

## External Memory II

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- Searching with Fast Updates
- Searching Strings

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## External Memory II

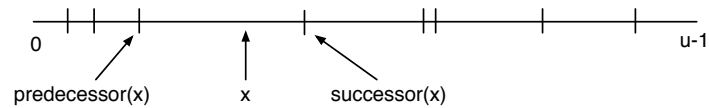
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## Searching

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- **Searching.** Maintain a set  $S \subseteq U = \{0, \dots, u-1\}$  supporting
  - $\text{member}(x)$ : determine if  $x \in S$
  - $\text{predecessor}(x)$ : return largest element in  $S \leq x$ .
  - $\text{successor}(x)$ : return smallest element in  $S \geq x$ .
  - $\text{insert}(x)$ : set  $S = S \cup \{x\}$
  - $\text{delete}(x)$ : set  $S = S - \{x\}$

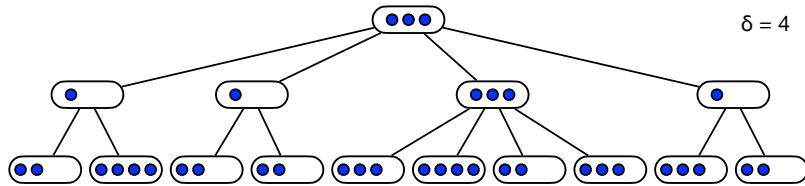


## Searching

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- **Applications.**
  - Relational data bases.
  - File systems.

## B-tree

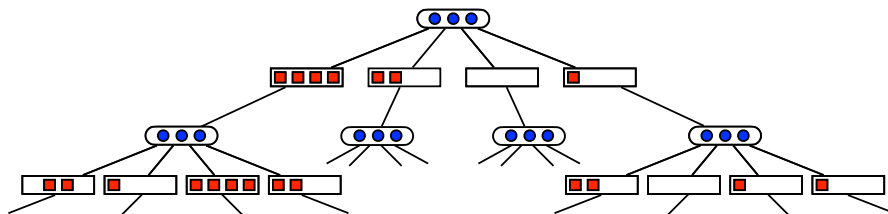


- B-tree of order  $\delta = \Theta(B)$  with  $N$  keys.
  - Keys in leaves. Routing elements in internal nodes.
  - Degree between  $\delta/2$  and  $\delta$ .
  - Root degree between 2 and  $\delta$ .
  - Leaves store between  $\delta/2$  and  $\delta$  keys.
  - All leaves have the same depth.
- Height.  $\Theta(\log_{\delta} (N/B)) = \Theta(\log_B N)$
- Search and update.  $O(\log_B N)$  I/Os.

## $B^{\epsilon}$ -tree

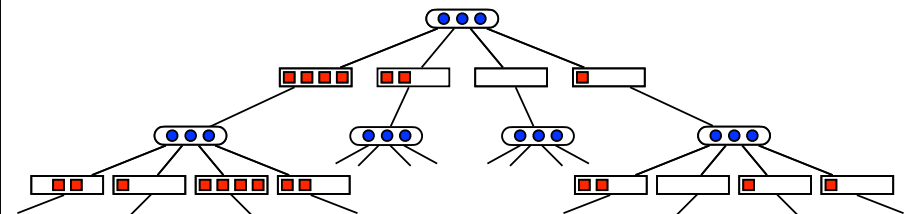
- Idea.
  - Speed up updates by buffering them at each node along the path to a leaf.
  - Move many updates together in each I/O.
  - Search (almost) as before.
  - $\epsilon \in (0, 1]$  is a parameter.
- Solution in 2 steps.
  - Focus on  $\sqrt{B}$ -tree ( $\epsilon = 1/2$ ).
    - Searching in  $O(\log_B N)$  I/Os.
    - Updates in  $O((\log_B N)/\sqrt{B})$  amortized.
  - Generalize to any  $\epsilon$ .

