02941 Physically Based Rendering Microfacet Models

Jeppe Revall Frisvad

June 2021

From smooth to rough surfaces

Triangles can represent any surface.

- Smooth: Use interpolated vertex normals.
- Rough: Use triangle face normals.
- If surface features are very small:
 - Triangles are very small (numerical problems).
 - Triangles are numerous (computation is expensive).
- Microfacet BRDF models.
 - A BRDF can replace tiny surface features with randomly oriented microfacets.

Mesoscopic Bidirectional Reflectance Distribution Function

In general, we have the bidirectional scattering-surface reflectance distribution function (BSSRDF):

$$S(X; \mathbf{x}_i, \vec{\omega}_i; \mathbf{x}_o, \vec{\omega}_o) = \frac{\mathrm{d}L_o(\mathbf{x}_o, \vec{\omega}_o)}{\mathrm{d}\Phi_i(\mathbf{x}_i, \vec{\omega}_i)},$$

where X is the object boundary and L_o is the radiance reflected in the direction $\vec{\omega}_o$ at the position \mathbf{x}_o due to the flux incident at the position \mathbf{x}_i from the direction $\vec{\omega}_i$.

Suppose we consider surface scattering only, or interior scattering so large that an element of irradiance $(dE = L_i \cos \theta_i d\omega_i)$ has an influence only for $\mathbf{x}_o \in A_i$, then

$$\int_{A_i} S(X; \mathbf{x}_i, \vec{\omega}_i; \mathbf{x}_o, \vec{\omega}_o) \, \mathrm{d}A_i \to \frac{\mathrm{d}L_o(\mathbf{x}_o, \vec{\omega}_o)}{\mathrm{d}\Phi_i(\mathbf{x}_i, \vec{\omega}_i)} \, \mathrm{d}A = \frac{\mathrm{d}L_o(\mathbf{x}, \vec{\omega}_o)}{\mathrm{d}E(\mathbf{x}, \vec{\omega}_i)} = f_r(\mathbf{x}, \vec{\omega}_i, \vec{\omega}_o)$$

for $A_i \rightarrow dA_o$ such that $\mathbf{x}_i \approx \mathbf{x}_o = \mathbf{x}$.

• This is a special case of the BRDF (f_r) for which we may assume $dA_i = dA_o = dA$.

Microfacet surfaces

- A microfacet surface is modelled by a BRDF that scatters light in more than one direction.
- One way to describe this is by a distribution of microfacet normals.



Figure by Pharr and Humphreys [Physically Based Rendering, Morgan Kaufmann/Elsevier, 2004]

The origins

scalar diffraction by surface elements around a plane



- The scattering of electromagnetic waves from rough surfaces.
 - Overview by Beckmann and Spizzichino [1963].
 - How to develop facet normal distribution functions.
- Translation to geometrical optics.
 - Theory for off-specular reflection from roughened surfaces by Torrance and Sparrow [1967].
 - Introducing the BRDF model

$$f_r(\mathbf{x}, \vec{\omega}_i, \vec{\omega}_o) = \frac{FGD}{4(\vec{n} \cdot \vec{\omega}_i)(\vec{n} \cdot \vec{\omega}_o)} = \frac{FGD}{4\cos\theta_i\cos\theta_o}$$

where

F is the Fresnel reflectance,

G is the geometrical attenuation factor,

D is the facet normal distribution function.

- Beckmann, P., and Spizzichino, A. The Scattering of Electromagnetic Waves from Rough Surfaces. International Series of Monographs on Electromagnetic waves, Vol. 4, Pergamon Press, 1963.
- Torrance, K. E., and Sparrow, E. M. Theory of off-specular reflection from roughened surfaces. *Journal of the Optical Society of America* 57(9), pp. 1105-1114, September 1967.

V-arooves

Geometrical attenuation

- Important effects to consider:
 - (a) Masking.
 - (b) Shadowing.
 - (c) Interreflections.



Figure by Pharr and Humphreys [Physically Based Rendering, Morgan Kaufmann/Elsevier, 2004]

Facet normal distributions

Assuming perfectly specular microfacets, facets with normals

$$\vec{\omega}_h = \frac{\vec{\omega}_o + \vec{\omega}_i}{\|\vec{\omega}_o + \vec{\omega}_i\|}$$

contribute to the reflected radiance in the direction $\vec{\omega}_o$.

