

Divide-and-Conquer

Inge Li Gørtz

Divide-and-Conquer

- Divide -and-Conquer.
 - Break up problem into several parts.
 - Solve each part recursively.
 - Combine solutions to subproblems into overall solution.
- Today
 - Mergesort (recap)
 - Recurrence relations
 - Integer multiplication

Mergesort

Recurrence relations

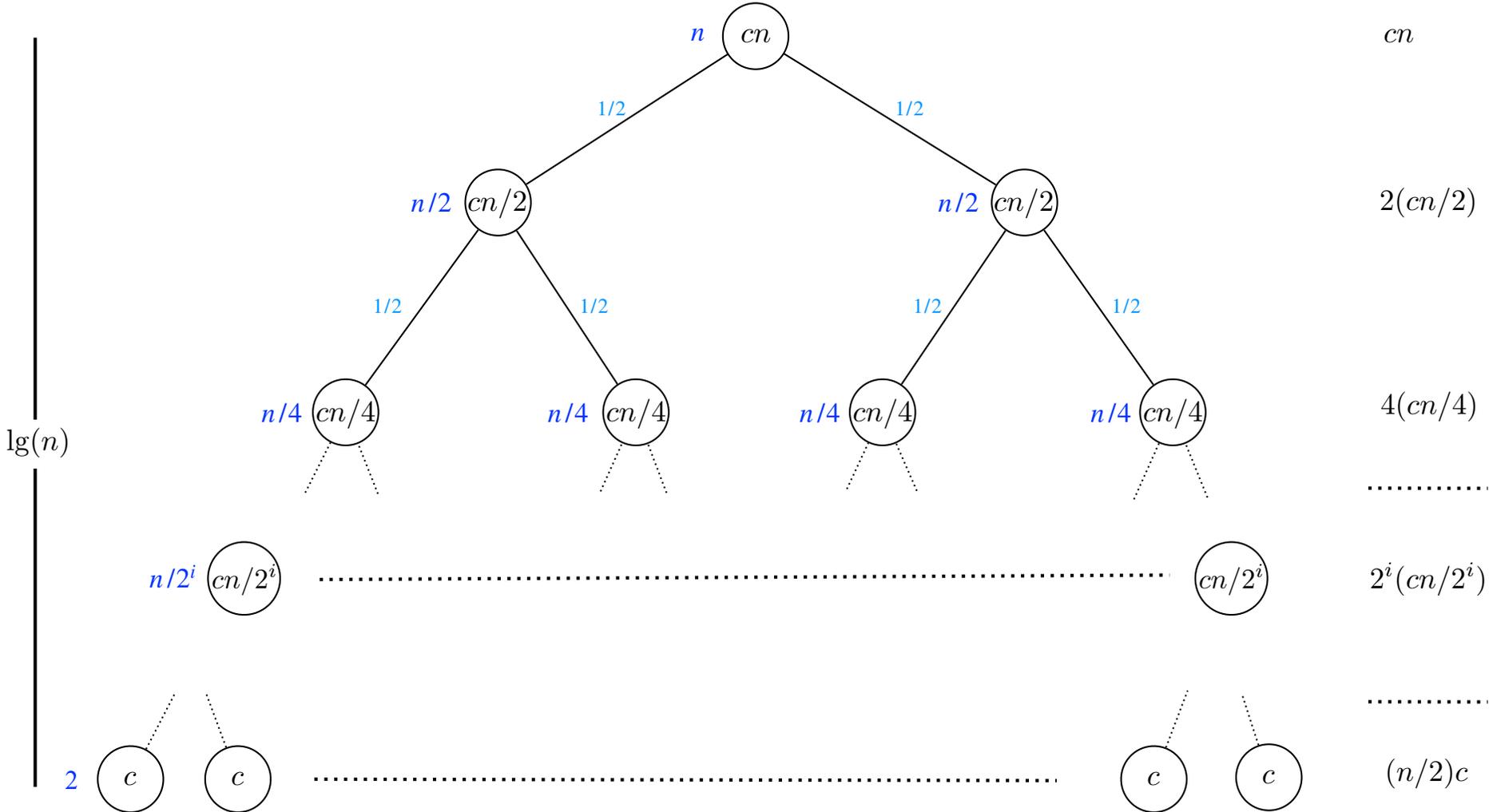
- $T(n)$ = running time of mergesort on input of size n
- Mergesort recurrence:

$$T(n) \leq \begin{cases} 2T(n/2) + cn & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$

