# Approximation Algorithms

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#### Approximation algorithms

- Fast. Cheap. Reliable. Choose two.
- NP-hard problems: choose 2 of
  - optimal
  - polynomial time
  - all instances
- Approximation algorithms. Trade-off between time and quality.
- Let A(I) denote the value returned by algorithm A on instance I. Algorithm A is an αapproximation algorithm if for any instance I of the optimization problem:
  - A runs in polynomial time
  - A returns a valid solution
  - A(I)  $\leq \alpha \cdot OPT$ , where  $\alpha \geq 1$ , for minimization problems
  - $A(I) \ge \alpha \cdot OPT$ , where  $\alpha \le 1$ , for maximization problems

#### Examples

- **Acyclic Graph** Given a directed graph G=(V,E), pick a maximum cardinality set of edges from E such that the resulting graph is acyclic.
  - Give a 1/2-approximation algorithm for this problem.

#### Minimum Maximal Matching

- A matching in a graph G=(V,E) is a subset of edges M ⊆ E, such that no two
  edges in M share an endpoint.
- A maximal matching is a matching that cannot be extended, i.e., it is not possible to add an edge from E \ M to M without violating the constraint.
- Design a 2-approximation algorithm for finding a minimum cardinality maximal matching in an undirected graph.

#### Examples

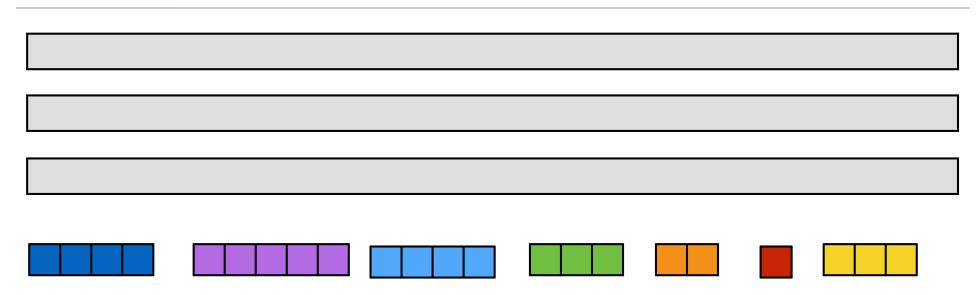
- **Acyclic Graph** Given a directed graph G=(V,E), pick a maximum cardinality set of edges from E such that the resulting graph is acyclic.
  - Give a 1/2-approximation algorithm for this problem.
  - Lower bound what is the best we can hope for?
  - Arbitrarily number the vertices and pick the bigger of the two sets, the forward going edges and the backward going edges.

#### Minimum Maximal Matching

- A matching in a graph G=(V,E) is a subset of edges M \subseteq E, such that no two edges in M share an endpoint.
- A maximal matching is a matching that cannot be extended, i.e., it is not
  possible to add an edge from E\setminus M to M without violating the constraint.
- Design a 2-approximation algorithm for finding a minimum cardinality maximal matching in an undirected graph.
- Lower bound: Any maximal matching is at least half the maximum maximal matching. Why?

