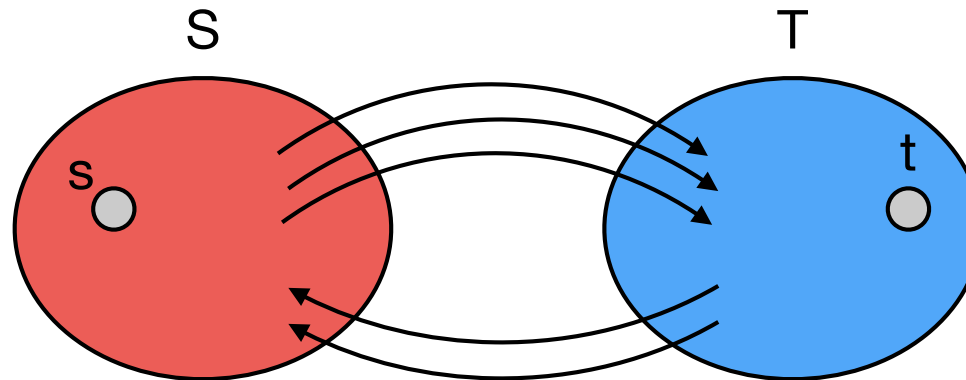
$$|f| = \sum_{v:(s,v) \in E} f(s,v) - \sum_{v:(v,s) \in E} f(v,s)$$

- **Maximum flow problem:** find  $s$ - $t$  flow of maximum value

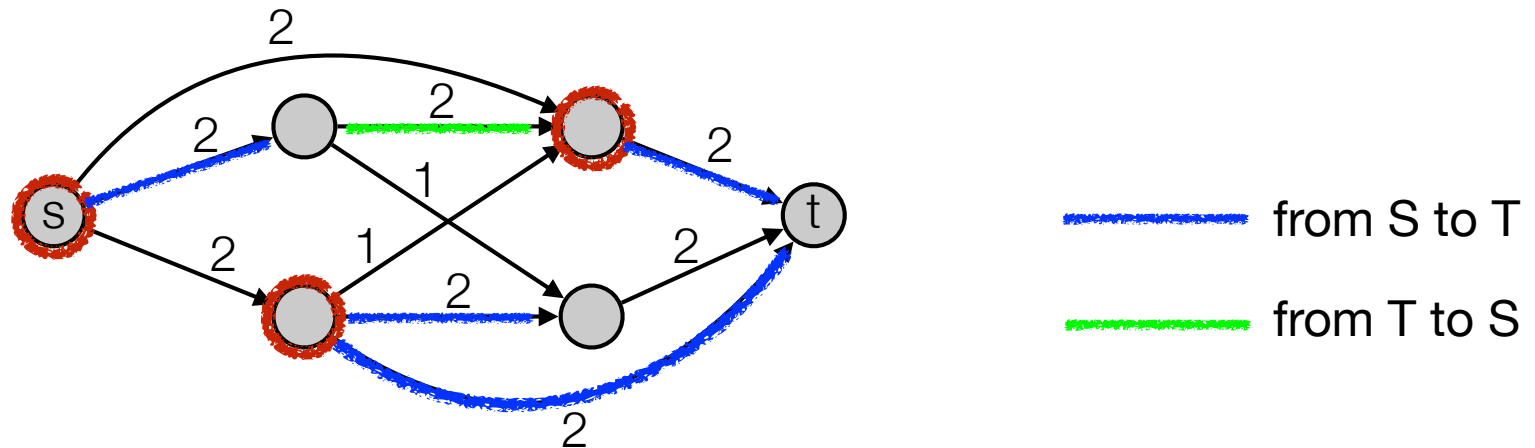
# s-t Cuts

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- **Cut:** Partition of vertices into S and T, such that  $s \in S$  and  $t \in T$ .



- Example

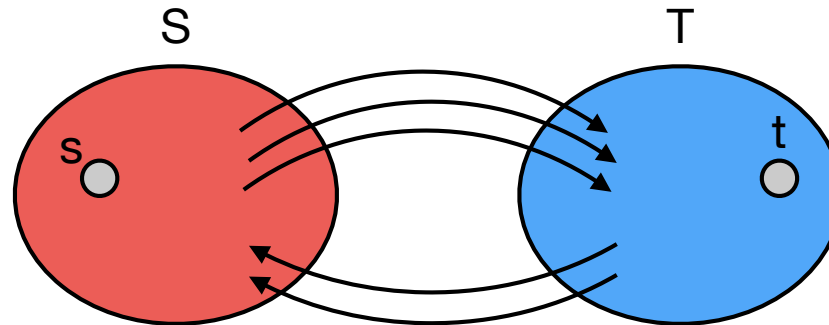




# Network flow: s-t Cuts

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- **Cut:** Partition of vertices into  $S$  and  $T$ , such that  $s \in S$  and  $t \in T$ .