- Solving the recurrence:
 - Recursion tree
 - Substitution

Mergesort recurrence: recursion tree

$$T(n) \leq \begin{cases} 2T(n/2) + cn & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$



Mergesort recurrence: substitution

$$T(n) \leq \begin{cases} 2T(n/2) + cn & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$

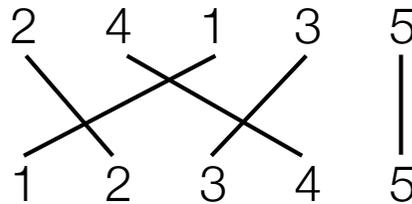
- Substitute $T(n)$ with $kn \lg n$ and use induction to prove $T(n) \leq n \lg nk$.
- Base case ($n = 2$):
 - By definition $T(2) = c$.
 - Substitution: $k \cdot 2 \lg 2 = 2k \geq c = T(2)$ if $k \geq c/2$.
- Induction: Assume $T(m) \leq km \lg m$ for $m < n$.

$$\begin{aligned} T(n) &\leq 2T(n/2) + cn \\ &\leq 2k(n/2)\lg(n/2) + cn \\ &= kn(\lg n - 1) + cn \\ &= kn \lg n - kn + cn \\ &\leq kn \lg n \quad \text{if } k \geq c. \end{aligned}$$

Counting Inversions

Counting Inversions

- Given sequence (permutation) a_1, a_2, \dots, a_n of the numbers from 1 to n .
- Inversion: a_i and a_j inverted if $i < j$ and $a_i > a_j$.



- Applications:
 - Comparing preferences (e.g. on a music site).
 - Voting theory
 - Collaborative filtering
 - Measuring the “sortedness” of an array.
 - Sensitivity of Google’s ranking function.

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 - Compare each a_i with each a_j , where $i < j$.

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- Brute-force:
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 - Time: $O(n^2)$

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- Divide-and-Conquer:
 - Divide: Split list in two.
 - Conquer: recursively count inversions in each half.
 - Combine:
 - count inversions where a_i and a_j are in different halves
 - return sum.

