

# Suffix Trees

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- String Indexing/String Dictionaries
- Tries
- Suffix Trees

Inge Li Gørtz

# Suffix Trees

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- String Dictionaries
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# String Indexing

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- **String indexing problem.** Let  $S$  be a string of characters from alphabet  $\Sigma$ . Preprocess  $S$  into data structure to support:
  - $\text{search}(P)$ : Return the starting positions of all occurrences of  $P$  in  $S$ .
- **Example.**
  - $S = \text{yabbadabbado}$
  - $\text{search(abba)} = \{1,6\}$
- **String dictionary problem.** Let  $S = \{S_1, S_2, \dots, S_k\}$  be a set of strings of characters from alphabet  $\Sigma$ . Preprocess  $S$  into data structure to support:
  - $\text{search}(P)$ : Return yes if  $P = S_i$  for some  $S_i$  in  $S$ .
  - $\text{prefix-search}(P)$ : Return all strings in  $S$  for which  $P$  is a prefix.

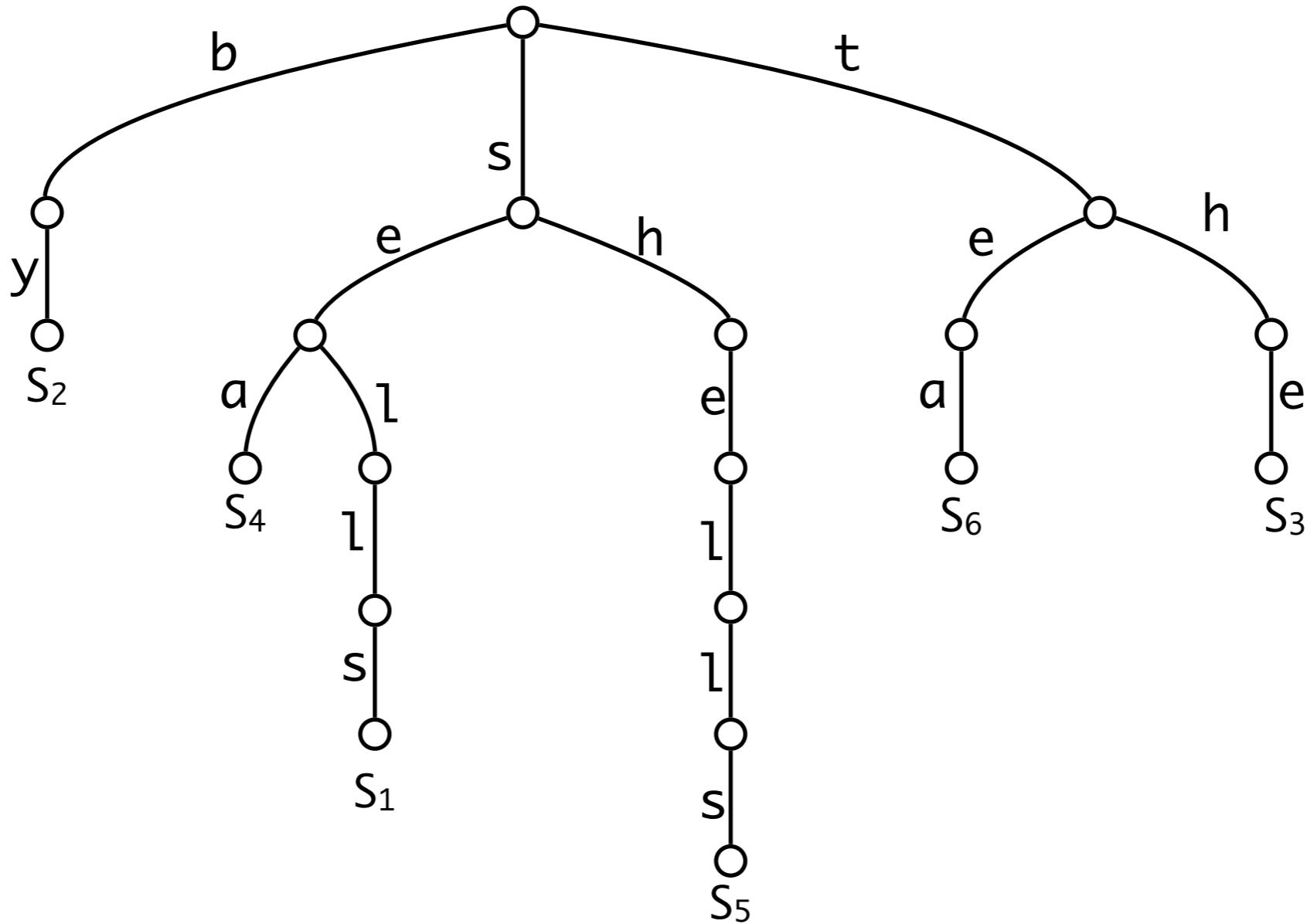
# Suffix Trees

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# Tries

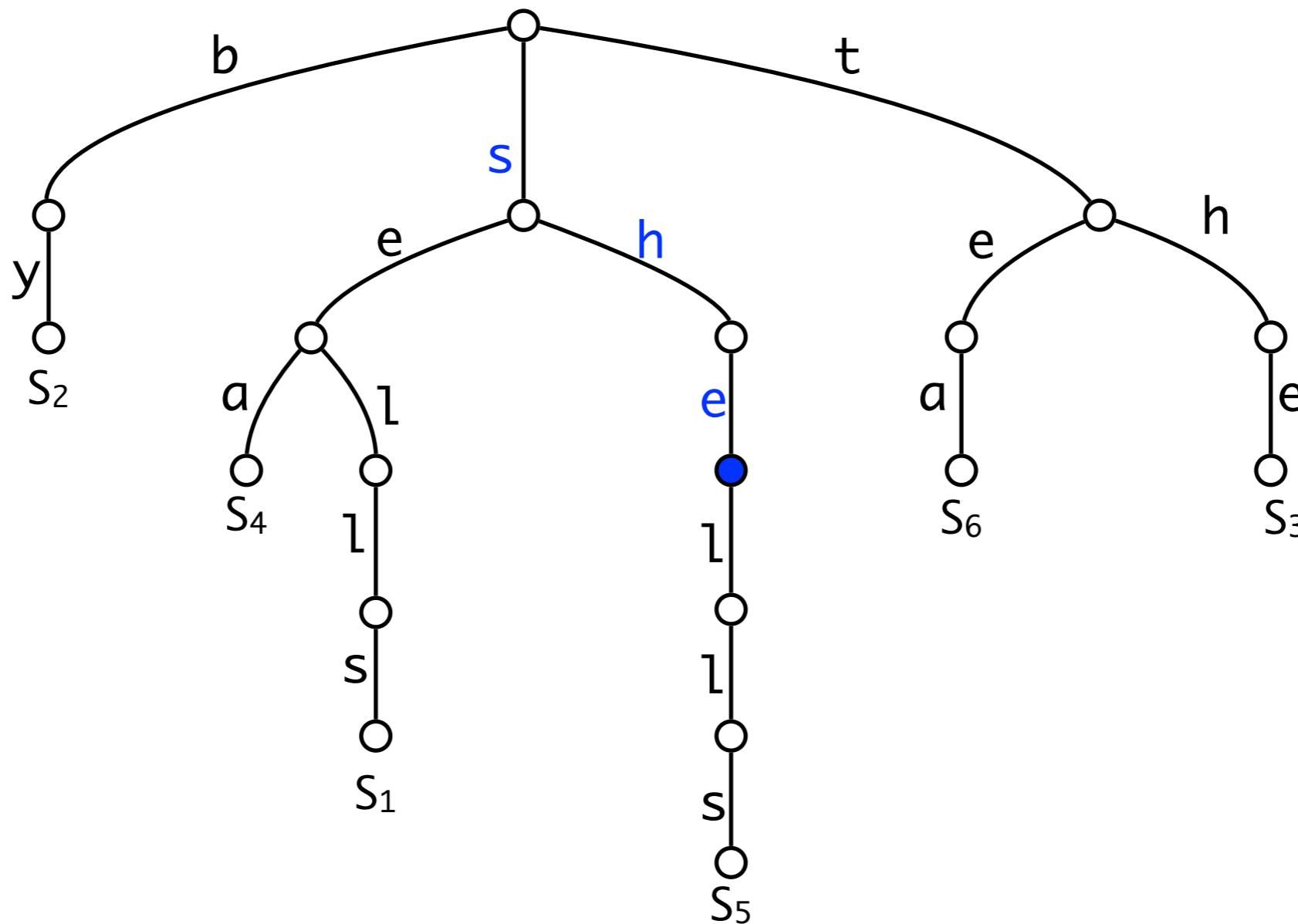
- Text retrieval



- Trie over the strings: sells, by, the, sea, shells, tea.

# Tries

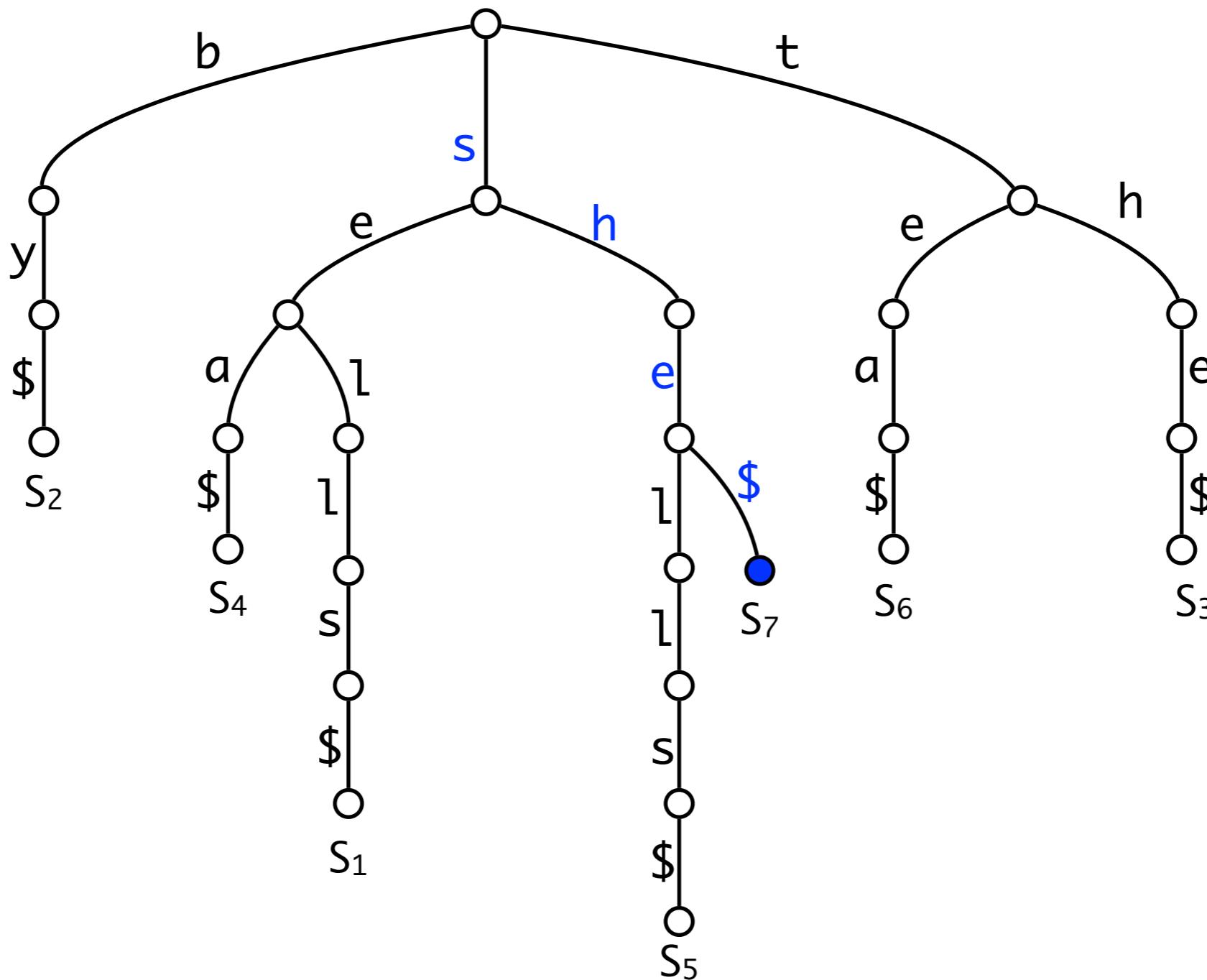
- Text retrieval
- Prefix-free?