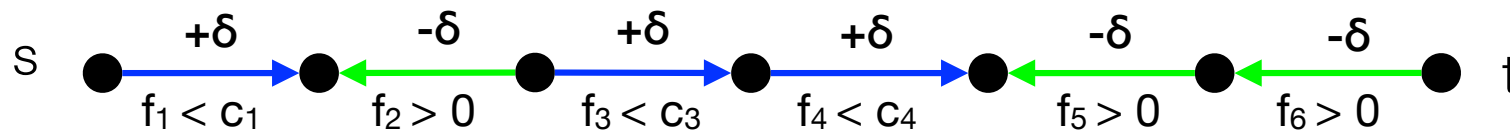


- **Capacity of cut:** total capacity of edges going **from**  $S$  **to**  $T$ .
- **Flow across cut:** flow from  $S$  to  $T$  minus flow from  $T$  to  $S$ .
- Value of flow any flow  $|f| \leq c(S,T)$  for any s-t cut  $(S,T)$ .
- Suppose we have found flow  $f$  and cut  $(S,T)$  such that  $|f| = c(S,T)$ . Then  $f$  is a maximum flow and  $(S,T)$  is a minimum cut.

# Augmenting paths

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- **Augmenting path**: s-t path where
  - **forward** edges have leftover capacity
  - **backwards** edges have positive flow

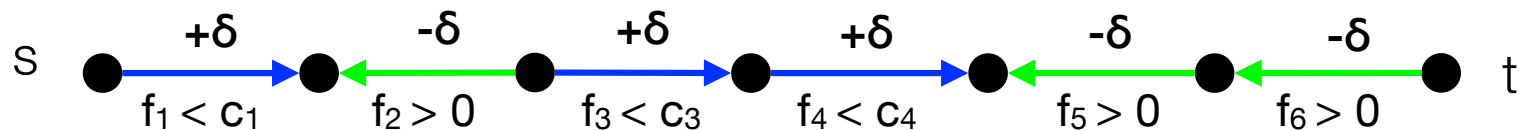


- There is no augmenting path  $\Leftrightarrow$   $f$  is a maximum flow.
- **Ford-Fulkerson algorithm**:
  - Repeatedly find augmenting path, use it, until no augmenting path exists
  - Running time:  $O(|f^*| m)$ .
- **Edmonds-Karp algorithm**:
  - Repeatedly find **shortest** augmenting path, use it, until no augmenting path exists
  - Use BFS to find a shortest augmenting path.
  - Running time:  $O(nm^2)$
- **Find minimum cut**. All vertices to which there is an augmenting path from  $s$  goes into  $S$ , rest into  $T$ .

# Augmenting paths

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- **Augmenting path**: s-t path where
  - **forward** edges have leftover capacity
  - **backwards** edges have positive flow