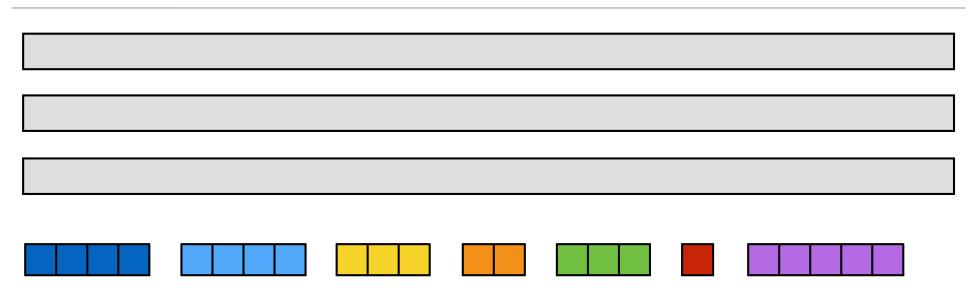
# Load balancing

#### Scheduling on identical parallel machines

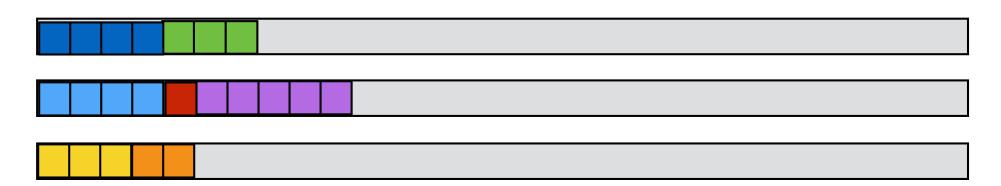


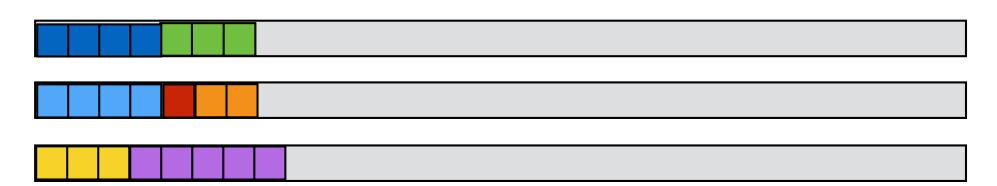
- n jobs to be scheduled on m identical machines.
- Each job has a processing time t<sub>j</sub>.
- Once a job has begun processing it must be completed.
- T<sub>j:</sub> Load of machine j.
- Goal. Schedule all jobs so as to minimize the maximum load (makespan):

minimize 
$$T = \max_{i=1...n} T_i$$



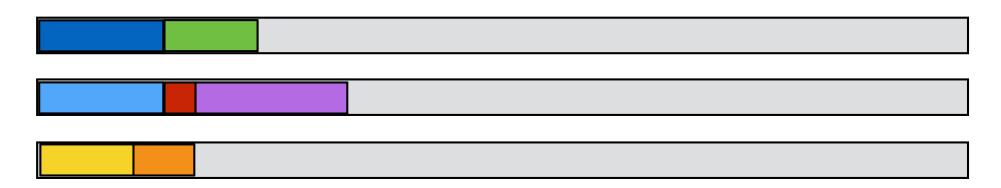
- Simple greedy. Process jobs in any order. Assign next job on list to machine with smallest current load.
- The greedy algorithm above is a 2-approximation algorithm:
  - polynomial time ✓
  - valid solution ✓
  - factor 2







### Approximation factor



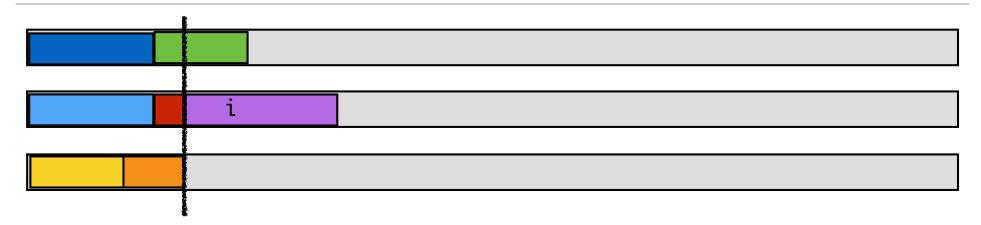
- Lower bounds:
  - Each job must be processed:

$$T^* \ge \max_j t_j$$

• There is a machine that is assigned at least average load:

$$T^* \ge \frac{1}{m} \sum_{j} t_j$$

#### Approximation factor

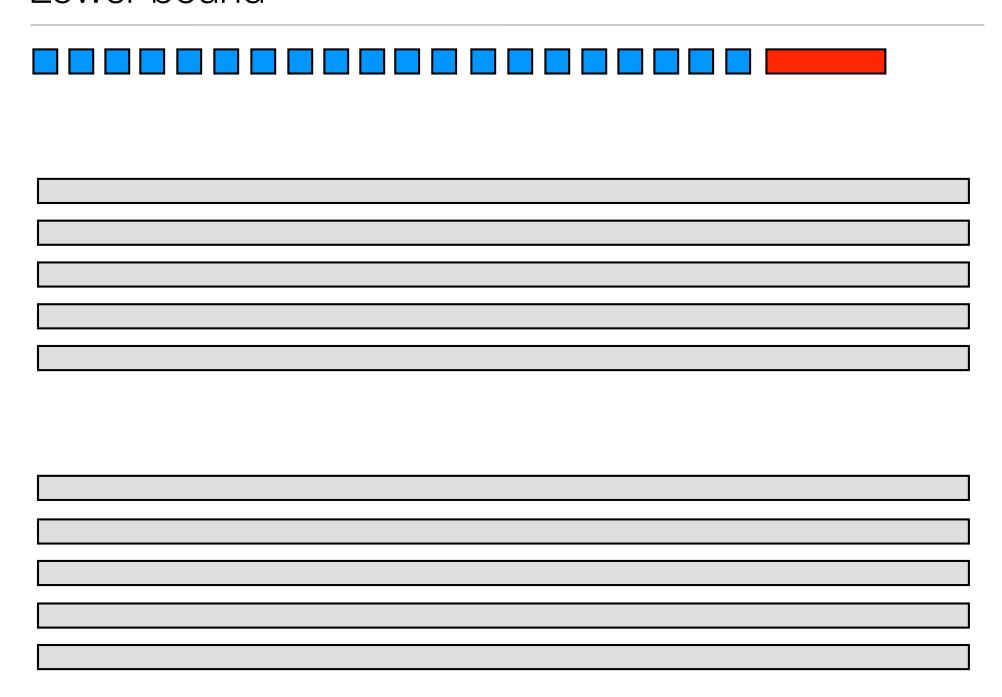


- i: job finishes last.
- All other machines busy until start time s of i. (s = T<sub>i</sub> t<sub>i</sub>)
- Partition schedule into before and after s.
- After  $\leq T^*$ .
- Before:
  - All machines busy => total amount of work = m·s:

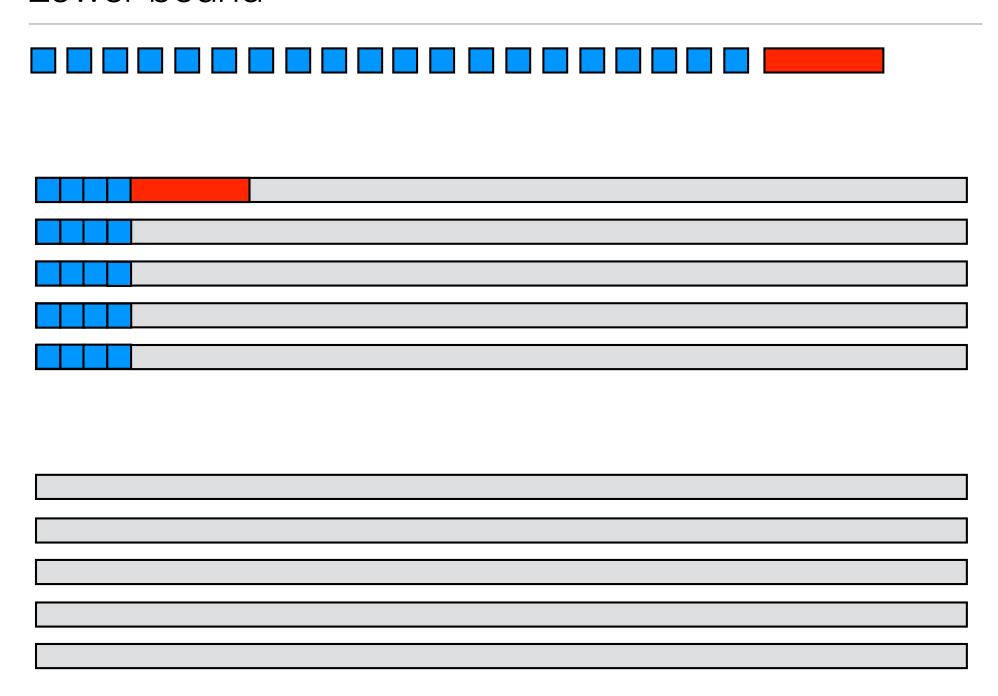
$$m \cdot s \le \sum_{j} t_{j}$$
  $\Rightarrow s \le \frac{1}{m} \sum_{j} t_{j} \le T^{*}$ 