$17 + 18 + 30 = 65$

Counting Inversions

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Divide: $O(1)$

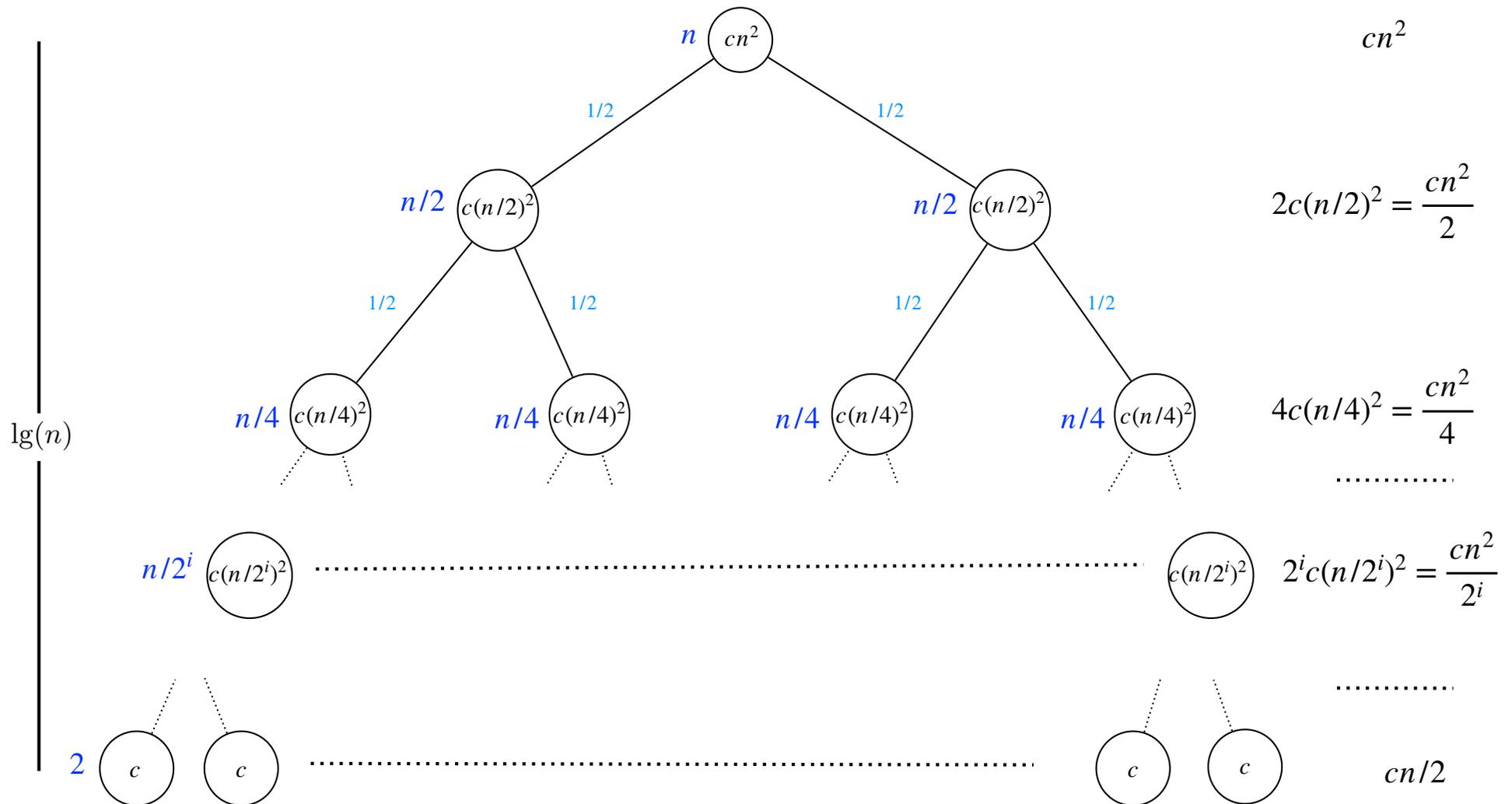
Conquer: $2T(n/2)$

Combine: ???