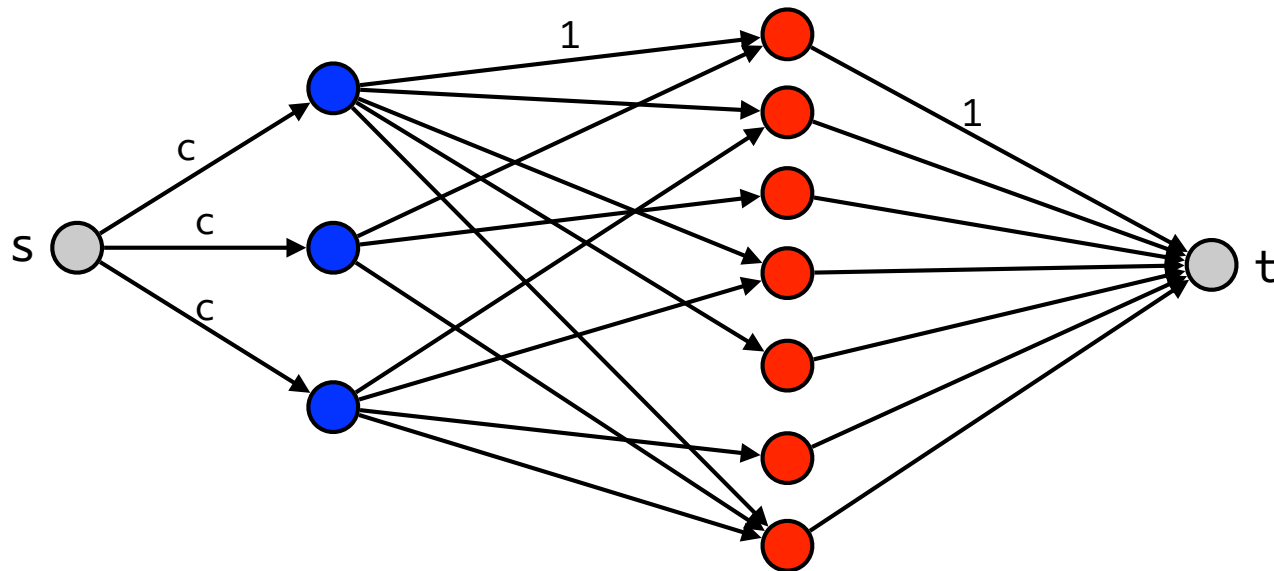


- There is no augmenting path  $\Leftrightarrow$   $f$  is a maximum flow.
- **Scaling algorithm**:
  - Set  $\Delta =$  highest power of two that is no larger than the largest capacity out of  $s$ .
  - Until  $\Delta < 1$ 
    - Repeatedly find augmenting path in  $G_\Delta$ , use it, until no augmenting path exists.
    - Set  $\Delta = \Delta/2$
  - Running time:  $O(m^2 \log C)$ .

# Network flow

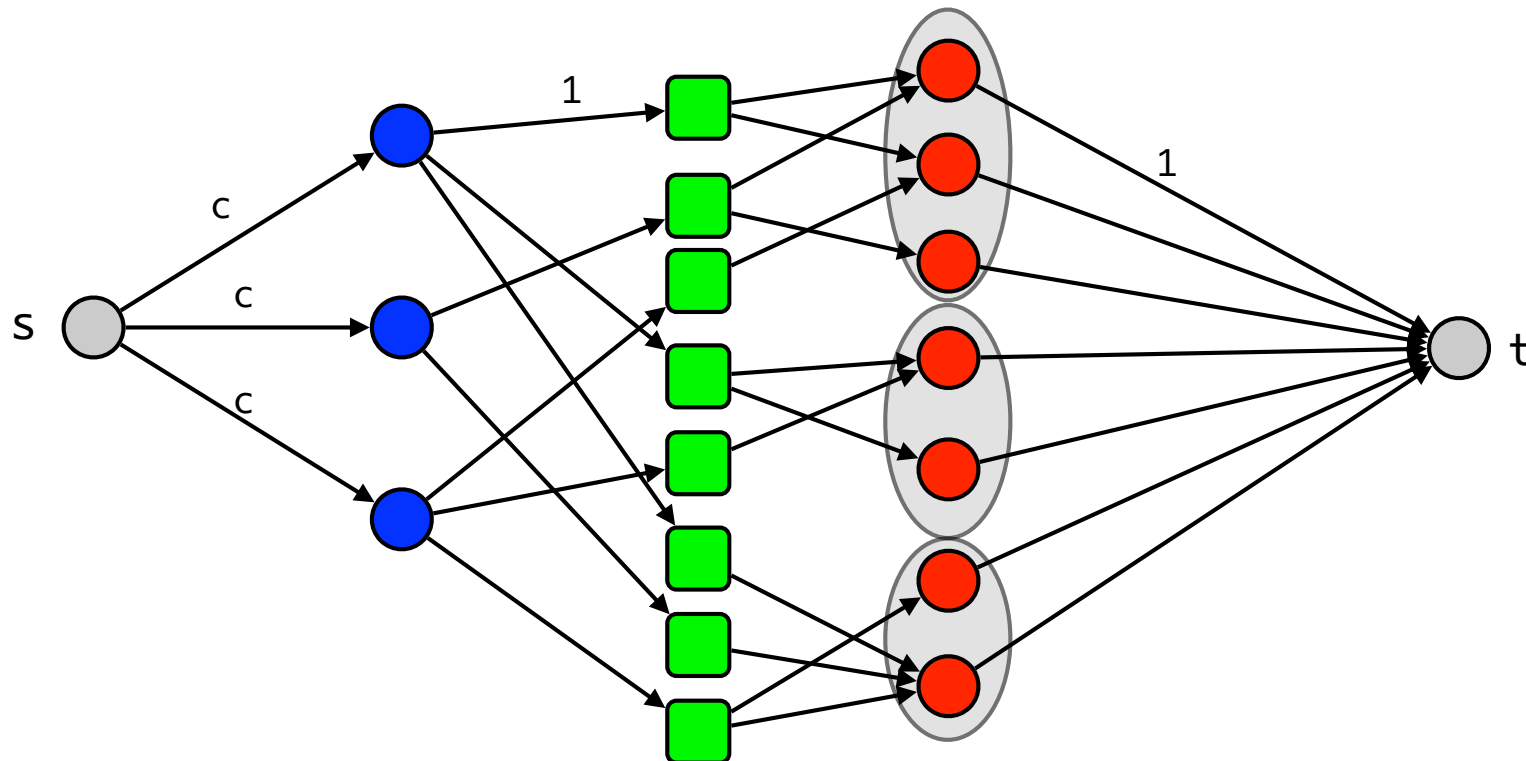
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- Can model and solve many problems via maximum flow.
  - Maximum bipartite matching
  - $k$  edge-disjoint paths
  - capacities on vertices
  - Many sources/sinks
  - assignment problems: Example.  $X$  doctors,  $Y$  holidays, each doctor should work at at most  $c$  holidays, each doctor is available at some of the holidays.