• Length of schedule =  $s + t_i \le T^* + T^* = 2T^*$ .

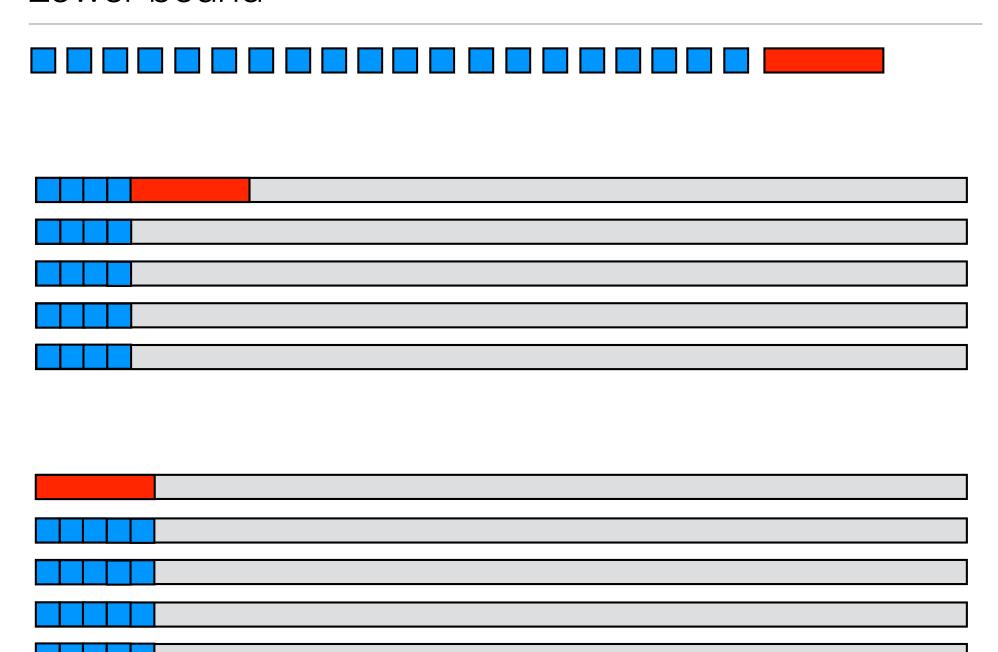
#### Lower bound



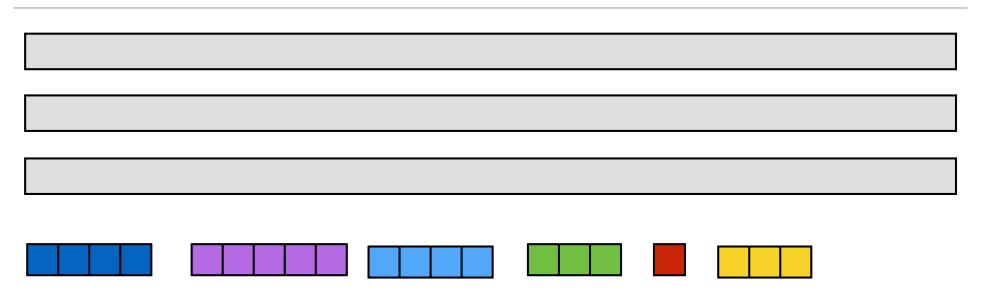
#### Lower bound



#### Lower bound

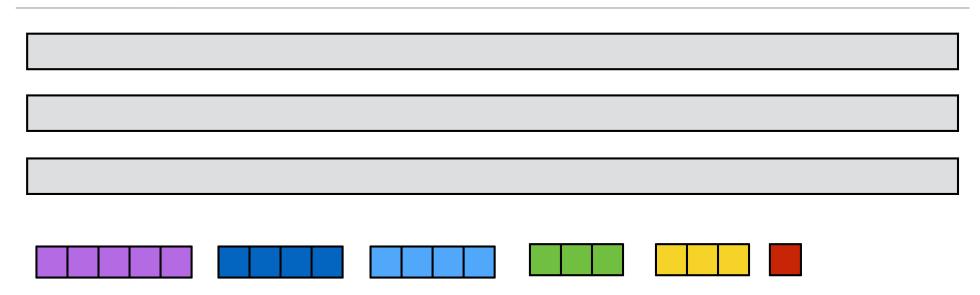


#### Longest processing time rule



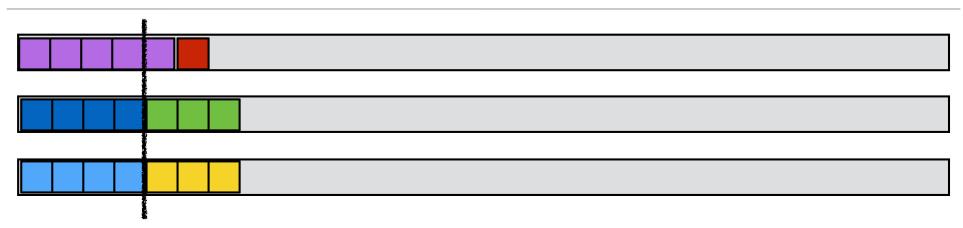
• Longest processing time rule (LPT). Sort jobs in non-increasing order. Assign next job on list to machine as soon as it becomes idle.

#### Longest processing time rule



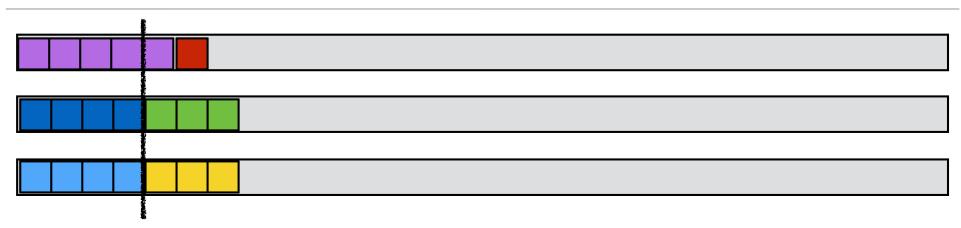
- Longest processing time rule (LPT). Sort jobs in non-increasing order. Assign next job on list to machine as soon as it becomes idle.
- LPT is a is a 3/2-approximation algorithm:
  - polynomial time ✓
  - valid solution
  - factor 3/2

#### Longest processing time rule: factor 3/2



- Longest processing time rule (LPT). Sort jobs in non-increasing order. Assign next job on list to machine as soon as it becomes idle.
- Assume  $t_1 \ge .... \ge t_n$ .
- If  $n \le m$  then optimal.
- Lower bound: If n > m then  $T^* \ge 2t_{m+1}$ .
- Factor 3/2:
  - Before ≤ T\*
  - After: i job that finishes last.
    - $t_i \le t_{m+1} \le T^*/2$ .
  - $T \le T^* + T^*/2 \le 3/2 T^*$ .
- Tight?