## $\sqrt{B}$ -tree



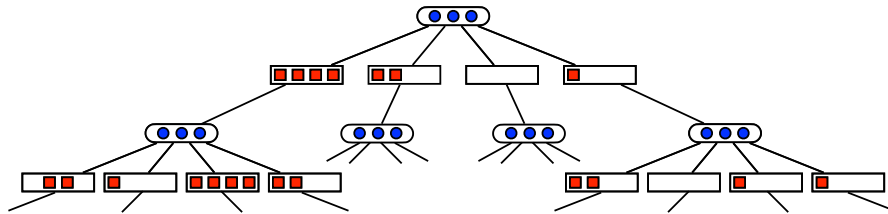
- $\sqrt{B}$ -tree with  $N$  keys.
  - B-tree of degree  $\Theta(\sqrt{B})$  with buffers of size  $\Theta(\sqrt{B})$  at each edge.
  - Buffer stores delayed updates in subtree.
  - Nodes and child buffers stored together in  $O(1)$  blocks.
- Height.  $\Theta(\log_{\sqrt{B}} N) = \Theta(\log_B N)$

## $\sqrt{B}$ -tree



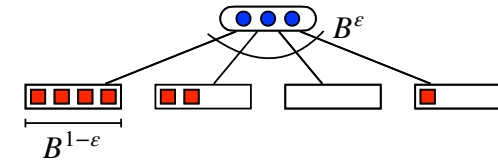
- Searching.
  - Find leaf using routing elements. Check buffers along path.
- I/Os.  $O(\log_B N)$ .

## $\sqrt{B}$ -tree



- **Updates.**
  - Insert update message into buffer at child.
  - If buffer full, flush and recurse at child.
  - If we fill leaf, rebalance tree as B-tree.
- **I/O intuition.** A flush moves  $\sqrt{B}$  messages together  $\Rightarrow O((\log_B N)/\sqrt{B})$  amortized I/Os.
- **I/Os.**
  - Assign  $(ch)/\sqrt{B}$  credits to each update, where  $h = O(\log_B N)$  is height and  $c > 1$  is appropriate constant.
  - Put  $c/\sqrt{B}$  credits each node on path.
  - $\Rightarrow$  We can pay for buffer overflows and rebalancing.

## $B^\epsilon$ -tree



- **$B^\epsilon$ -tree with  $N$  keys.**
  - B-tree of degree  $\Theta(B^\epsilon)$  with buffers of size  $\Theta(B^{1-\epsilon})$  at each edge.
- **Searching.**  $O\left(\frac{\log_B N}{\epsilon}\right)$  I/Os.
- **Updates.**  $O\left(\frac{\log_B N}{\epsilon B^{1-\epsilon}}\right)$  I/Os.

## $B^\epsilon$ -tree

	Search	Update
B-tree	$O(\log_B N)$	$O(\log_B N)$
$\sqrt{B}$ -tree	$O(\log_B N)$	$O\left(\frac{\log_B N}{\sqrt{B}}\right)$
$B^\epsilon$ -tree	$O\left(\frac{\log_B N}{\epsilon}\right)$	$O\left(\frac{\log_B N}{\epsilon B^{1-\epsilon}}\right)$

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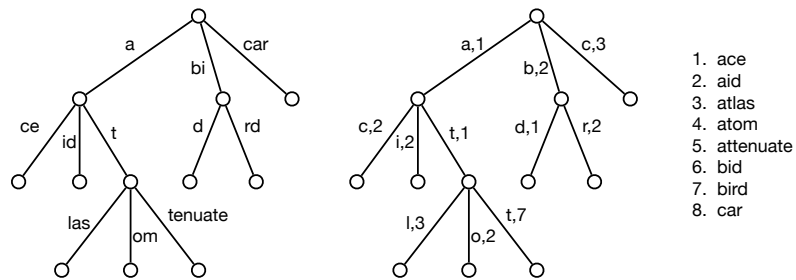
## String Searching

- **String searching.** Maintain a set  $S = \{S_1, S_2, \dots, S_K\}$  of  $K$  strings of total length  $N$  supporting the following operations:
  - search( $P$ ): return in  $S$  with longest common prefix with  $P$ .
  - insert( $P$ ): set  $S = S \cup \{P\}$
  - delete( $P$ ): set  $S = S - \{P\}$