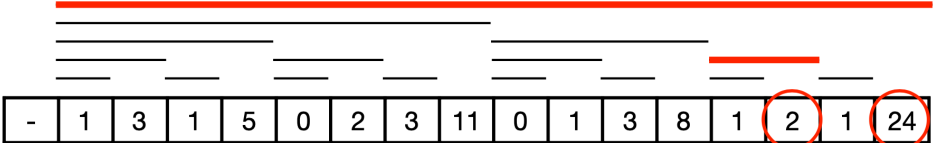
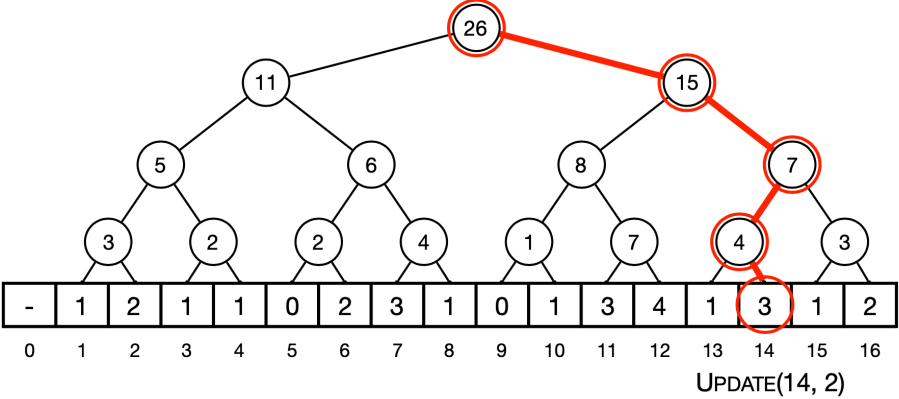
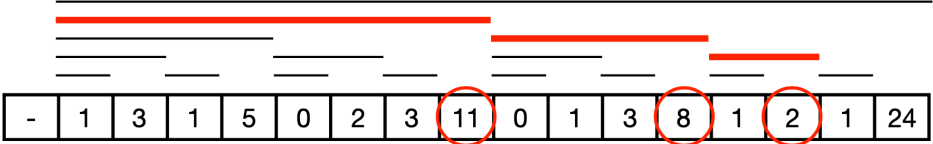
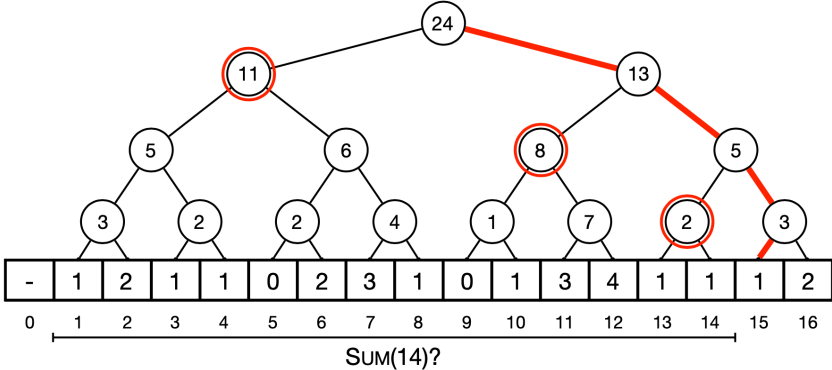