#### Longest processing time rule: factor 4/3



- Longest processing time rule (LPT). Sort jobs in non-increasing order. Assign next job on list to machine as soon as it becomes idle.
- Assume  $t_1 \ge .... \ge t_n$ .
- Assume wlog that smallest job finishes last.
- If  $t_n \le T^*/3$  then  $T \le 4/3 T^*$ .
- If t<sub>n</sub> > T\*/3 then each machine can process at most 2 jobs in OPT.
- Lemma. For any input where the processing time of each job is more than a third of the optimal makespan, LPT computes an optimal schedule.
- Theorem. LPT is a 4/3-approximation algorithm.

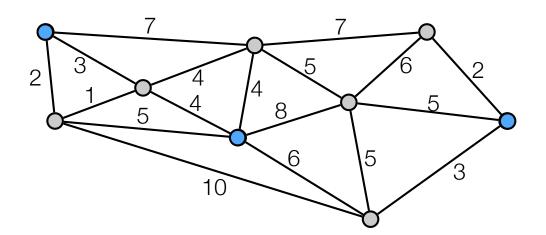
# k-center

#### The k-center problem

- Input. An integer k and a set of sites S with distance d(i,j) between each pair of sites i,j ∈ S.
- · d is a metric:
  - dist(i,i) = 0
  - dist(i,j) = dist(j,i)
  - dist(i,l) ≤ dist(i,j) + dist(j,l)
- Goal. Choose a set  $C \subseteq S$ , |C| = k, of k centers so as to minimize the maximum distance of a site to its closest center.

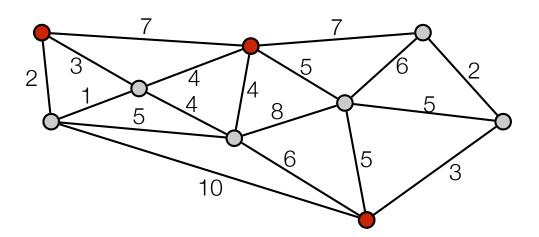
$$C = \operatorname{argmin}_{C \subseteq V, |C| = k} \operatorname{max}_{i \in V} \operatorname{dist}(i, C)$$

Covering radius. Maximum distance of a site to its closest center.



#### k-center: Greedy algorithm

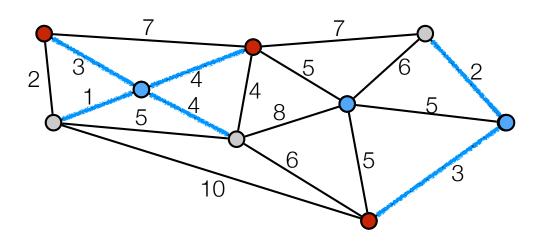
- · Greedy algorithm.
  - Pick arbitrary i in S.
  - Set  $C = \{i\}$
  - while |C| < k do
    - Find vertex j farthest away from any cluster center in C
    - Add j to C
  - Return C



- Greedy is a 2-approximation algorithm:
  - polynomial time ✓
  - valid solution ✓
  - factor 2

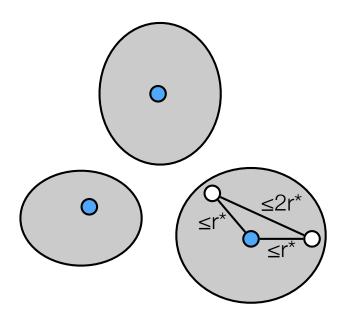
#### k-center analysis: optimal clusters

• Optimal clusters: each vertex assigned to its closest optimal center.

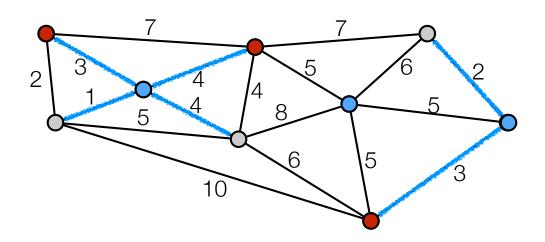


#### k-center analysis

- r\* optimal radius.
- Claim: Two vertices in same optimal cluster has distance at most 2r\* to each other.