- Subscripts denote: i incident, o observed, h half.
- ▶ $D(\vec{\omega}_h)$ is the facet normal distribution. Then
 - ▶ $D(\vec{\omega}_h) d\omega_h$ is the proportion of facets with normals in the solid angle $d\omega_h$.
 - ▶ $D(\vec{\omega}_h) d\omega_h dA$ is the proportion of facets in the area dA with normals in $d\omega_h$.
 - ► $D(\vec{\omega}_h) d\omega_h \cos \theta_h dA$ is the proportion of facets in the projected area $\cos \theta_h dA$ with normals in $d\omega_h$, where $\cos \theta_h = \vec{\omega}_i \cdot \vec{\omega}_h$.
- The flux incident at the projected area of the microfacets with normals in dω_h is therefore (using the definition of radiance)

 $\mathrm{d}\Phi_i = L_i D(\vec{\omega}_h) \,\mathrm{d}\omega_h \,\cos\theta_h \mathrm{d}A \,\mathrm{d}\omega_i \;\;,$

where L_i is radiance incident from the direction $\vec{\omega}_i$.

Facet normal distributions

The flux incident at the projected area of the microfacets with normals in dω_h is therefore (using the definition of radiance)

 $\mathrm{d}\Phi_i = L_i D(\vec{\omega}_h) \,\mathrm{d}\omega_h \,\cos\theta_h \mathrm{d}A \,\mathrm{d}\omega_i \;\;,$

where L_i is radiance incident from the direction $\vec{\omega}_i$.

 Taking into account Fresnel reflectance and geometrical attenuation, the reflected flux is

$$\mathrm{d}\Phi_o = F(\cos heta_h)G(ec\omega_i,ec\omega_o)\,\mathrm{d}\Phi_i$$
 .

• The BRDF model is then (using $dE = L_i \cos \theta_i d\omega_i$)

$$f_r(\mathbf{x}, \vec{\omega}_i, \vec{\omega}_o) = \frac{\mathrm{d}L_o}{\mathrm{d}E} = \frac{\mathrm{d}\Phi_o}{\cos\theta_o \,\mathrm{d}A \,\mathrm{d}\omega_o} / \mathrm{d}E$$
$$= \frac{F(\cos\theta_h)G(\vec{\omega}_i, \vec{\omega}_o) \,L_i D(\vec{\omega}_h) \,\mathrm{d}\omega_h \,\cos\theta_h \mathrm{d}A \,\mathrm{d}\omega_i}{\cos\theta_o \,\mathrm{d}A \,\mathrm{d}\omega_o \,L_i \cos\theta_i \,\mathrm{d}\omega_i}$$

Facet normal distributions

▶ The BRDF model is then (using $dE_i = L_i \cos \theta_i d\omega_i$)

$$f_r(\mathbf{x}, \vec{\omega}_i, \vec{\omega}_o) = \frac{\mathrm{d}\omega_h \cos \theta_h}{\mathrm{d}\omega_o} \frac{F(\cos \theta_h) G(\vec{\omega}_i, \vec{\omega}_o) D(\vec{\omega}_h)}{\cos \theta_i \cos \theta_o}$$

• Expressing the solid angles in spherical coordinates with $\vec{\omega}_i$ as zenith, we have

$$\begin{aligned} \mathrm{d}\omega_h &= \sin\theta_h \,\mathrm{d}\theta_h \,\mathrm{d}\phi_h \ , \\ \mathrm{d}\omega_o &= \sin\theta_o' \,\mathrm{d}\theta_o' \,\mathrm{d}\phi_o' \ . \end{aligned}$$

where θ'_o is the angle between $\vec{\omega}_i$ and $\vec{\omega}_o$.

▶ Then according to the law of reflection $\phi'_o = \phi_h$ and $\theta'_o = 2\theta_h$.

This means that

$$\frac{\mathrm{d}\omega_h}{\mathrm{d}\omega_o} = \frac{\sin\theta_h \,\mathrm{d}\theta_h \,\mathrm{d}\phi_h}{\sin(2\theta_h) \,\mathrm{d}(2\theta_h) \,\mathrm{d}\phi_h} = \frac{\sin\theta_h}{2\cos\theta_h \sin\theta_h 2} = \frac{1}{4\cos\theta_h} = \frac{1}{4(\vec{\omega}_i \cdot \vec{\omega}_h)}$$

Inserting this result gives the Torrance-Sparrow BRDF model.