# Scheduling of doctors

- $X$  doctors,  $Y$  holidays, each doctor should work at at most  $c$  holidays, each doctor is available at some of the holidays.
- *Each doctor should work at most one day in each vacation period.*



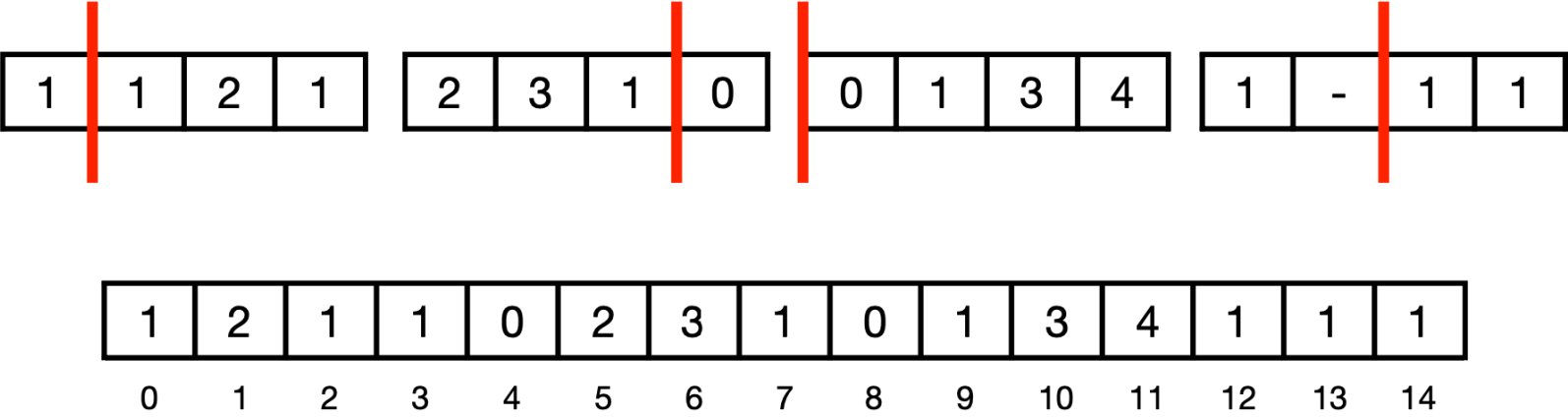
# Partial sums



# Dynamic array:

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- 2-level rotated array



# Amortized analysis

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- Amortized analysis.
  - Time required to perform a sequence of data operations is averaged over all the operations performed.
- Example: dynamic tables with doubling and halving
  - If the table is **full** copy the elements to a new array of **double** size.
  - If the table is a **quarter** full copy the elements to a new array of **half** the size.
  - Worst case time for insertion or deletion:  $O(n)$
  - Amortized time for insertion and deletion:  $O(1)$
  - Any sequence of  $n$  insertions and deletions takes time  $O(n)$ .
- Methods.
  - Aggregate method
  - Accounting method
  - Potential method

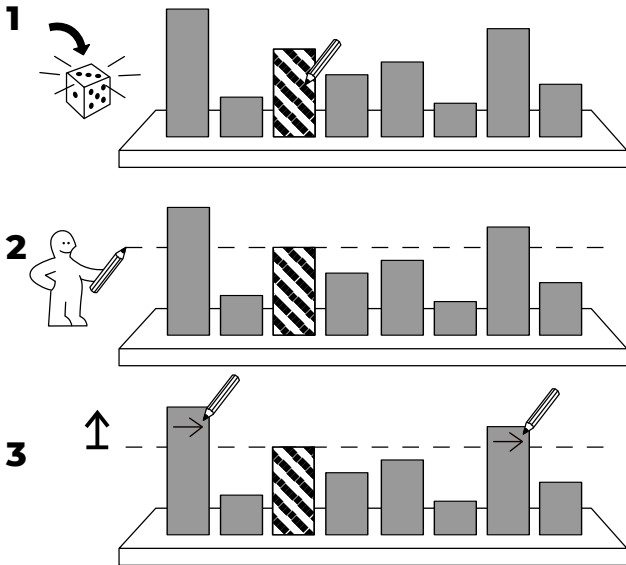
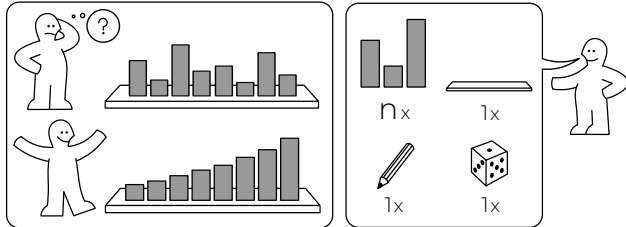