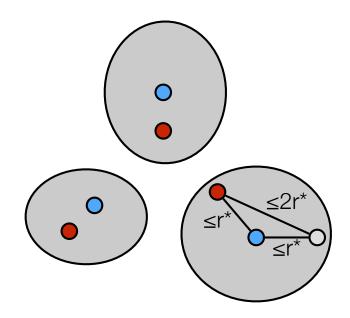


#### k-center

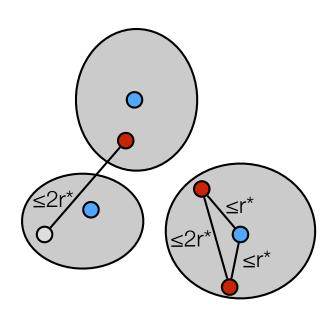


#### k-center: analysis greedy algorithm

- r\* optimal radius.
- Show all vertices within distance 2r\* from a center.
- Consider optimal clusters. 2 cases.
  - 1. Algorithm picked one center in each optimal cluster
    - distance from any vertex to its closest center ≤ 2r\*.



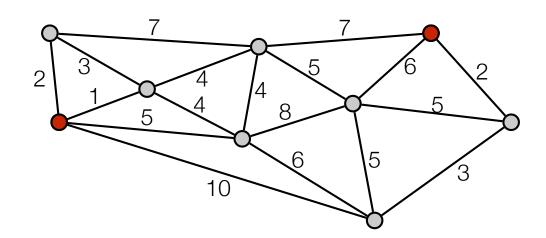
- 2. Some optimal cluster does not have a center.
  - Some cluster have more than one center.
  - Distance between these two centers ≤ 2r\*.
  - When second center in same cluster picked it was the vertex farthest away from any center.
  - Distance from any vertex to its closest center at most 2r\*.



#### Bottleneck algorithm

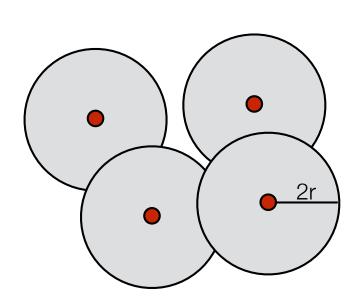
- · Assume we know the optimum covering radius r.
- Bottleneck algorithm.
  - Set R := S and  $C := \emptyset$ .
  - while  $R \neq \emptyset$  do
    - Pick arbitrary i in R.
    - Add j to C
    - Remove all vertices with  $d(j,v) \le 2r$  from R.
  - Return C

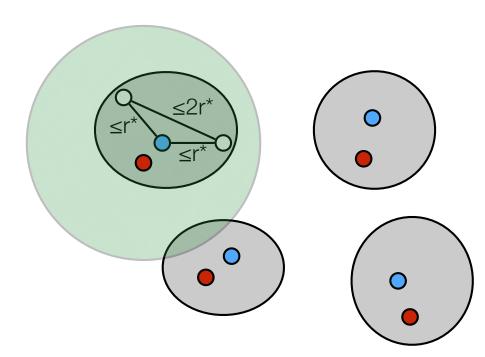
• Example: k = 3. r = 4.



#### Analysis bottleneck algorithm

- r\* optimal radius.
- Covering radius is at most 2r = 2r\*.
- Show that we cannot pick more than k centers:
  - We can pick at most one in each optimal cluster:
    - Distance between two nodes in same optimal cluster ≤ 2r.\*
    - When we pick a center in a optimal cluster all nodes in same optimal cluster is removed.





#### Analysis bottleneck algorithm

- r\* optimal radius.
- Can use algorithm to "guess" r\* (at most n² values).
- If algorithm picked more than k centers then r\* > r.
  - If algorithm picked more than k centers then it picked more than one in some optimal cluster.
  - Distance between two nodes in same optimal cluster ≤ 2r.\*
  - If more than one in some optimal cluster then 2r < 2r\*.</li>