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Another recurrence

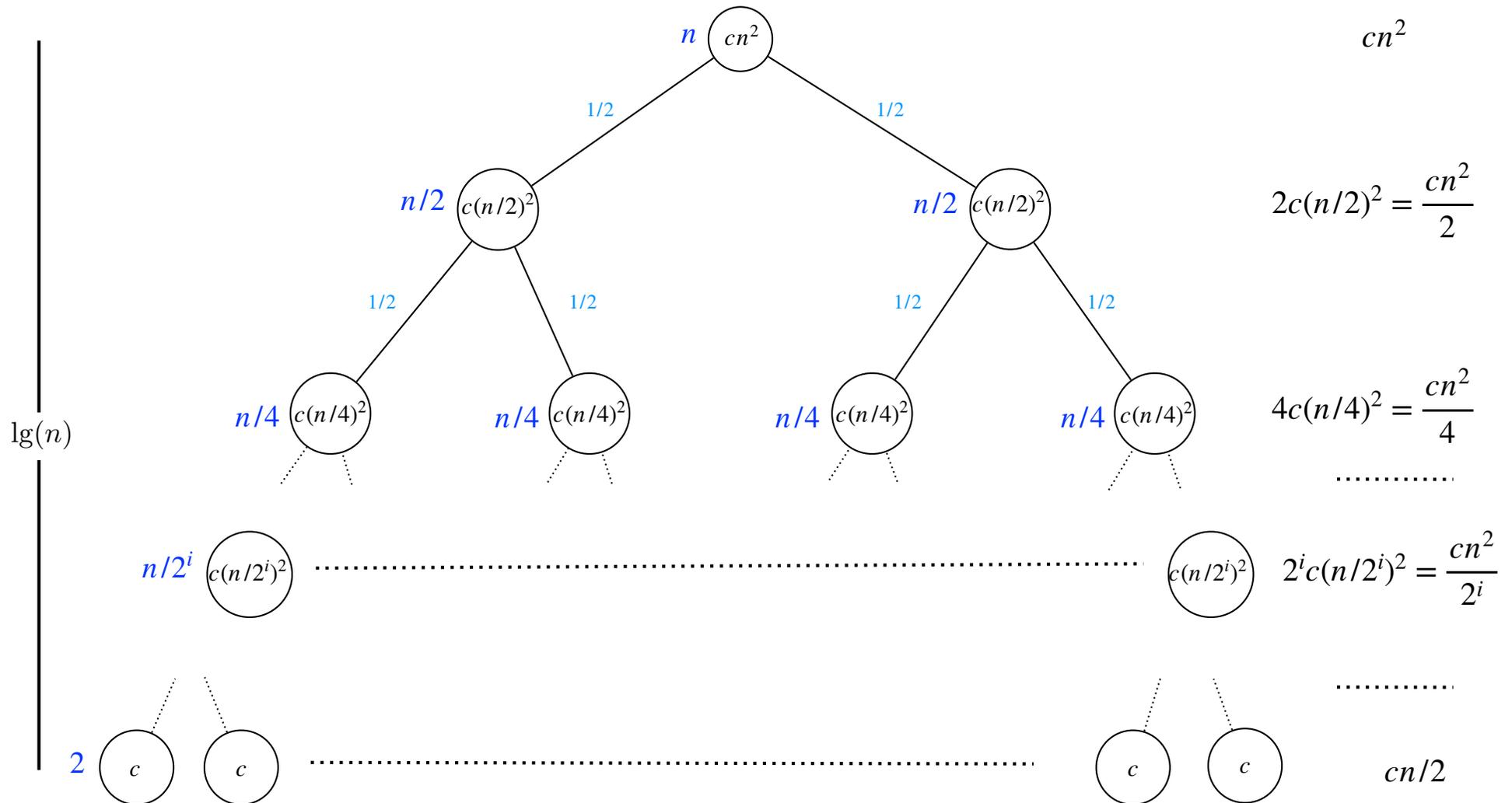
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More recurrences

$$T(n) \leq \begin{cases} 2T(n/2) + cn^2 & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$

$$T(n) \leq \sum_{i=0}^{\lg n} \frac{cn^2}{2^i} \leq cn^2 \sum_{i=0}^{\lg n} \frac{1}{2^i} \leq 2cn^2$$



Counting Inversions

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Divide: $O(1)$

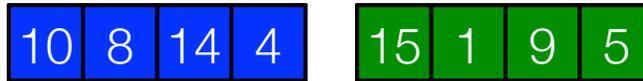
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$17 + 18 + 30 = 65$

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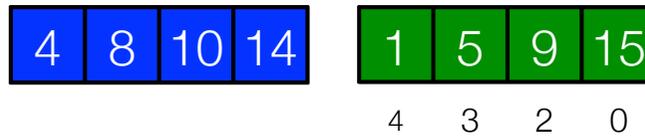
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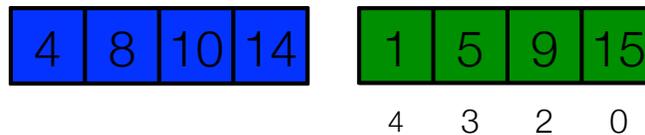
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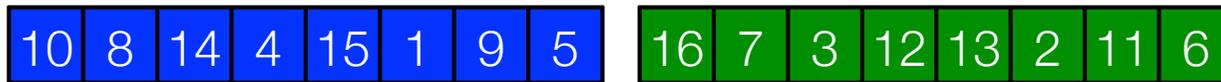
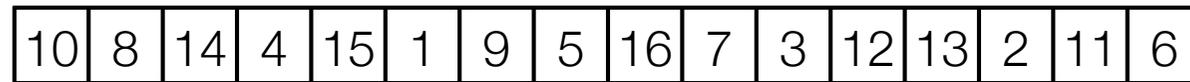
- Combine: count inversions where a_i and a_j are in different halves.
 - Assume each half sorted.
 - Merge sorted halves into sorted whole while counting inversions.