- Trie over the strings: sells, by, the, sea, shells, tea, she.

# Tries

- Text retrieval
- Prefix-free?



- Trie over the strings: sells\$, by\$, the\$, sea\$, shells\$, tea\$, she\$.

# Tries

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- [Fredkin 1960\]](#). **Retrieval.** Store a set of strings in a rooted tree such that:
  - Each edge is labeled by a character. Edges to children of a node are sorted from left-to-right alphabetically.
  - Each root-to-leaf path represents a string in the set. (obtained by concatenating the labels of edges on the path).
  - Common prefixes share same path maximally.
- [Properties of the trie.](#) A trie  $T$  storing a collection  $S$  of  $s$  strings of total length  $n$  from an alphabet of size  $d$  has the following properties:
  - How many children can a node have?
  - How many leaves does  $T$  have?
  - What is the height of  $T$ ?
  - What is the number of nodes in  $T$ ?

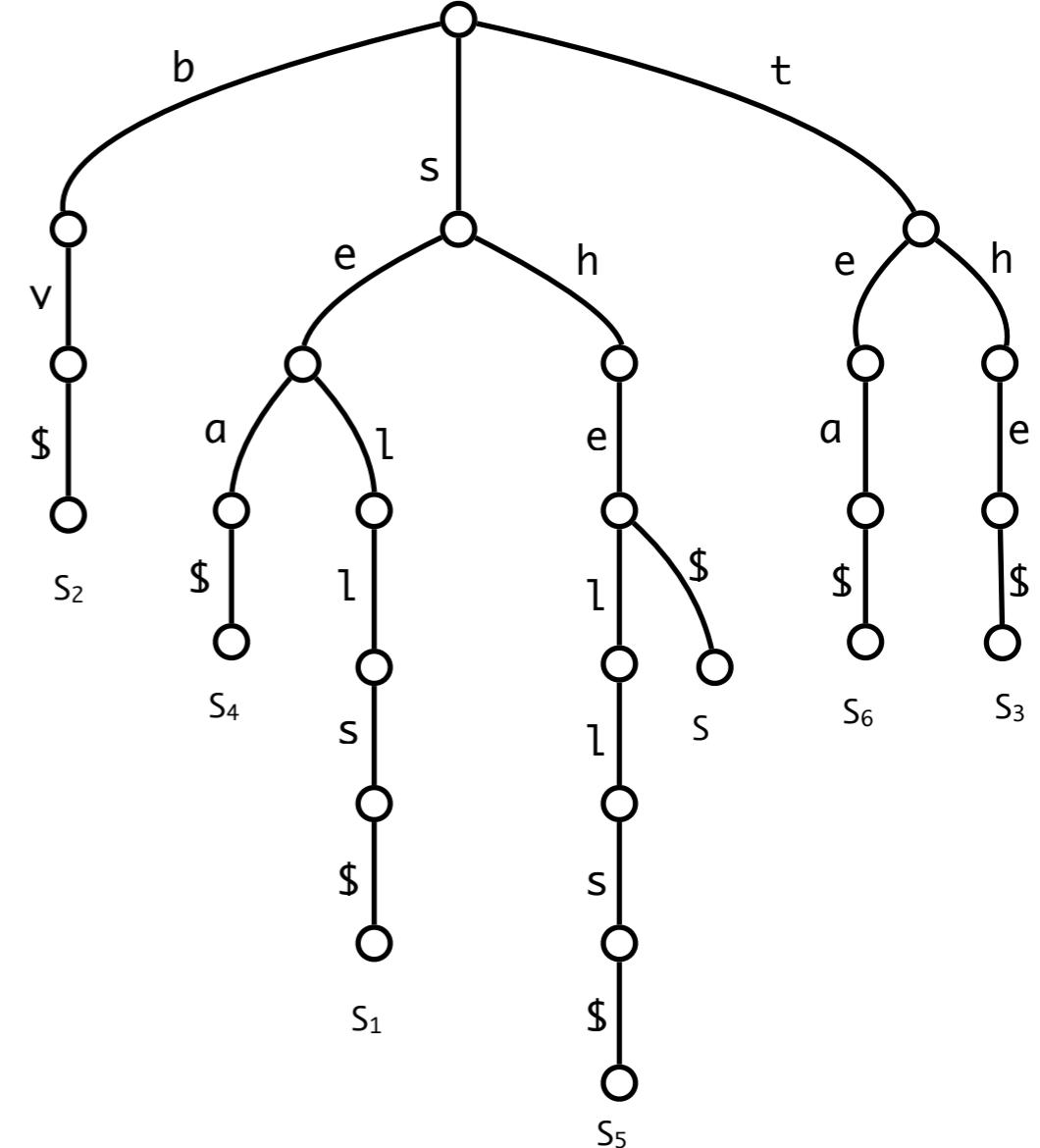
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- [Properties of the trie.](#) A trie  $T$  storing a collection  $S$  of  $s$  strings of total length  $n$  from an alphabet of size  $d$  has the following properties:
  - How many children can a node have? at most  $d$
  - How many leaves does  $T$  have?  $s$
  - What is the height of  $T$ ? length of longest string
  - What is the number of nodes in  $T$ ?  $O(n)$

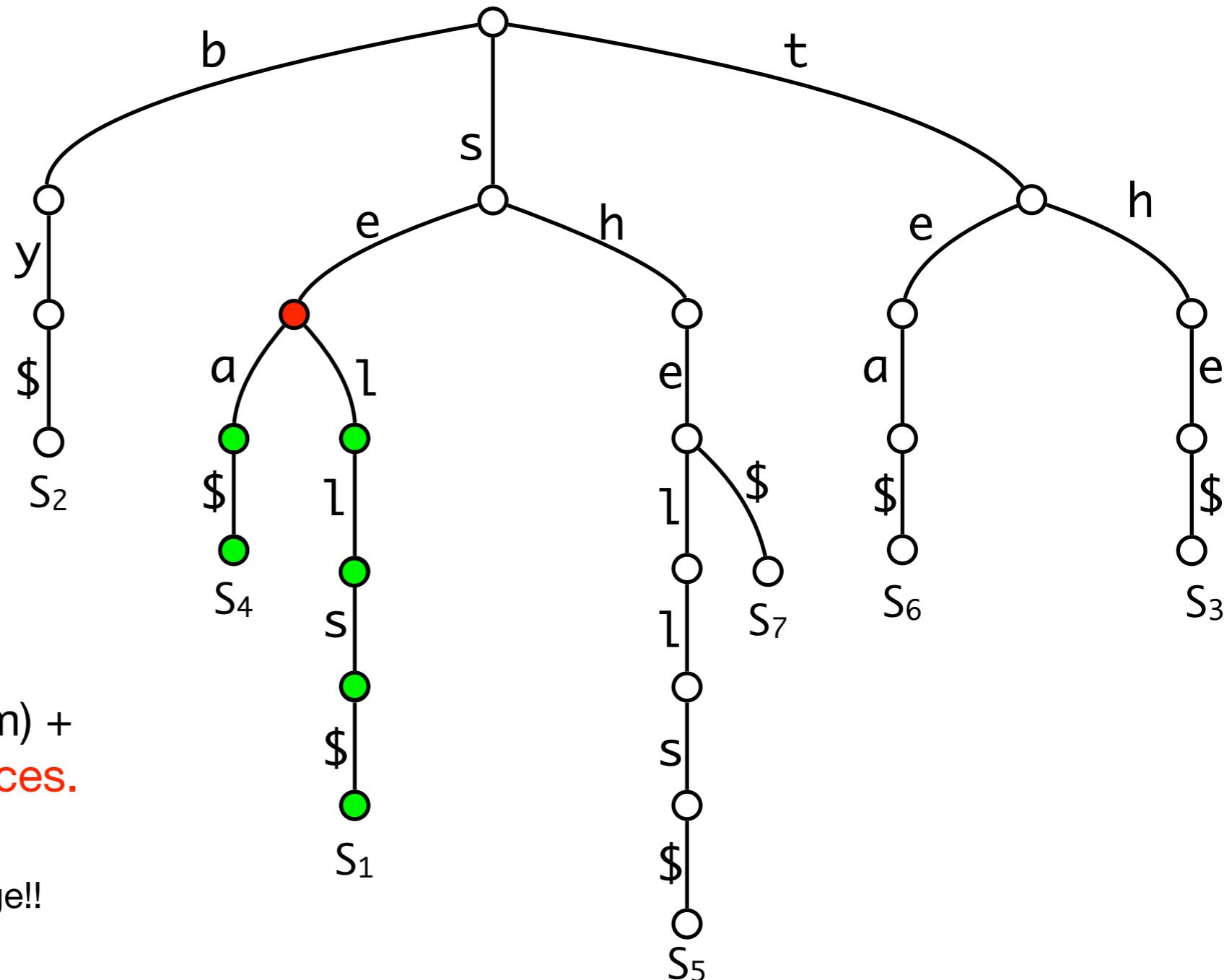
# Trie

- **Search time:**  $O(d)$  in each node  $\Rightarrow O(dm)$ .
  - $O(m)$  if  $d$  constant.
  - $d$  not constant: use dictionary
    - Perfect hashing  $O(1)$
    - Balanced BST:  $O(\log d)$
- **Time and space for a trie (for constant  $d$ ):**
  - $O(m)$  for searching for a string of length  $m$ .
  - $O(n)$  space.
  - Preprocessing:  $O(n)$