Microfacet models in graphics

- Introduced by Blinn [1977].
- ► The Torrance-Sparrow model with different microfacet distributions (D):
 - ► The modified Phong [1975] model for D (cosine lobe distribution using half-vector).
 - ▶ The Torrance-Sparrow [1967] model for D (Gaussian distribution).
 - A model by Trowbridge and Reitz [1975] for D (microfacets as ellipsoids of revolution).
- There are other options as well.
 - See Cook and Torrance [1981] and Walter et al. [2007].

- Blinn, J. F. Models of light reflection for computer synthesized pictures. Computer Graphics (Proceedings of ACM SIGGRAPH 77) 11(2), pp. 192-198, 1977.
- Phong, B. T. Illumination for computer generated images. Communications of the ACM 18(6), pp. 311-317, June 1975.
- Torrance, K. E., and Sparrow, E. M. Theory of off-specular reflection from roughened surfaces. *Journal of the Optical Society of America* 57(9), pp. 1105-1114, September 1967.
- Trowbridge, T. S., and Reitz, K. P. Average irregularity representation of a roughened surface for ray reflection. Journal of the Optical Society of America 65(5), pp. 531-536, 1975.
- Cook, R. L., and Torrance, K. E. A reflectance model for computer graphics. *Computer Graphcis (Proceedings of ACM SIGGRAPH 81)* 15(3), pp. 307-316, August 1981.
- Walter, B., Marschner, S. R., Li, H., and Torrance, K. E. Microfacet models for refraction through rough surfaces. In *Proceedings of Eurographics Symposium on Rendering (EGSR 2007)*, pp. 195–206, 2007.

The Torrance-Sparrow model



Image by Pharr and Humphreys [Physically Based Rendering, Morgan Kaufmann/Elsevier, 2004]

Using the Blinn-Phong microfacet distribution.

Newer microfacet models

- Oren-Nayar [1994].
 - Using Lambertian microfacets.
- ► Lafortune [1997].
 - Using multiple Phong lobes.
- Ashikhmin-Shirley [2000].
 - Two Phong lobes and Fresnel reflectance.
- ▶ Weidlich and Wilkie [2007, 2009]
 - Layered microfacet models.

- Oren, M., and Nayar, S. K. Generalization of Lambert's reflectance model. In Proceedings of ACM SIGGRAPH 94, pp. 239-246, 1994.
- Lafortune, E. P. F., Foo, S.-C., Torrance, K. E., and Greenberg, D. P. Non-linear approximation of reflectance functions. In Proceedings of ACM SIGGRAPH 97, pp. 117-126, 1997.
- Ashikhmin, M., and Shirley, P. An anisotropic Phong BRDF model. Journal of Graphics Tools 5(2), pp. 25-32, 2000.
- Weidlich, A., and Wilkie, A. Arbitrarily layered micro-facet surfaces. In Proceedings of GRAPHITE 2007, pp. 171–178, ACM, 2007.
- Weidlich, A., and Wilkie, A. Exploring the potential of layered BRDF models. ACM SIGGRAPH Asia 2009 Course Notes, ACM Press, 2009.

The Oren-Nayar model



Lambertian



Images by Pharr and Humphreys [Physically Based Rendering, Morgan Kaufmann/Elsevier, 2004]

The Lafortune model



Image by Pharr and Humphreys [Physically Based Rendering, Morgan Kaufmann/Elsevier, 2004]

The Ashikhmin-Shirley model



Image by Pharr and Humphreys [Physically Based Rendering, Morgan Kaufmann/Elsevier, 2004]

The Weidlich-Wilkie model



Image by Weidlich and Wilkie [2007]

Importance sampling

(

The rendering equation:

$$L_o(oldsymbol{x},ec{\omega}_o) = L_e(oldsymbol{x},ec{\omega}_o) + \int_{2\pi} f_r(oldsymbol{x},ec{\omega}_i,ec{\omega}_o) L_i(oldsymbol{x},ec{\omega}_i) \cos heta_i \, \mathrm{d}\omega_i \;\;.$$