# Randomized algorithms

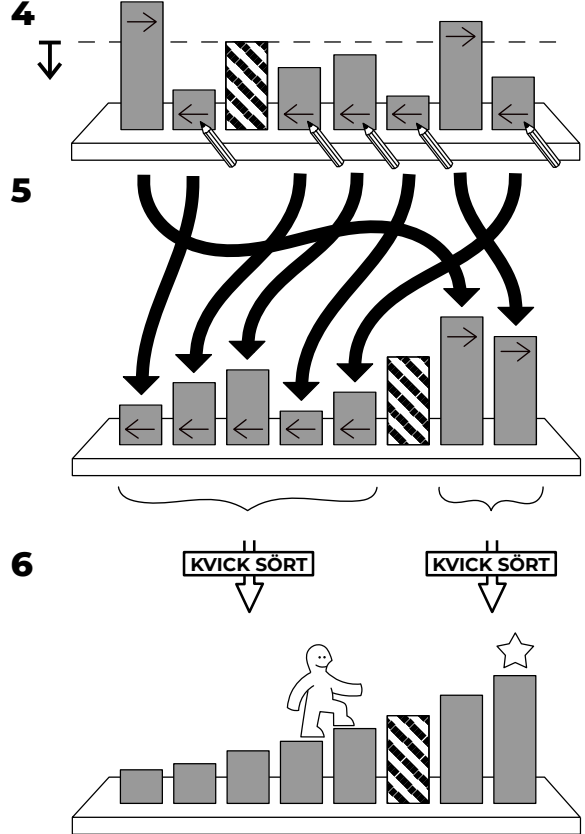
- Contention resolution
- Minimum cut
- Coupon Collector.
- Selection
- Quicksort
- Hashing