# Tries

- Prefix search: return all words in the trie starting with “se”

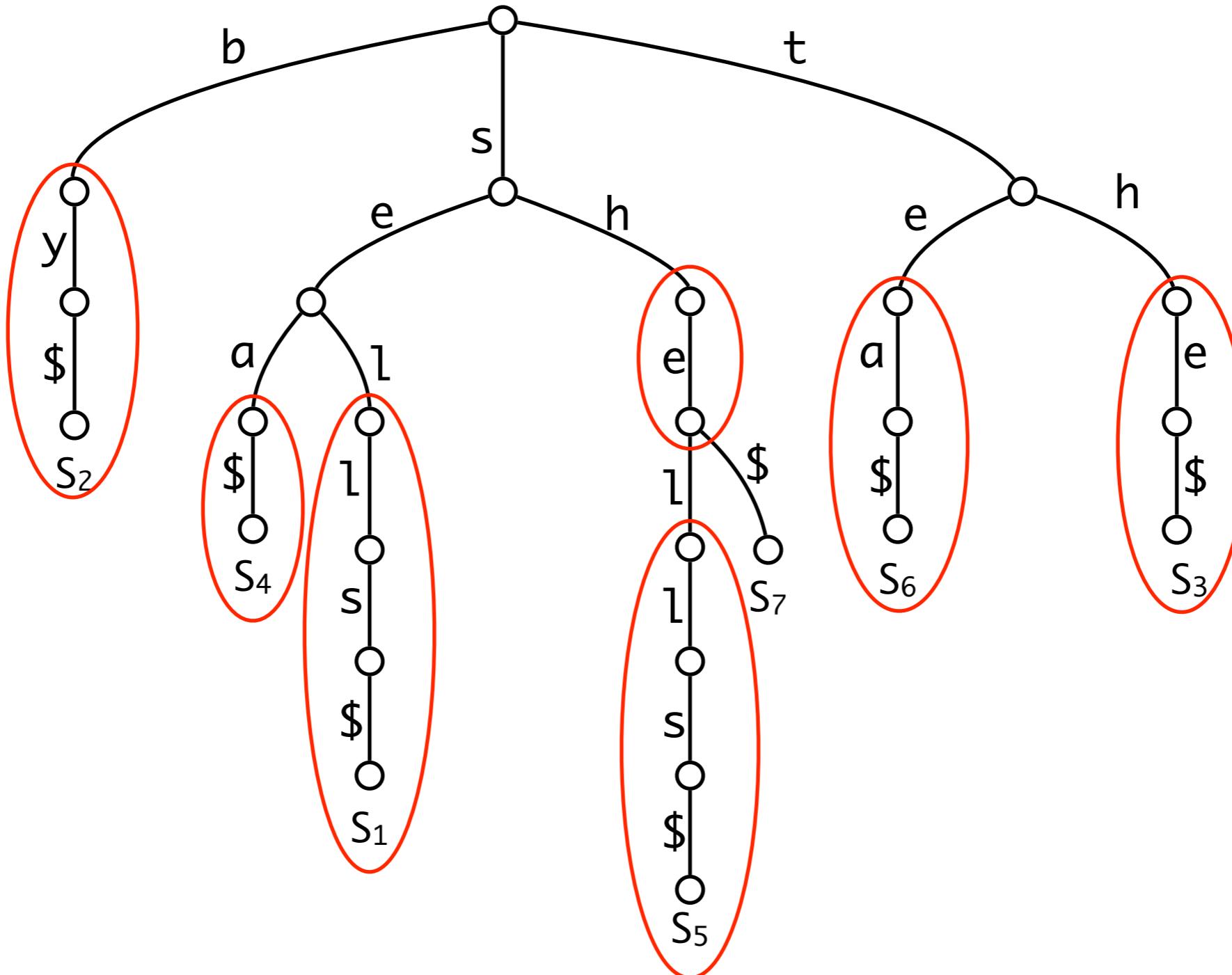


- Time for prefix search:  $O(m)$  +  
time to report all occurrences.

Could be large!!

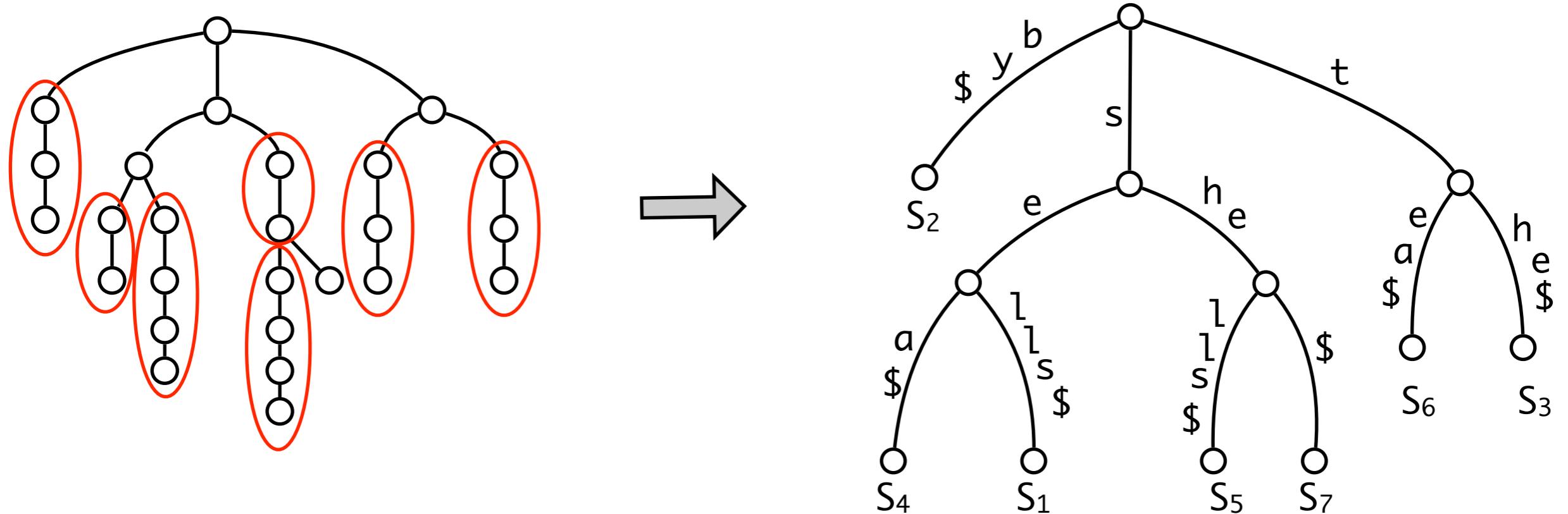
# Tries

- **Compact trie.** Chains of nodes with a single child is merged into a single edge.



# Tries

- **Compact trie.** Chains of nodes with a single child is merged into a single edge.



- **Properties of the compact trie.** A compact trie  $T$  storing a collection  $S$  of  $s$  strings of total length  $n$  from an alphabet of size  $d$  has the following properties:
  - Every internal node of  $T$  has at least 2 and at most  $d$  children.
  - $T$  has  $s$  leaves
  - The number of nodes in  $T$  is  $< 2s$ .

# Trie

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- Time and space for a compact trie (constant d).
  - $O(m)$  for searching for a string of length  $m$ .
  - $O(m + \text{occ})$  for prefix search, where  $\text{occ} = \#\text{occurrences}$
  - $O(n)$  space.
  - Preprocessing:  $O(n)$

# Suffix Trees

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# Suffix tree

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- **String indexing problem.** Given a string  $S$  of characters from an alphabet  $\Sigma$ . Preprocess  $S$  into a data structure to support
  - $\text{Search}(P)$ : Return starting position of all occurrences of  $P$  in  $S$ .
- Observation: An occurrence of  $P$  is a prefix of a suffix of  $S$ .