Inversions: $4 + 3 + 2 + 0 = 9$

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 - Divide: Split list in two. *Divide: $O(1)$*
 - Conquer: recursively count inversions in each half. *Conquer: $2T(n/2)$*
 - Combine: *Combine: $O(n)$*
 - Merge-and-Count.

Counting Inversions: Implementation

```
Sort-and-Count(L):  
  
    if list L has one element:  
        return (0, L)  
  
    divide the list L into two halves A and B  
    (iA, A) = Sort-and-Count(A)  
    (iB, B) = Sort-and-Count(B)  
    (iL, L) = Merge-and-Count(A, B)  
  
    i = iA + iB + iL  
  
    return (i, L)
```

- Pre-condition (Merge-and-Count): A and B are sorted.
- Post-condition (Sort-and-Count, Merge-and-Count): L is sorted.

More Recurrence Relations

More recurrence relations: 1 subproblem

$$T(n) \leq \begin{cases} T(n/2) + cn & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$

- Summing over all levels:

$$T(n) \leq \sum_{i=0}^{\lg n - 1} \frac{cn}{2^i} = cn \sum_{i=0}^{\lg n - 1} \frac{1}{2^i} \leq 2cn = O(n)$$

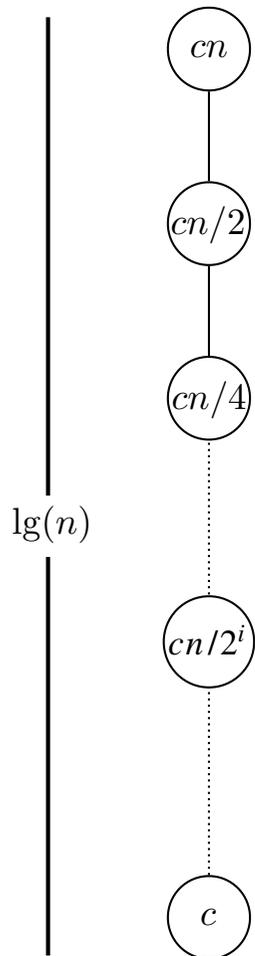
- **Substitution:** Guess $T(n) \leq kn$

- Base case:

$$k \cdot 2 \geq c = T(2) \quad \text{if} \quad k \geq c/2.$$

- Assume $T(m) \leq km$ for $m < n$.