## String Searching

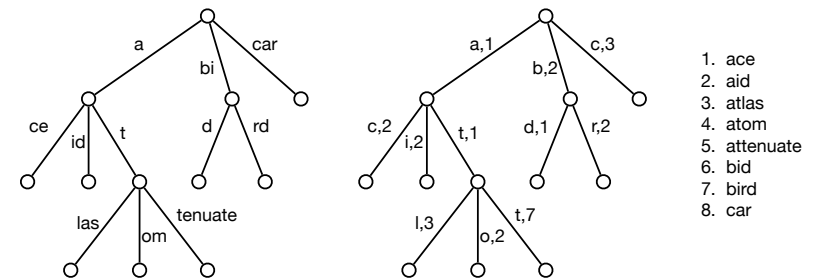
- **Goal.**
  - Searching in  $O(|P|/B + \log_B K)$  I/Os.
  - Ignore insert and delete.
- **Solution in 3 steps.**
  - Blind tries.
  - String B-trees.
  - String B-trees with fast searches.

## Blind Trie



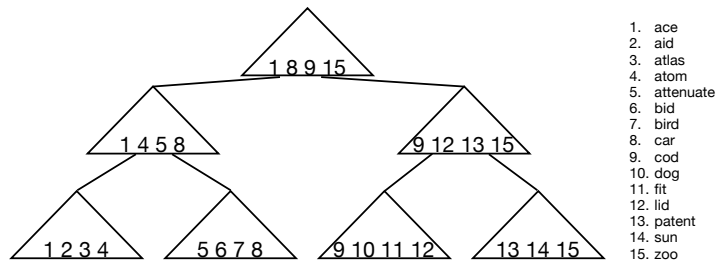
- **Data structure.**
  - Sorted set of strings.
  - Compact trie for  $S$ . Edges store first char + string length.
- **Space.** Strings + trie:  $O(N + K) = O(N)$ .

## Blind Trie



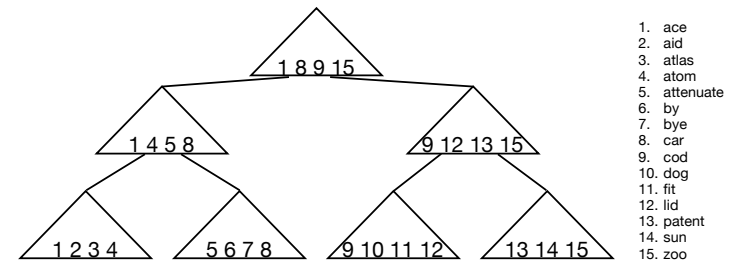
- **Search.** Traverse and verify candidate.
- **Time.**  $O(|P|)$

## String B-tree



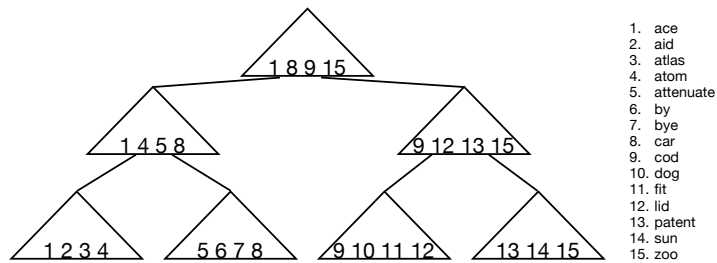
- **Data structure.** Combination of B-tree and blind tries.
  - Sorted set of strings.
  - Nodes store blind trie over B strings.
  - Leftmost and rightmost string in subtree stored for each child.
- **Space.** Strings +  $O(B)$  per node:  $O(N + N) = O(N)$

## String B-tree



- **Searching.**
  - Traverse and verify at each node.
- **I/Os.**
  - $O(|P|/B + 1)$  I/Os at each node.
  - $\Rightarrow O((|P|/B) \log_B K)$  I/Os total.

## String B-tree



- **Fast searching.**
  - Traverse and verify at each node.
  - But: remember longest prefix matched at each node.
- **I/Os.**
  - $O(|P|/B)$  I/Os in total for string verification.  $O(1)$  I/Os at each node.
  - $\Rightarrow O((|P|/B) + \log_B K)$  I/Os.

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