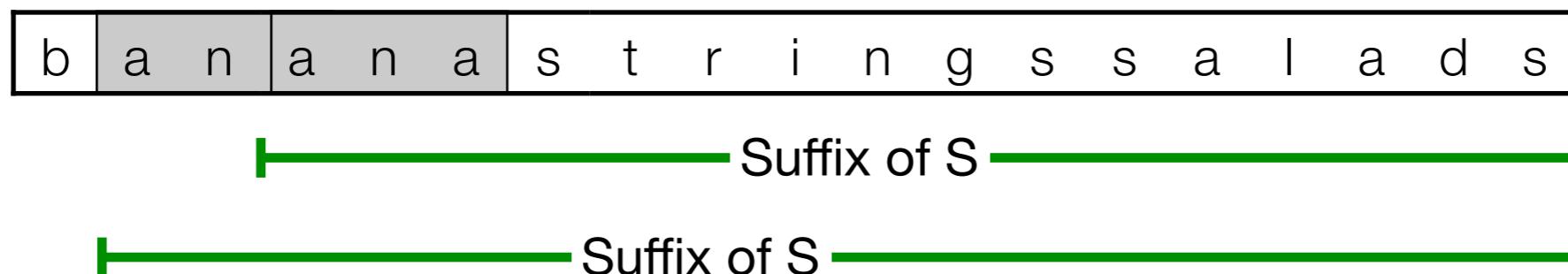


# Suffix tree

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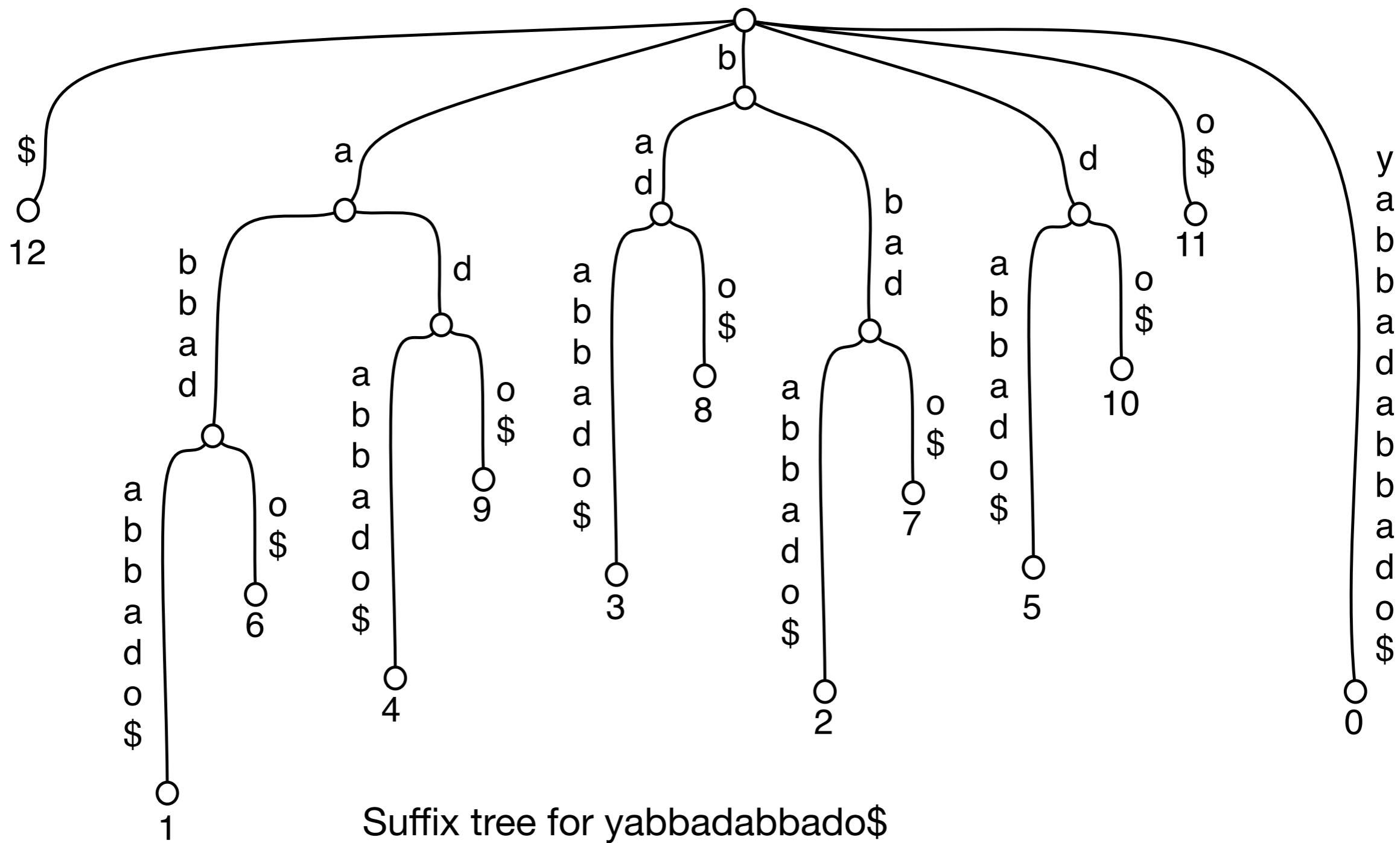


- Example:  $P = \text{ana}$ .



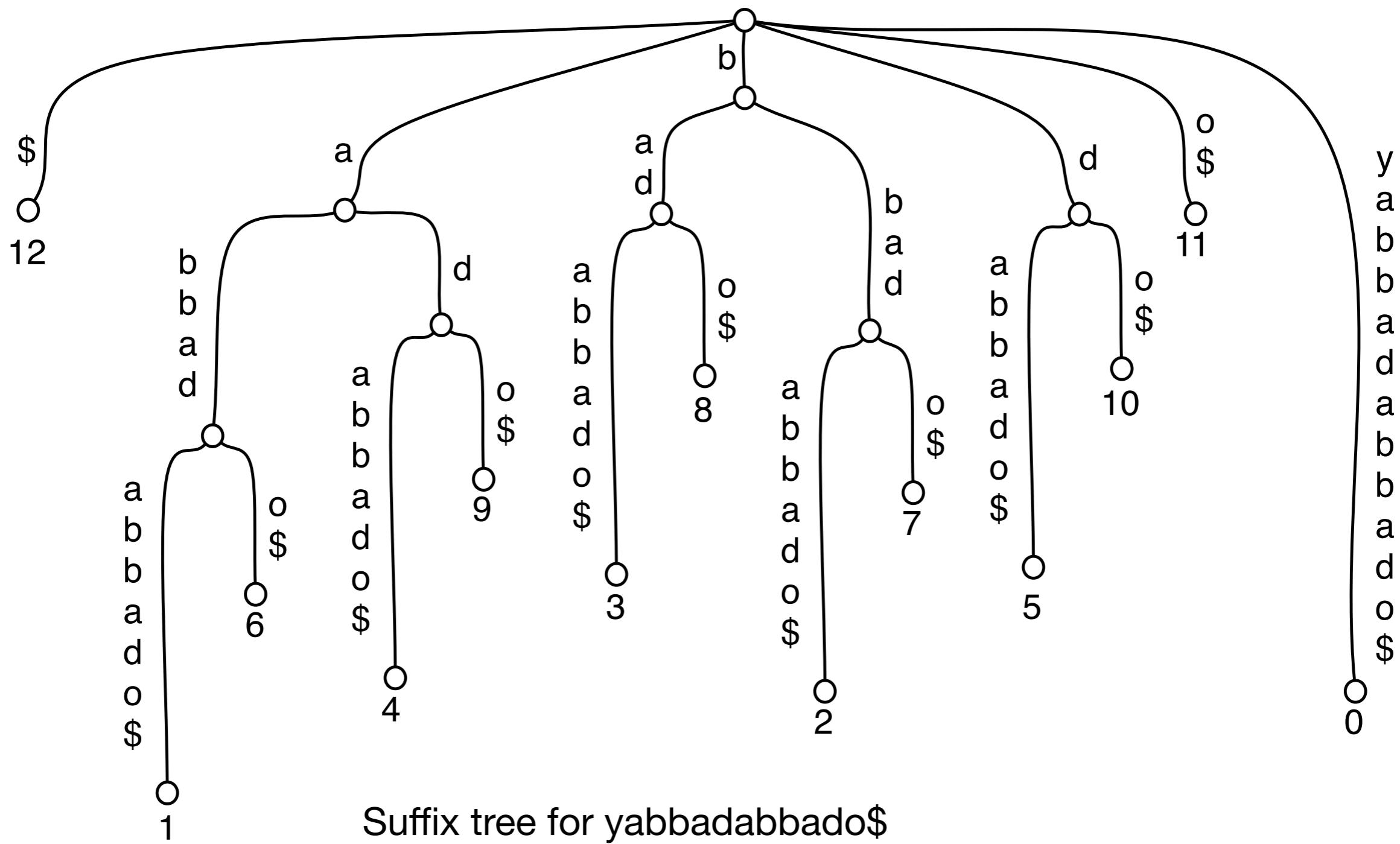
# Suffix Trees

- Suffix trees. The **compact trie** of all suffixes of  $S$ .



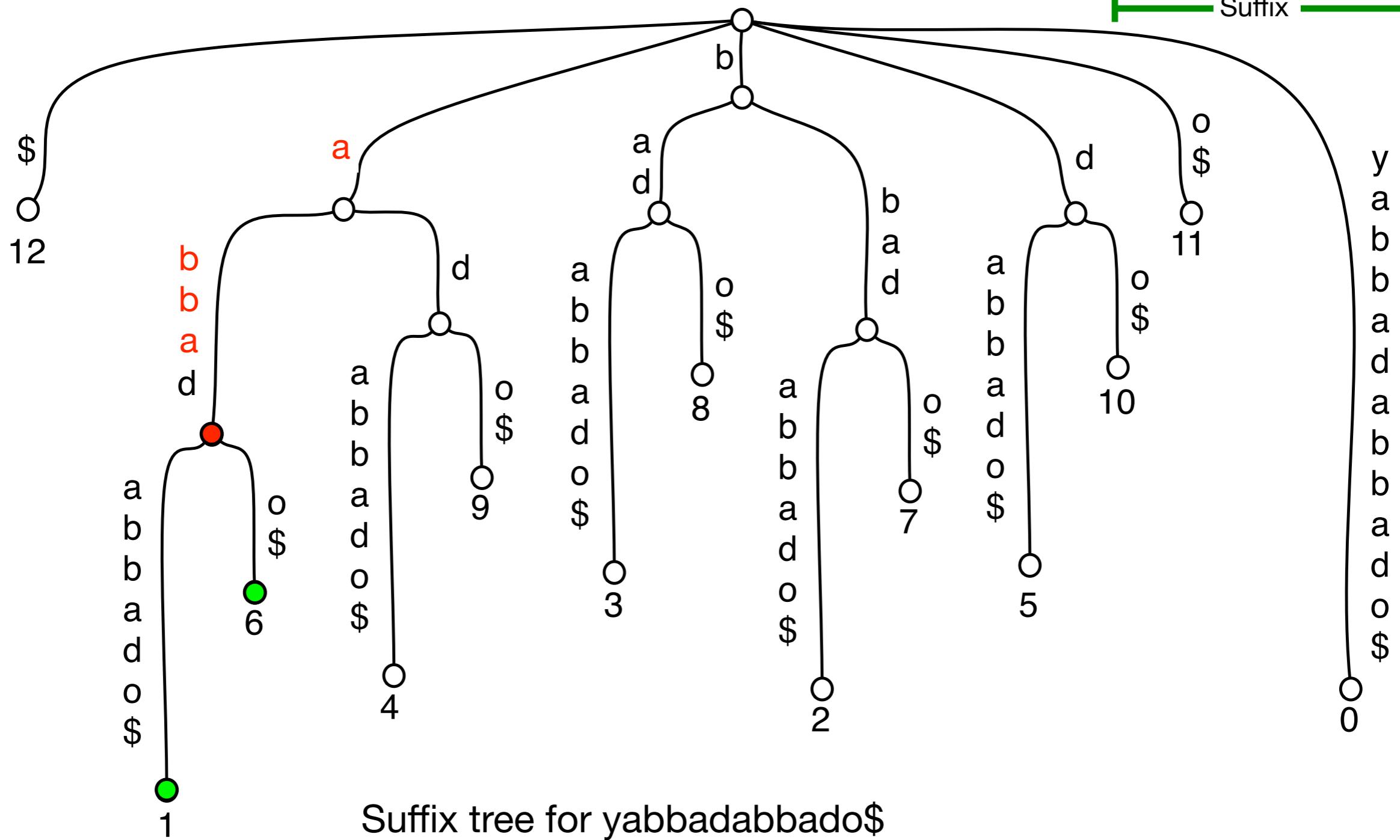
# Suffix Trees

- Suffix trees. The **compact trie** of all suffixes of S.
- Search for abba:



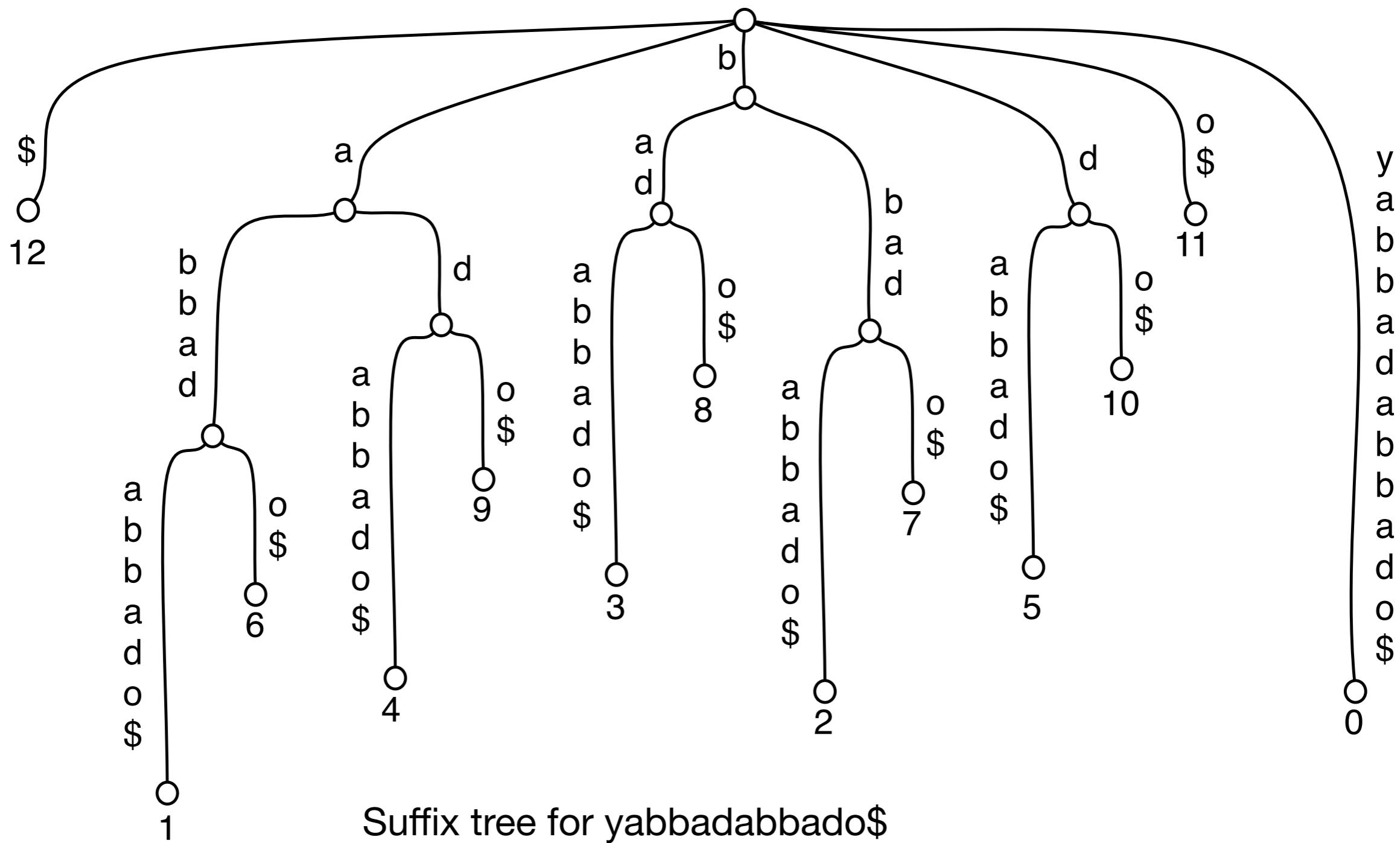
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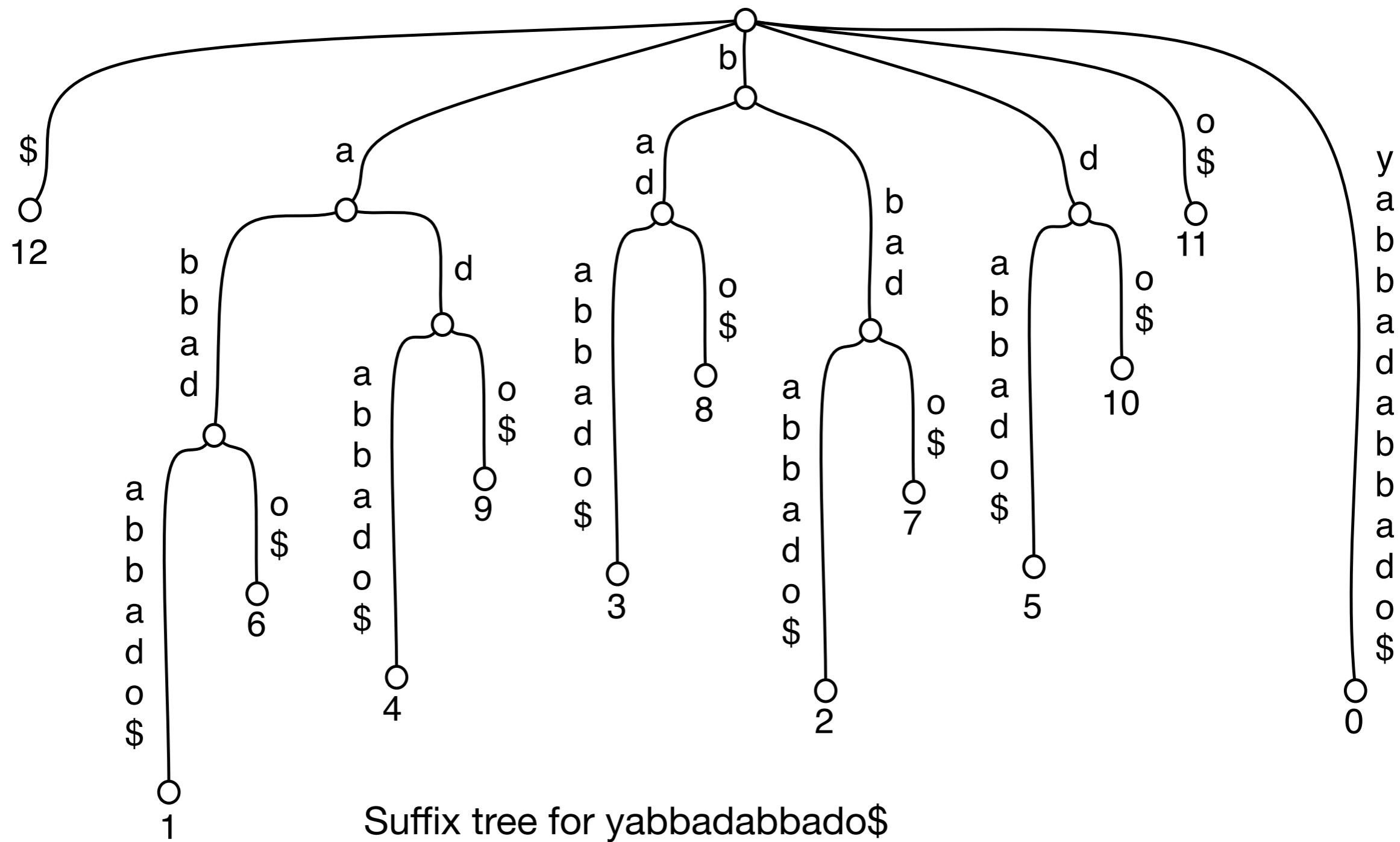
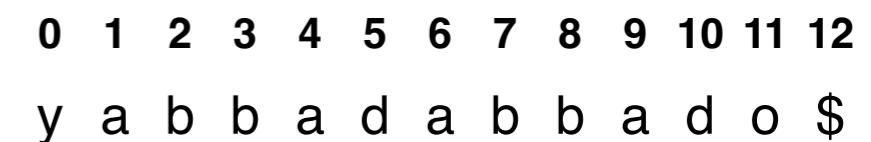
# Suffix Trees

- Suffix trees. The **compact trie** of all suffixes of S.
  - Space?



# Suffix Trees

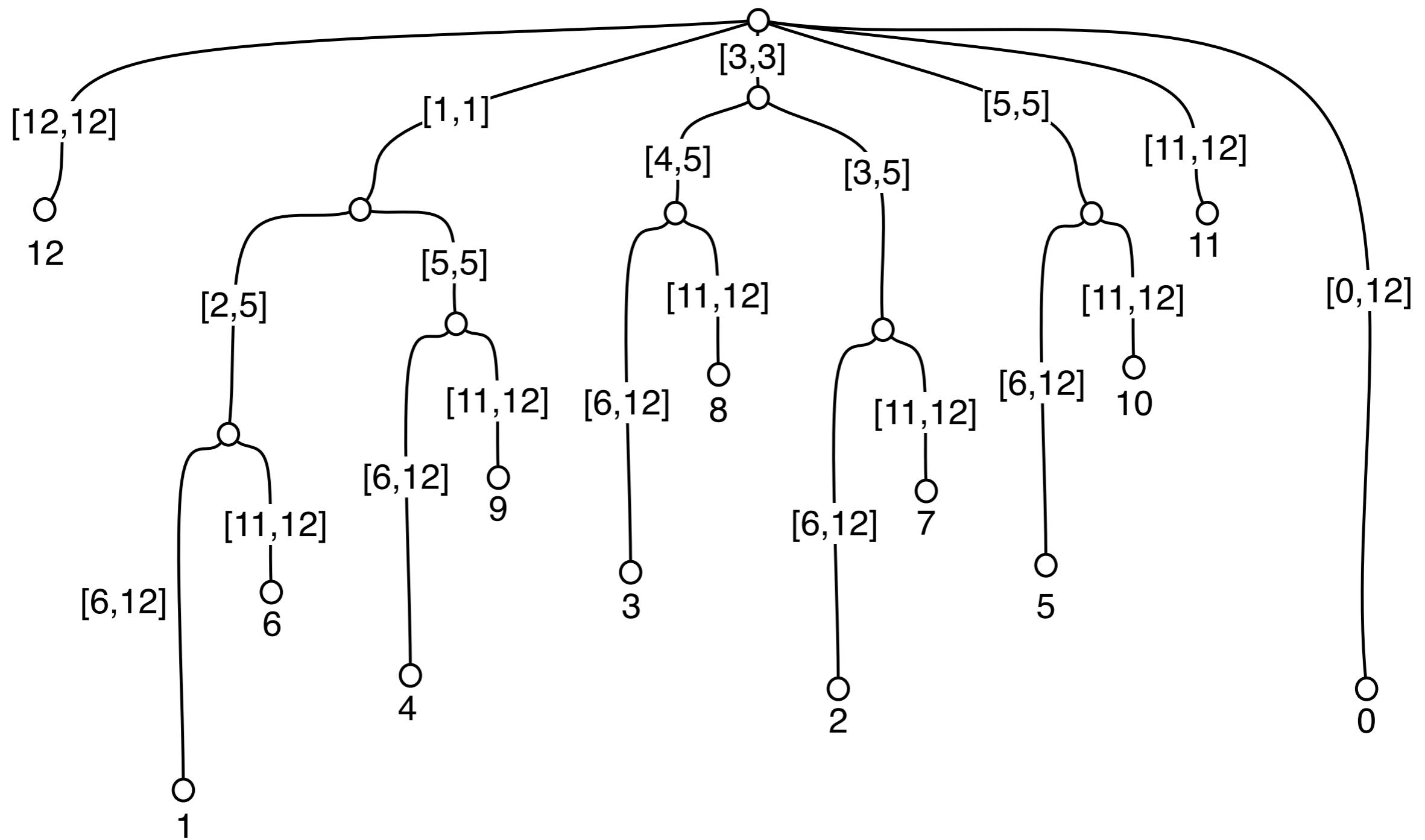
- Suffix trees. The **compact trie** of all suffixes of  $S$ .
  - Store  $S$  and store edge labels by reference to  $S$ .