## KVICK SÖRT



idea-instructions.com/quick-sort/  
v1.2, CC by-nc-sa 4.0 **IDEA**

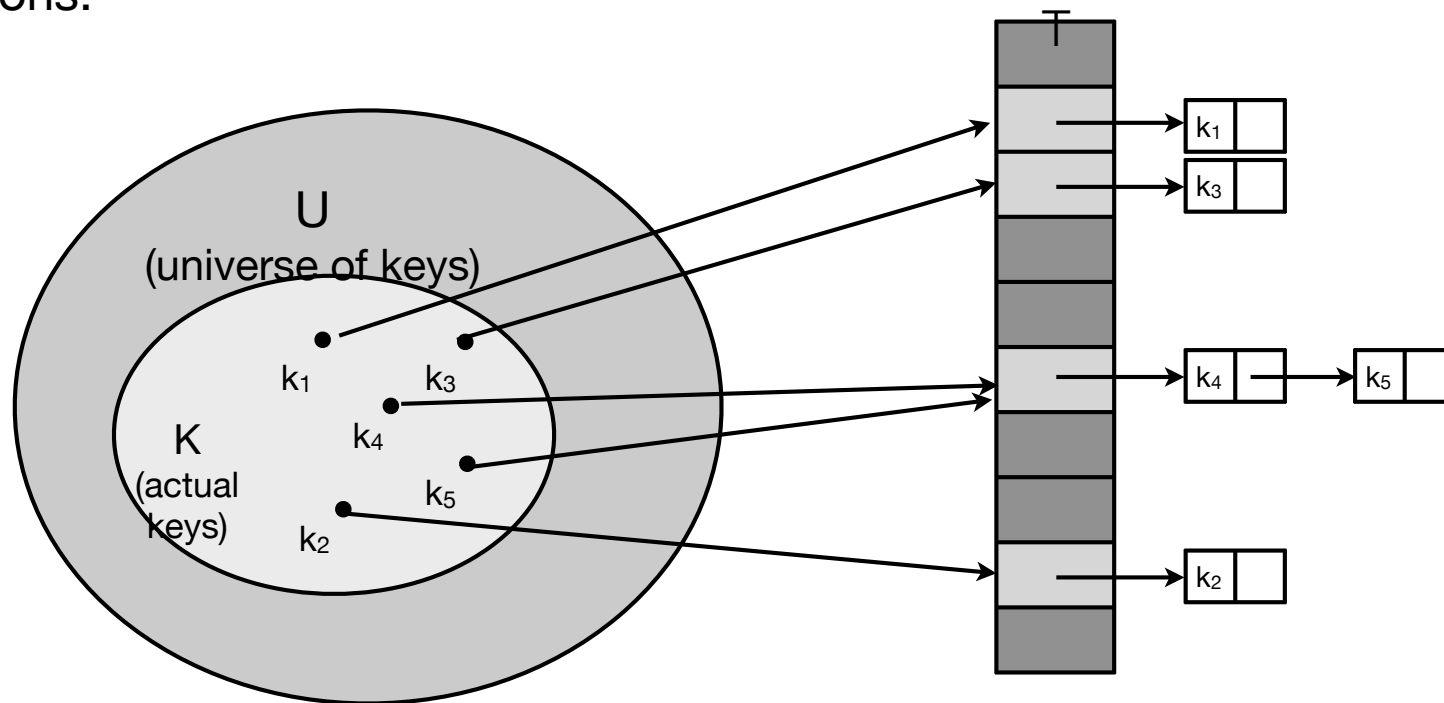


# Hash tables and hash functions

- **Theorem.** We can solve the dictionary problem (without special assumptions) in:
  - $O(n)$  space.
  - $O(1)$  expected time per operation (lookup, insert, delete).
- **Hash function.** Given a prime  $p$  and  $a = (a_1 a_2 \dots a_r)_p$ , define

$$h_a((x_1 x_2 \dots x_r)_p) = a_1 x_1 + a_2 x_2 + \dots + a_r x_r \pmod{p}$$

- Then  $H = \{h_a \mid (a_1 a_2 \dots a_r)_p \in \{0, \dots, p - 1\}^r\}$  is a universal family of hash functions.



# String Matching

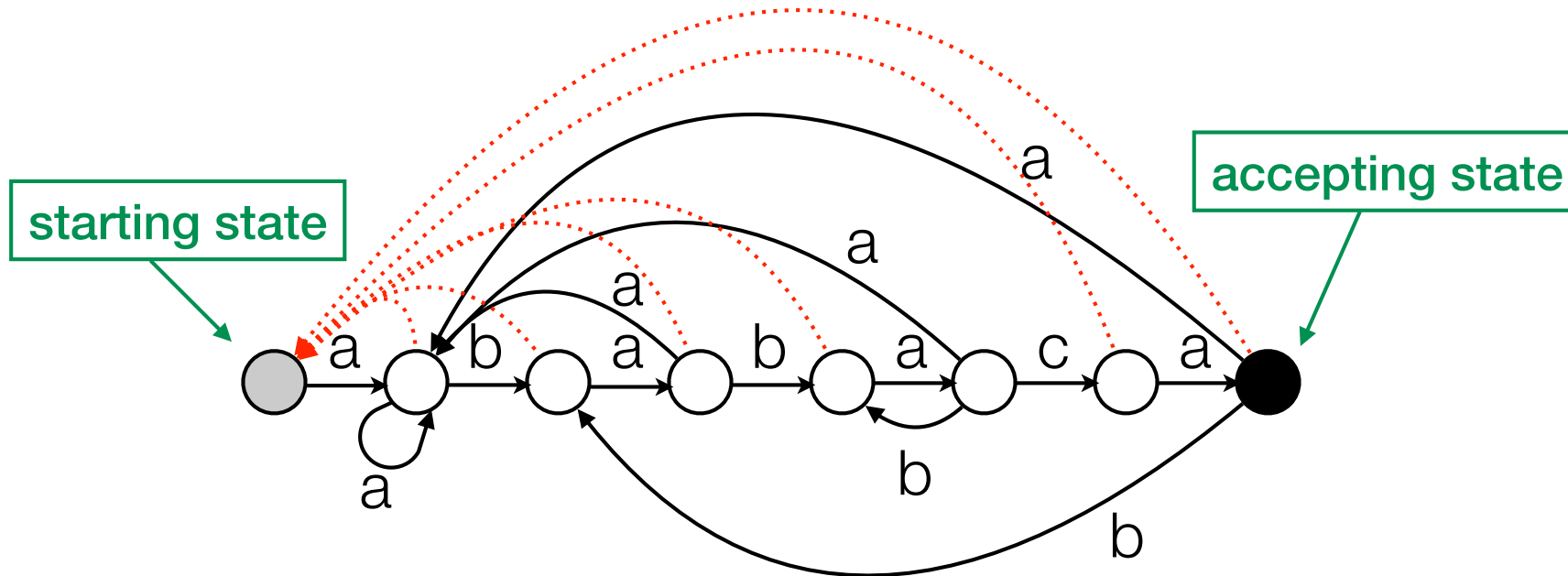
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- **String matching problem:**
  - string  $T$  (text) and string  $P$  (pattern) over an alphabet  $\Sigma$ .  $|T| = n$ ,  $|P| = m$ .
  - Report all starting positions of occurrences of  $P$  in  $T$ .
- **Knuth-Morris-Pratt (KMP)**. Running time:  $O(m + n)$
- **String matching automaton**. Running time:  $O(n + m|\Sigma|)$