The Monte Carlo estimator:

$$L_N(\boldsymbol{x}, \vec{\omega}_o) = L_e(\boldsymbol{x}, \vec{\omega}_o) + \frac{1}{N} \sum_{j=1}^N \frac{f_r(\boldsymbol{x}, \vec{\omega}_{i_j}, \vec{\omega}_o) L_i(\boldsymbol{x}, \vec{\omega}_{i_j}) \cos \theta_i}{\mathsf{pdf}(\vec{\omega}_{i_j})}$$

Make the pdf cancel out the BRDF or part of it.
The Torrance-Sparrow BRDF:

$$f_r(\mathbf{x}, \vec{\omega}_i, \vec{\omega}_o) = \frac{FGD}{4(\vec{n} \cdot \vec{\omega}_i)(\vec{n} \cdot \vec{\omega}_o)} = \frac{FGD}{4\cos\theta_i\cos\theta_o}$$

• The geometry term ($\chi^+(a)$ is one if a > 0, zero otherwise):

$$G(\vec{\omega}_o, \vec{\omega}_i) = \chi^+ \left(\frac{\cos\theta_h}{\cos\theta_i}\right) \chi^+ \left(\frac{\cos\theta_h}{\cos\theta_o}\right) \min\left(1, \frac{2(\vec{n} \cdot \vec{\omega}_h)\cos\theta_o}{\cos\theta_h}, \frac{2(\vec{n} \cdot \vec{\omega}_h)\cos\theta_i}{\cos\theta_h}\right)$$

Sampling the Blinn microfacet distribution

▶ The Blinn microfacet normal distribution (*s* is shininess):

$$D(\vec{\omega}_h) = \chi^+ (\vec{n} \cdot \vec{\omega}_h) \frac{s+2}{2\pi} (\vec{n} \cdot \vec{\omega}_h)^s \; .$$

Cosine lobe:

$$\mathsf{pdf}(ec{\omega}_{i_j}) = rac{s+2}{2\pi} rac{1}{4\cos heta_h} (ec{n}\cdotec{\omega}_{h_j})^{s+1} \; \; .$$

▶ Sampling technique: $\vec{\omega}_{i_j} = 2(\vec{\omega}_o \cdot \vec{\omega}_{h_j})\vec{\omega}_{h_j} - \vec{\omega}_o$ with

$$ec{\omega}_{h_j} = (heta, \phi) = \left(\cos^{-1} \left(\sqrt[s+2]{\xi_1}
ight), 2\pi \xi_2
ight) \; ,$$

where θ, ϕ are spherical coordinates in the tangent space of the surface normal \vec{n} , while $\xi_1, \xi_2 \in [0, 1]$ are uniform random variables.

The estimator

$$L_{r,N}(\boldsymbol{x},\vec{\omega}) = \frac{1}{N} \sum_{j=1}^{N} F(\vec{\omega}_{i_j} \cdot \vec{\omega}_{h_j}) \frac{\vec{\omega}_o \cdot \vec{\omega}_{h_j}}{(\cos \theta_o)(\vec{n} \cdot \vec{\omega}_{h_j})} G(\vec{\omega}_o, \vec{\omega}_{i_j}) L_i(\boldsymbol{x}, \vec{\omega}_{i_j}) .$$

Exercises

- Choose a microfacet normal distribution function (Blinn or Beckmann or GGX) in the paper by Walter et al. [2007].
- Implement sampling of the chosen normal distribution function.
- Implement shading of a glossy surface using a microfacet model.
- Suggested algorithm:
 - Retrieve the normal of the intersected macrosurface (\vec{n}) .
 - Sample a microfacet normal $(\vec{m} = \vec{\omega}_h)$ in the hemisphere around \vec{n} .
 - Perform the same operation as in shading of a transparent object, but use the sampled microfacet normal \vec{m} instead of \vec{n} .
 - Multiply the result by the geometric attenuation factor G and the ratio of cosine terms in the estimator $\frac{\vec{\omega}_{o} \cdot \vec{m}}{(\vec{\omega}_{o} \cdot \vec{n})(\vec{n} \cdot \vec{m})}$.
- Same approach can be used for metals, but then the Fresnel factor becomes an RGB vector and everything refracted is absorbed.

⁻ Walter, B., Marschner, S. R., Li, H., and Torrance, K. E. Microfacet models for refraction through rough surfaces. In Proceedings of Eurographics Symposium on Rendering (EGSR 2007), pp. 195–206, 2007.