# Suffix Trees

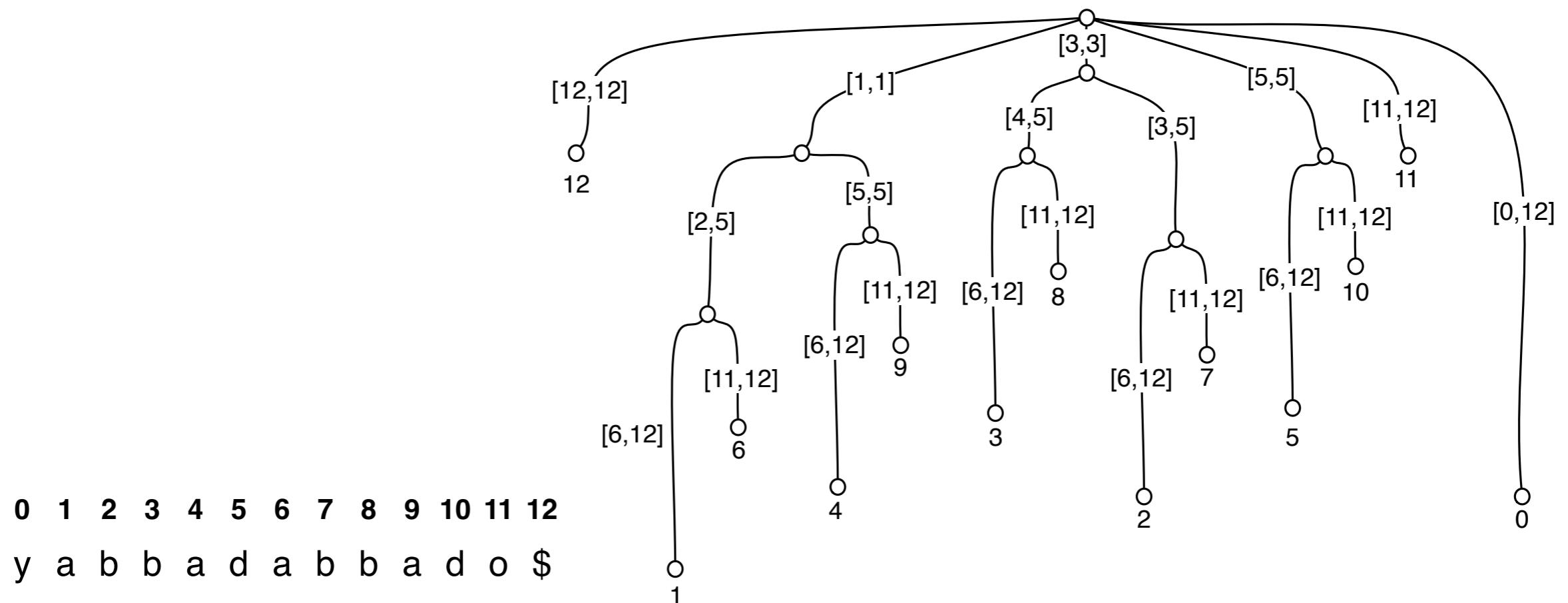
- Suffix trees. The **compact trie** of all suffixes of S.
- Store S and store edge labels by reference to S.

0	1	2	3	4	5	6	7	8	9	10	11	12
y	a	b	b	a	d	a	b	b	a	d	o	\$



# Suffix Trees

- **Space.**
  - Number of edges + space for edge labels + string
  - $\Rightarrow O(n)$  space
- **Preprocessing.**  $O(\text{sort}(n, |\Sigma|))$
- $\text{sort}(n, |\Sigma|) = \text{time to sort } n \text{ characters from an alphabet } \Sigma.$
- **Search( $P$ ):**  $O(m+\text{occ}).$



Suffix tree for yabbadabbado\$

# Suffix Trees

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- **Theorem.** We can solve the string indexing problem in
  - $O(n)$  space and  $\text{sort}(n, |\Sigma|)$  preprocessing time.
  - $O(m + \text{occ})$  time for queries.

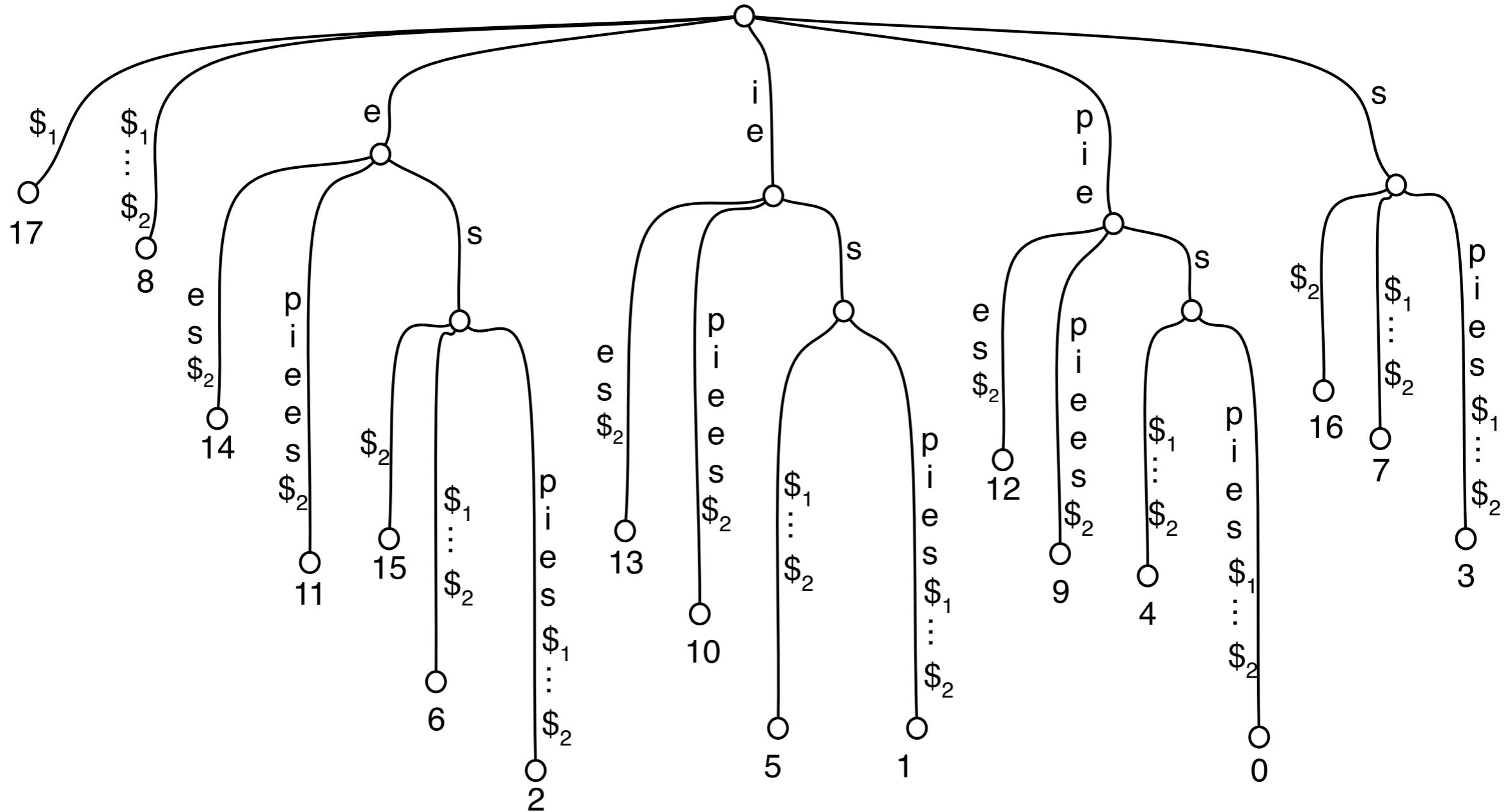
# Suffix Trees

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- Applications.
  - Approximate string matching problems
  - Compression schemes (Lempel-Ziv family, ...)
  - Repetitive string problems (palindromes, tandem repeats, ...)
  - Information retrieval problems (document retrieval, top-k retrieval, ...)
  - ...