# Finite Automaton

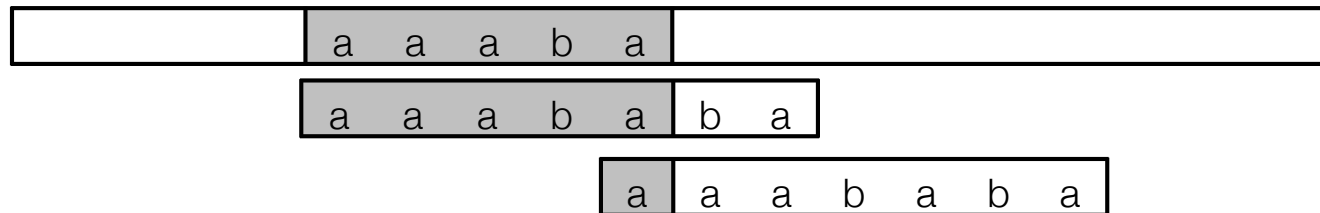
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- Finite automaton: alphabet  $\Sigma = \{a,b,c\}$ .  $P = ababaca$ .



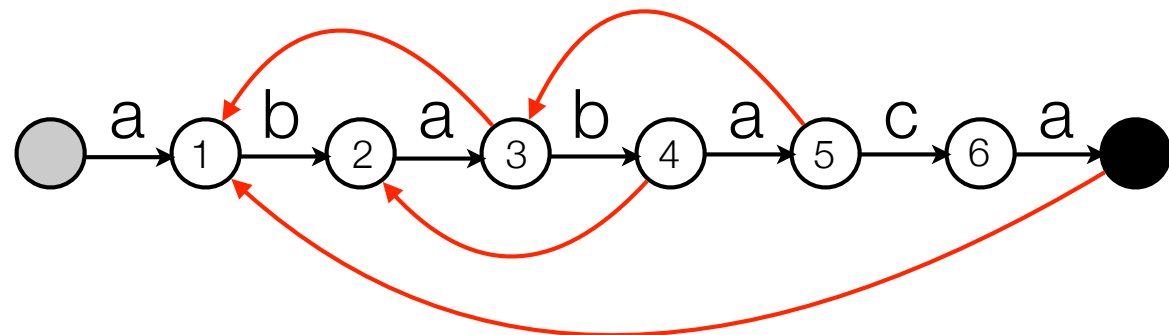
# Knuth-Morris-Pratt (KMP)

- Matched  $P[1\dots q]$ : Find longest block  $P[1..k]$  that matches end of  $P[2..q]$ .



- Find longest prefix  $P[1\dots k]$  of  $P$  that is a *proper* suffix of  $P[1\dots q]$
- Array  $\pi[1\dots m]$ :
  - $\pi[q] = \max k < q$  such that  $P[1\dots k]$  is a suffix of  $P[1\dots q]$ .
- Can be seen as finite automaton with *failure links*:

$i$	1	2	3	4	5	6	7
$\pi[i]$	0	0	1	2	3	0	1



# P and NP

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- P solvable in deterministic polynomial time.
- NP solvable in non-deterministic (with guessing) polynomial time. Only the time for the right guess is counted.
- $P \subseteq NP$  (every problem T which is in P is also in NP).
- It is not known (but strongly believed) whether the inclusion is proper, that is whether there is a problem in NP which is not in P.
- There is subclass of NP which contains the hardest problems, NP-complete problems.
- Reductions.

# Courses

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