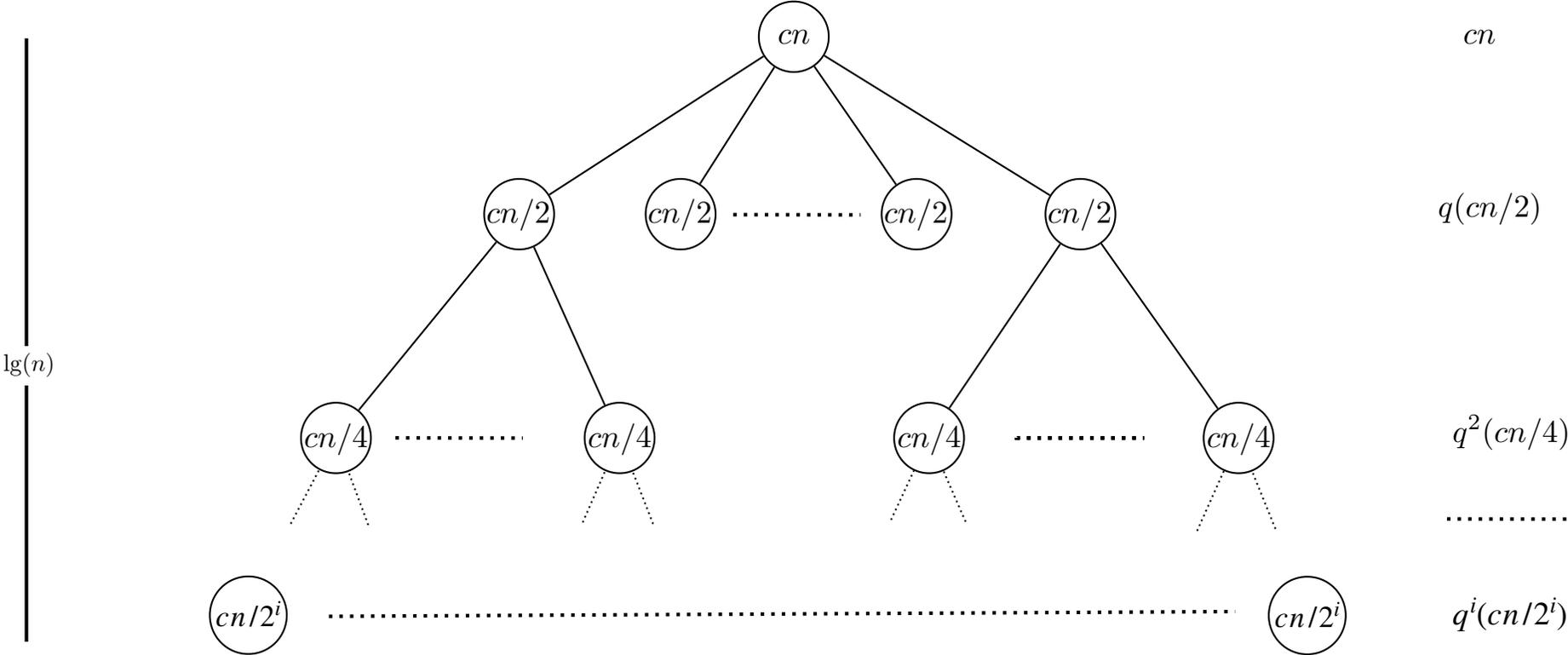
$$\begin{aligned} T(n) &\leq T(n/2) + cn \leq k(n/2) + cn = (k/2)n + cn \\ &\leq kn \quad \text{if} \quad c \leq k/2. \end{aligned}$$



More than 2 subproblems

- q subproblems of size $n/2$.

$$T(n) \leq \begin{cases} qT(n/2) + cn & \text{if } n > 2 \\ c & \text{otherwise} \end{cases}$$



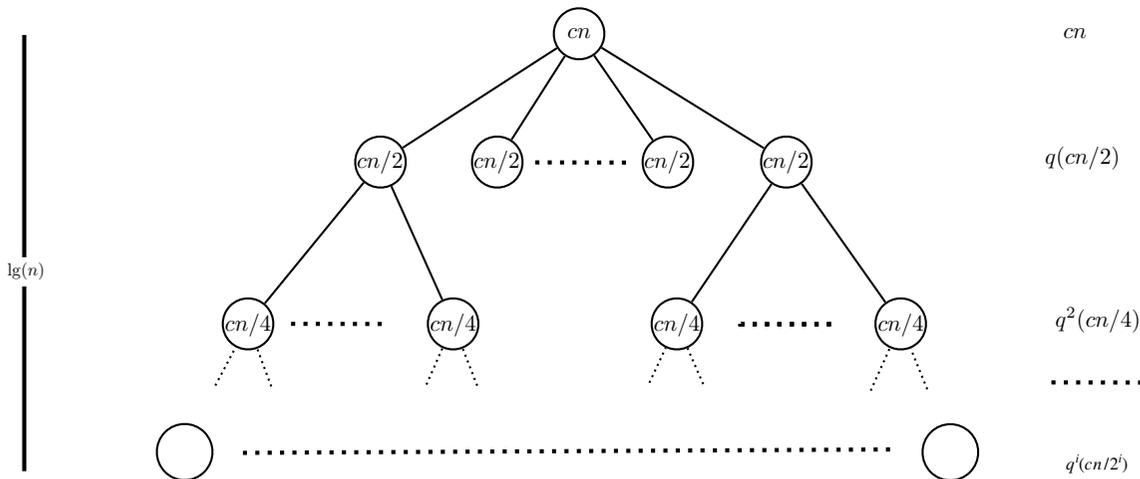
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- Summing over all levels:

$$T(n) \leq \sum_{j=0}^{\lg n - 1} \left(\frac{q}{2}\right)^j cn = cn \sum_{j=0}^{\lg n - 1} \left(\frac{q}{2}\right)^j$$



Geometric series.

$$\text{for } x \neq 1 : \sum_{i=0}^m x^i = \frac{x^{m+1} - 1}{x - 1}$$

$$\text{for } x < 1 : \sum_{i=0}^{\infty} x^i = \frac{1}{1 - x}$$

More than 2 subproblems

Proof of $cn \sum_{j=0}^{\lg n - 1} \left(\frac{q}{2}\right)^j = O(n^{\lg q})$

Use geometric series: $cn \sum_{j=0}^{\lg n - 1} \left(\frac{q}{2}\right)^j = cn \frac{\left(\frac{q}{2}\right)^{\lg n} - 1}{\frac{q}{2} - 1}$

Reduce $\left(\frac{q}{2}\right)^{\lg n} = \frac{q^{\lg n}}{2^{\lg n}} = \frac{q^{\lg n}}{n}$

Now:

$$cn \frac{\left(\frac{q}{2}\right)^{\lg n} - 1}{\frac{q}{2} - 1} = cn \frac{\frac{q^{\lg n}}{n} - 1}{\frac{q-2}{2}} = \frac{2c}{q-2} n \left(\frac{q^{\lg n}}{n} - 1\right) = \frac{2c}{q-2} (q^{\lg n} - n) = O(q^{\lg n})$$

constant

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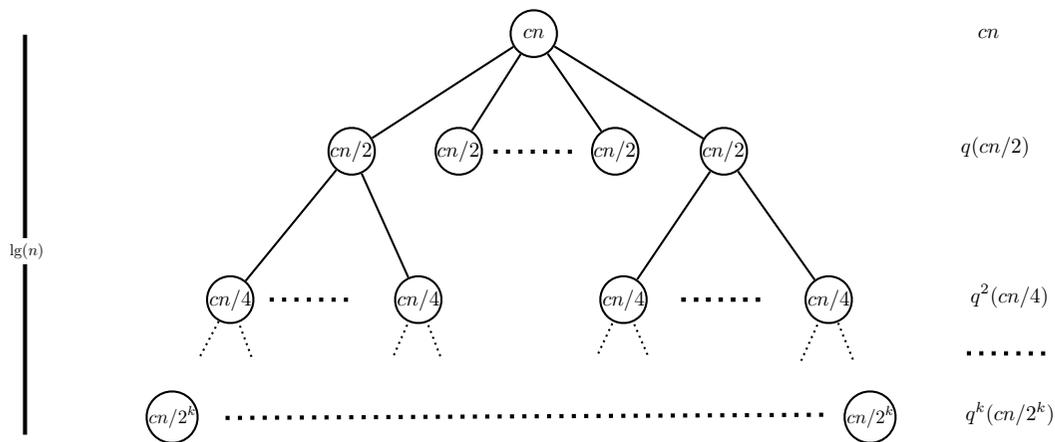
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Subproblems of different sizes

$$T(n) = \begin{cases} T(3n/4) + T(n/2) + f(n) & \text{if } n > 4 \\ c & \text{otherwise} \end{cases}$$