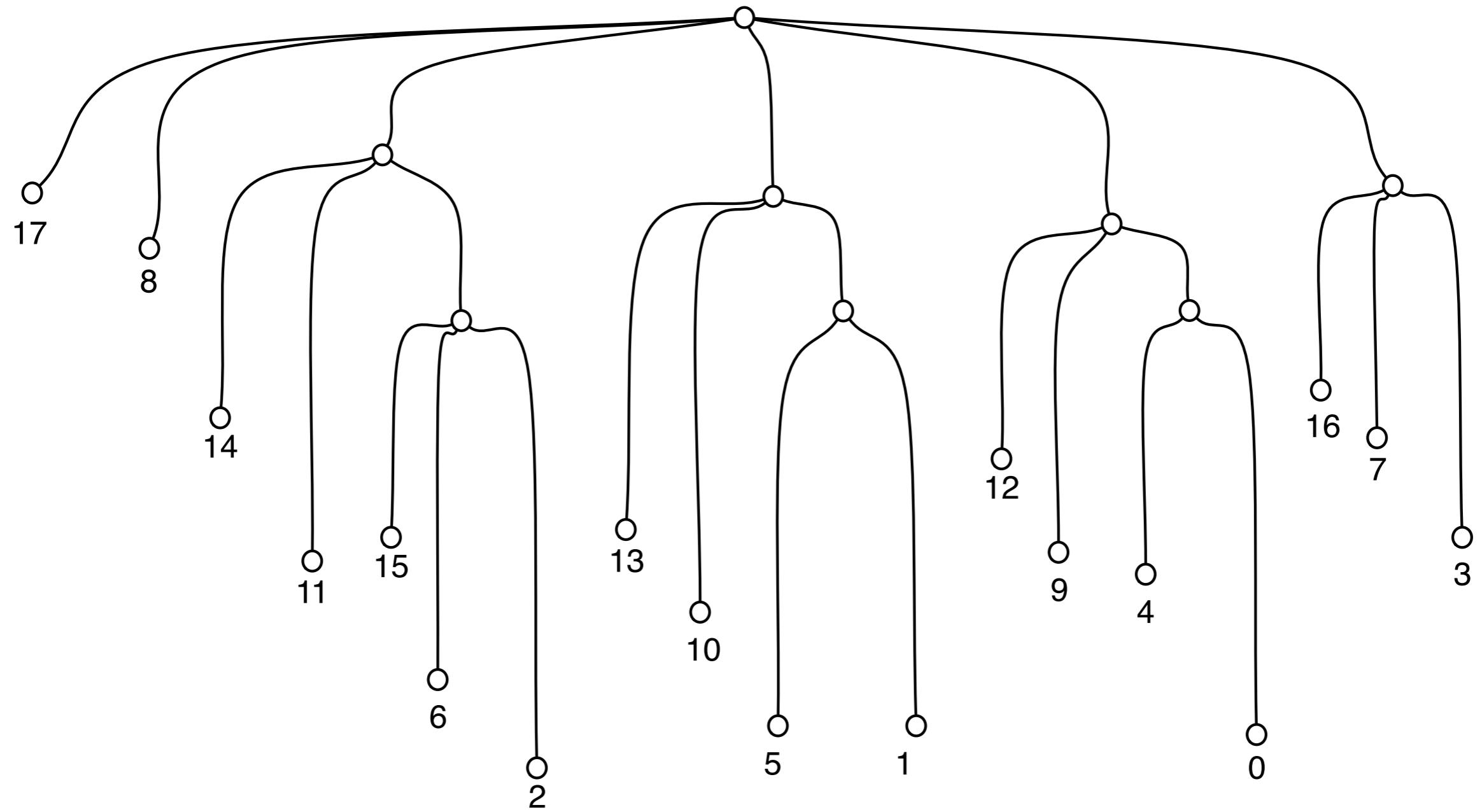
# Longest common substring

- Find longest common substring of strings  $S_1$  and  $S_2$ .
- Construct the suffix tree over  $S_1\$_1S_2\$_2$ .
- Example. Find longest common substring of **piespies** and **piepiees**:
  - Construct suffix tree of **piespies** $\$_1$ **piepiees** $\$_2$ .



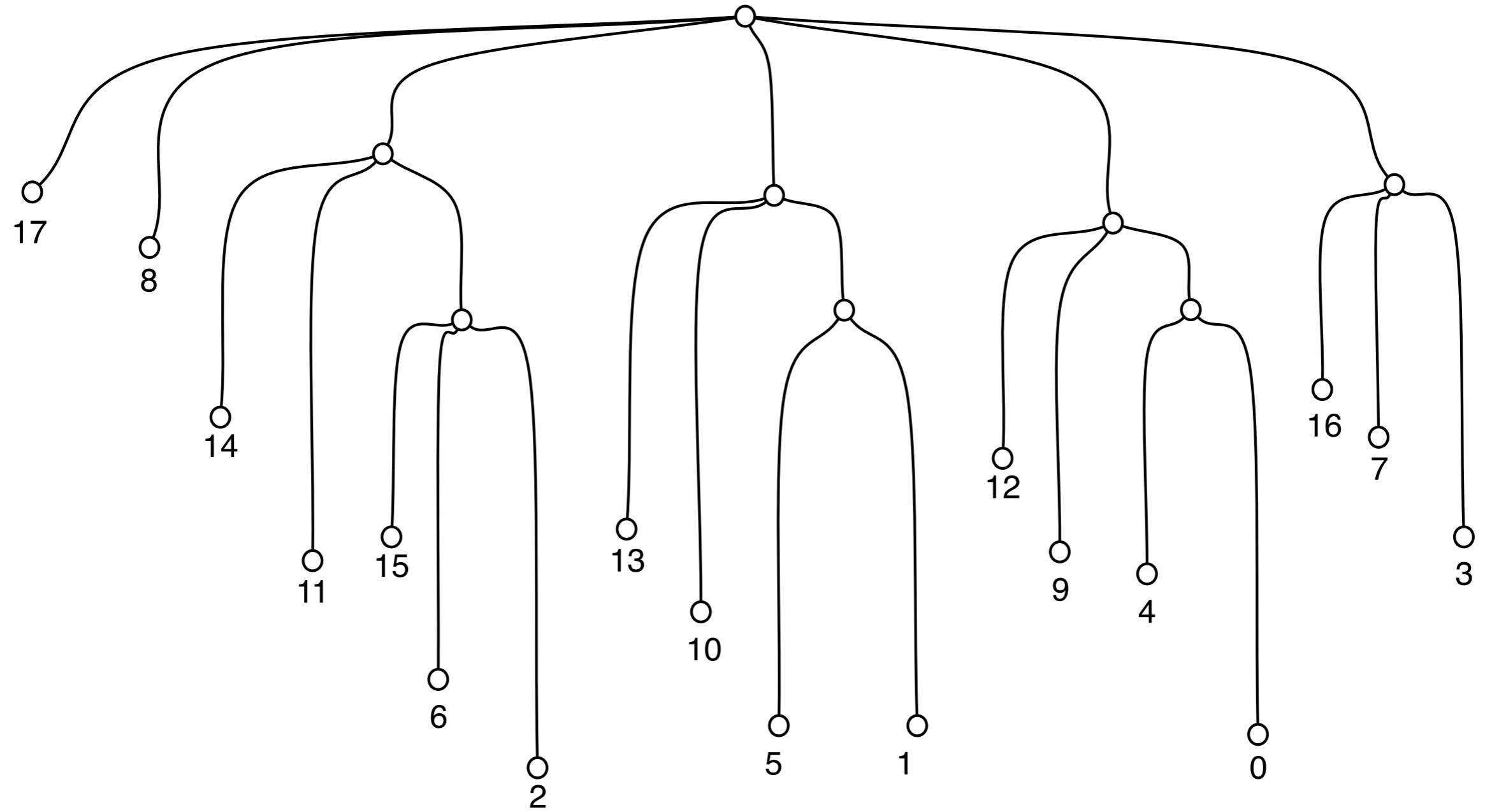
# Longest common substring

- Suffix tree of  $\text{piespies\$}_1\text{piepiees\$}_2$ .



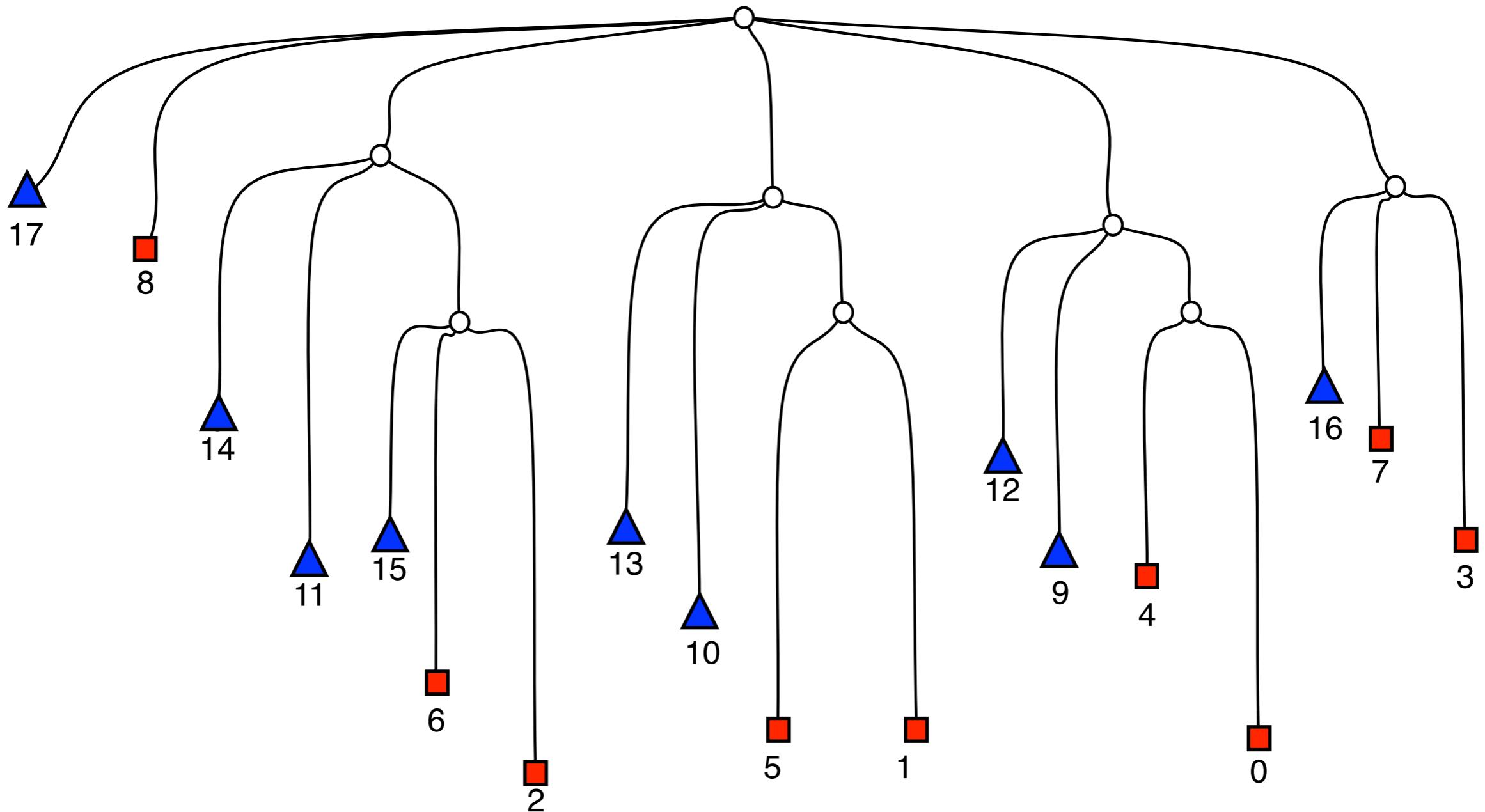
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- Suffix tree of  $\text{piespies\$}_1\text{piepiees\$}_2$ .
- Mark leaves:  $\blacksquare = S_1$   $\blacktriangle = S_2$



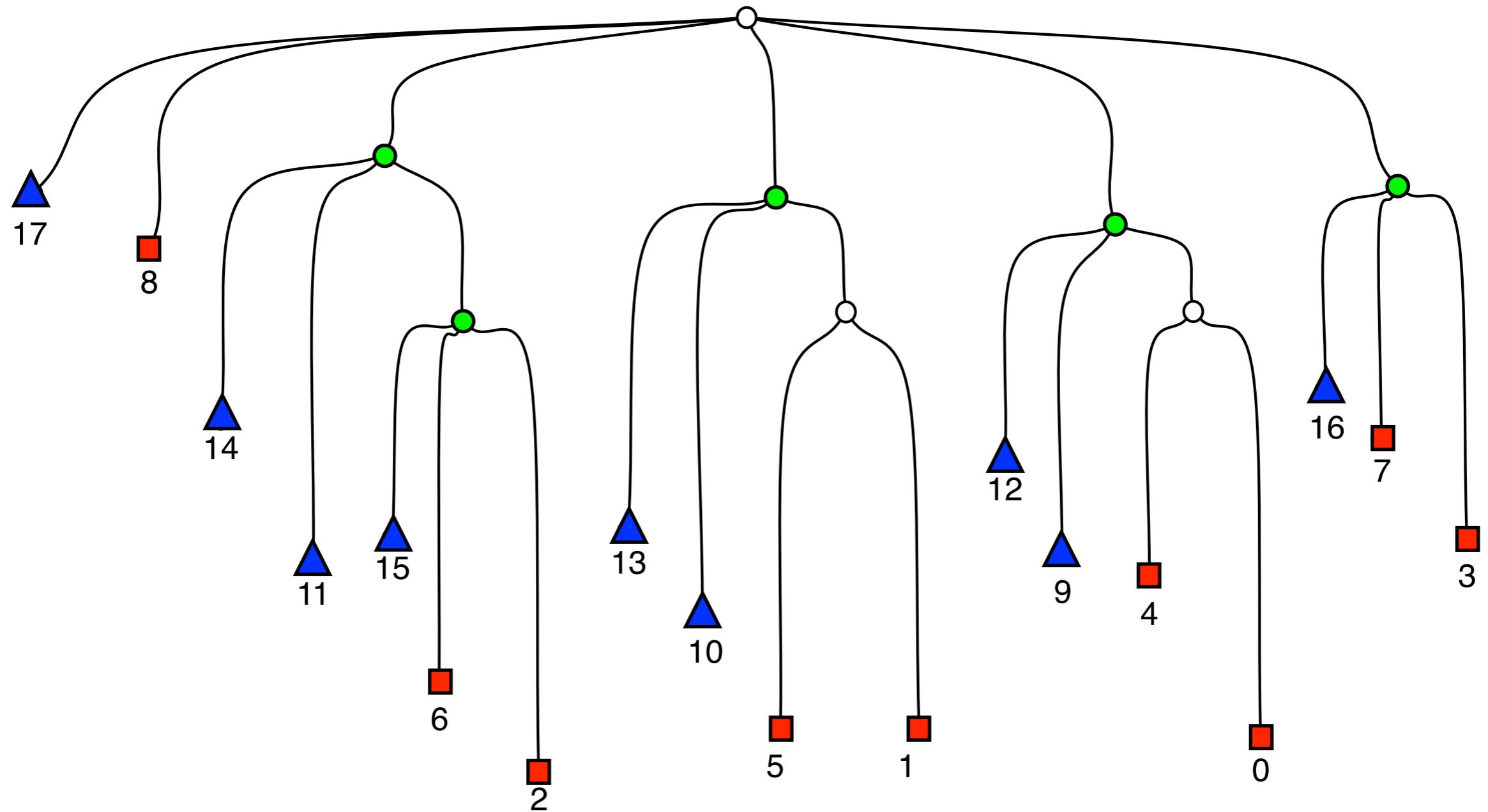
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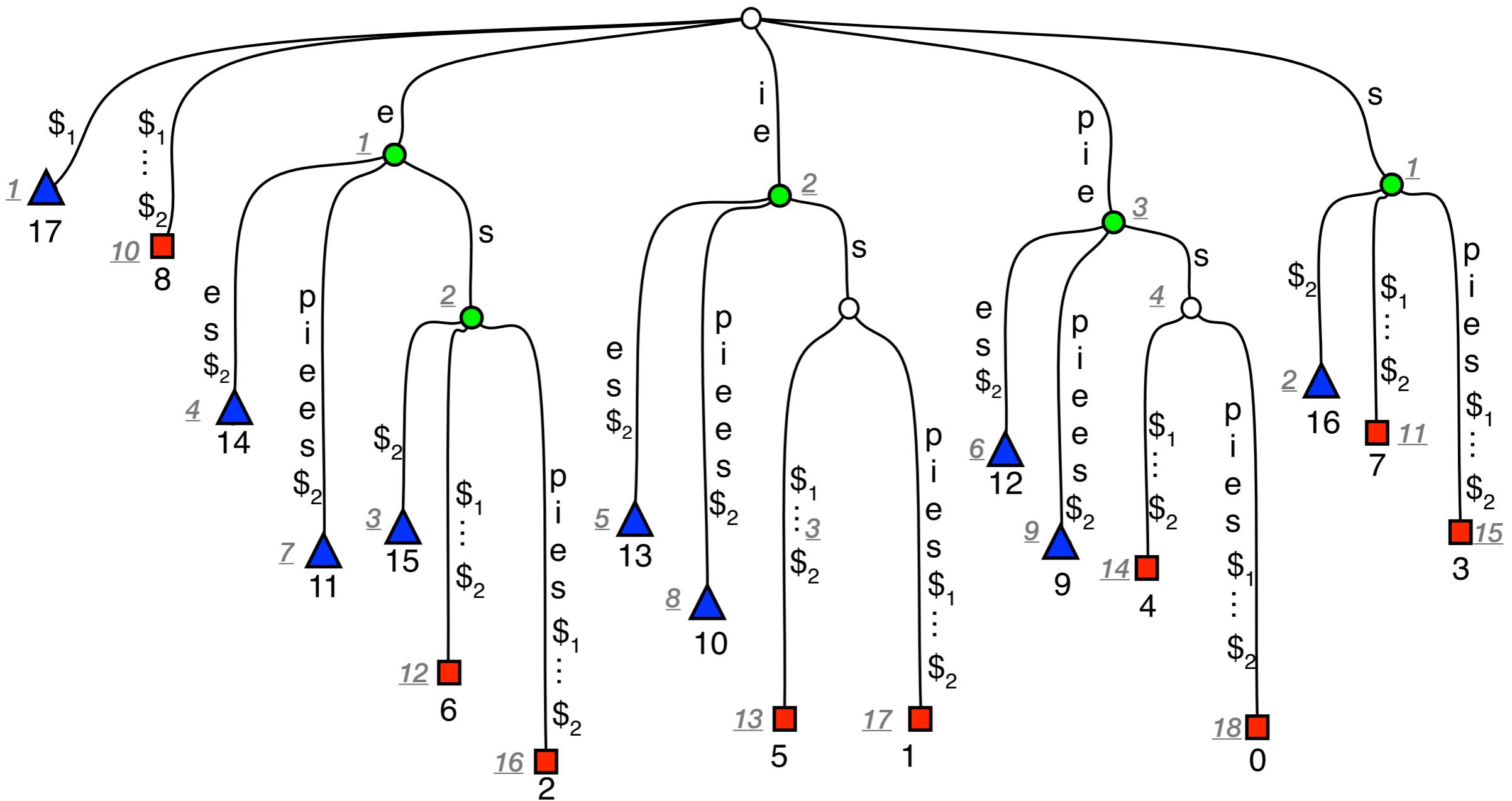
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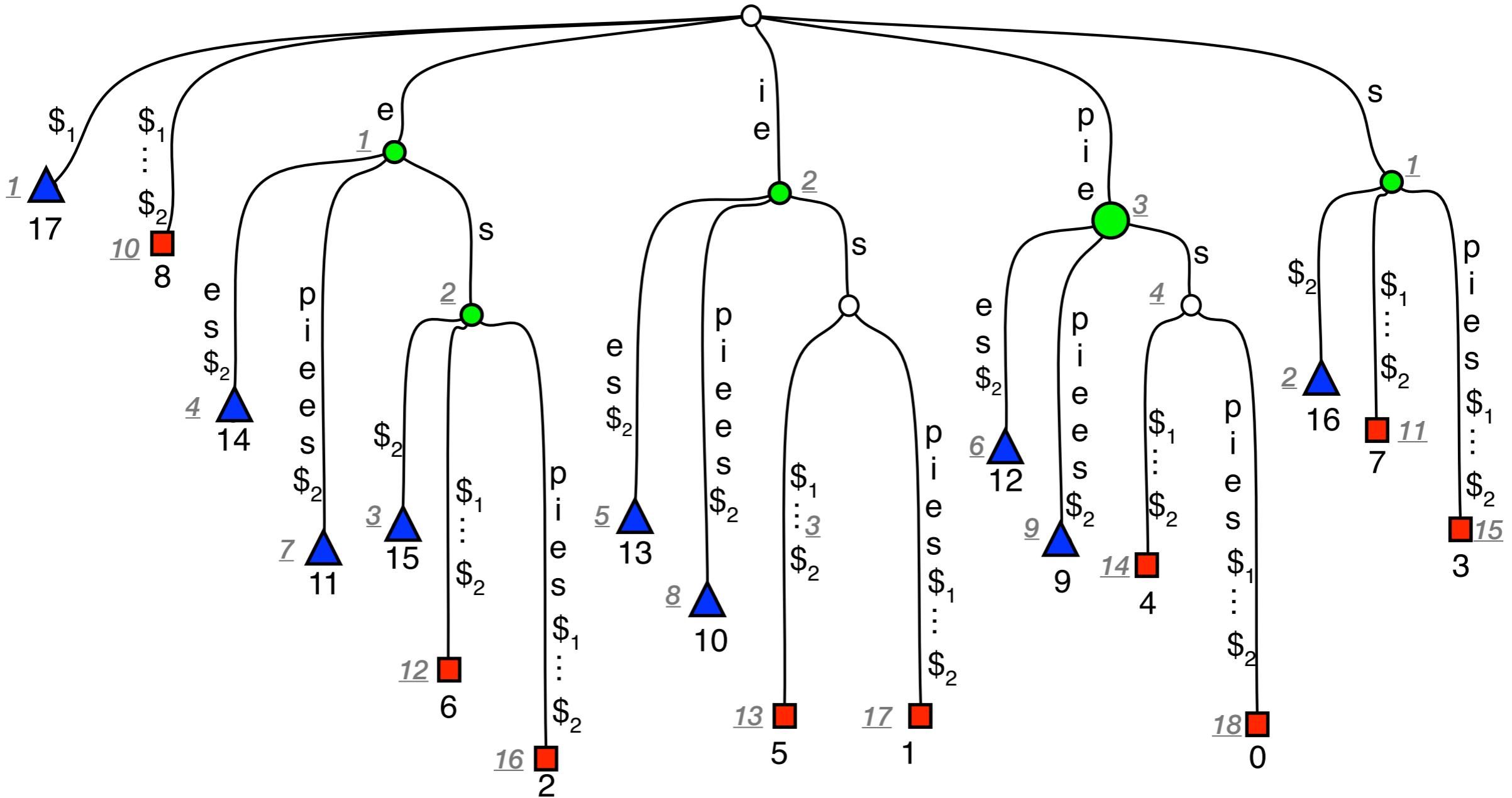
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- Add string depth.



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# Longest common substring

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- Using a suffix tree we can solve the longest common substring problem in linear time (for a constant size alphabets).